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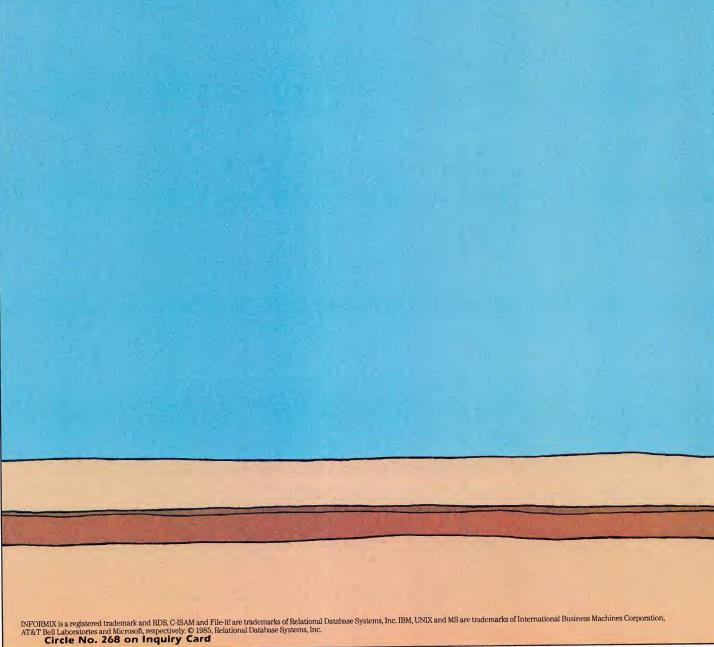
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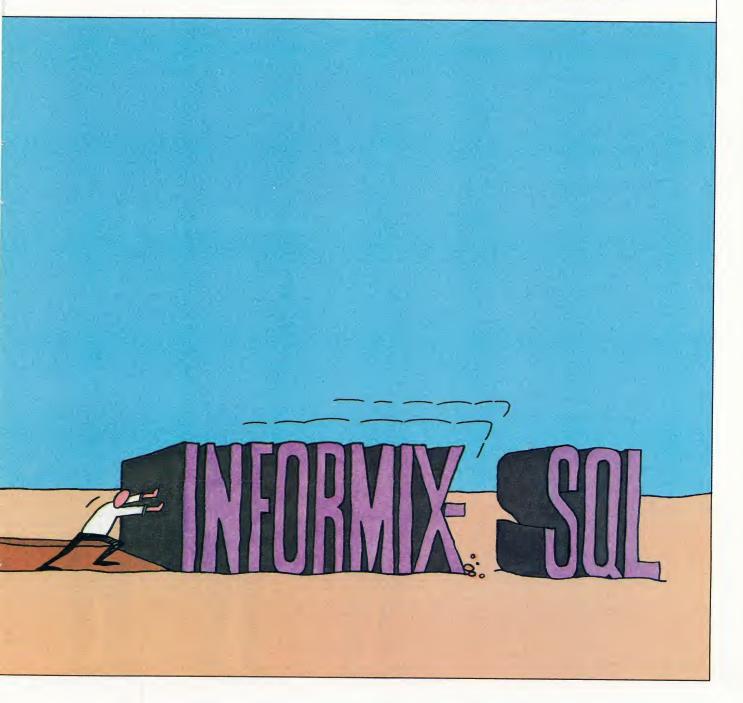
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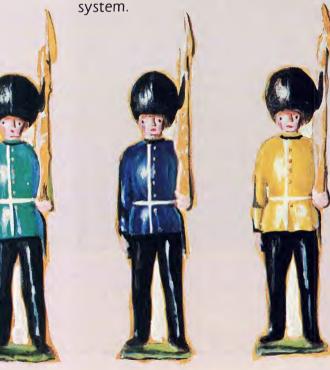
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# DISTRIBUTED RESOURCE SHARING



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### VIEWPOINT

The dawning of an era

"Distributed resource sharing" is one of those golden phrases that journalists and marketing people love dearly. Like others that roll off the tongue easily, it's not always clear what people mean when they use it. But don't write off "distributed resource sharing" as just another content-free cliche; it actually describes a significant new direction in computing.

Not every user believes that the promised environment looks quite like distributed processing, but precious few are willing to go back to the timesharing solutions of days gone by. The reduced need for sharing under distributed schemes is the clincher.

Sure, users still have to queue up to use printers, disk drives, and a whole parade of other peripherals, but everyone also can have his or her own CPU. This translates directly into greater autonomy and improved (or at least more regular) response times.

Of course, in a perfect world where the sun shines every day and taxes are collected only on alternate leap years, users wouldn't have to share anything. But, alas, financial and spatial constraints suggest that day will be some time in coming.

Distributed resource sharing itself took awhile before making a big splash. Certainly, the concept is not particularly new—Datapoint, for one, has been in the distributed processing game for almost a decade. But, new technologies and a general drop in component pricing over recent years have served to bring the day of ''a CPU in every office'' closer to fruition.

We're still in the midst of the movement, however. There's almost as many distribution methodologies as there are companies offering "solutions". The gamut ranges from networks of low-end PCs to systems of supermicro workstations.

This issue of UNIX REVIEW takes stock of where we're at and points to where we may be heading. Paul Leach, one of the designers of Apollo Computer's DOMAIN system, opens up with an article discussing schemes for improving individual and group productivity through distributed processing.

Subsequent articles by Steve Holmgren and regular columnist Bill Tuthill discuss the virtues of remote procedure calls. Holmgren, who is President of Communication Machinery Corp. of Santa Barbara, CA, also lays out possible future RPC directions.

The architectural issues behind distributed file systems are the concern of another piece jointly authored by Gary Sager and Bob Lyon, two of the key figures behind Sun Microsystem's Network File System.

Dave Buck wraps up with an article focusing on a crucial local resource often overlooked by people in the UNIX community—the mainframe database. Drawing on his experience as Chairman of D.L. Buck and Associates, a company specializing in UNIX drivers for systems that need to interface with IBM machines, Buck discusses the options for accessing large databases.

All in all, the issue should give you something to connect with the next time associates drop references to distributed processing in conversation. They might not know what they're talking about, but you will.

Mark Compton

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### THE MONTHLY REPORT

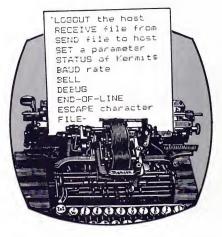
#### AT&T pushes desktop UNIX

#### by Roger Strukhoff

Remember Lily Tomlin's classic character, Ernestine the telephone operator? Remember when she ran amok in the control room, knocking out service to Peoria while gloating, "We don't care ...we don't have to...we're the phone company."? Well, AT&T certainly doesn't have that luxury today. Since its 1984 divestiture, the company has been as subject to the cruelties of the marketplace as any other company. But a certain arrogance apparently persists at Ma Bell, as was demonstrated by the company's March announcement of the UNIX PC Model 7300.

Jack Scanlon, AT&T vice president for computer and work station systems, touted Bell-developed UNIX and belittled the commercial prospects of MS-DOS technology. Reminding one of Jerry Ford's refusal to acknowledge that Poland had come under Soviet domination, Scanlon maintained that MS-DOS is not the answer to business applications and will eventually die. "It's obvious that UNIX provides the total office solution," he said. "Harnessing UNIX on a desk (will) usher in a new era for PCs." Scanlon went on to praise the multitasking capabilities of UNIX, saying a computer running it can "match the way people actually think, work and act at the office.'

AT&T's efforts to "civilize"



UNIX (using AT&T's term) resulted in the 7300's Apple Macintosh-like interface. A mouse, icons, and superior bitmapped graphics may in fact be key to the 7300 becoming a real thoroughbred for the business user, but the initial lack of applications software could keep this horse in the gate for awhile. AT&T announced a total of 28 programs in its startup library. Of the 28, there are two word processors (Microsoft Word and the AT&T UNIX PC Word Processor), two spreadsheets (Multiplan and Supercomp 20), one database (dBase III), three graphics programs, and AT&T's electronic mail. Fully half of the programs are programming and development tools.

Another problematic area involves the decision to equip the standard 7300 with only a 10 MB hard disk. A 20/MB option is also offered, but even that is small for a UNIX box. A 40-MB disk made by an outfit called Bell Technologies (no relation to Ma Bell) offers a more reasonable alternative, but the disk adds more than \$2500 to the cost of the machine.

The 7300 gained notoriety under the "Safari" code name while under design at Convergent Technologies of Santa Clara, CA. It runs unbundled UNIX System V, as does AT&T's 3B2 supermicro, and is fired by a Motorola 68010 microprocessor running at a very brisk 10 MHz. The base system, priced at \$5590, includes half a megabyte of RAM and a 10 MB hard disk. A more reasonable system, offering a full megabyte of RAM and a 20 MB hard disk, costs \$6590. Half-megabyte RAM expansion cards cost \$1195. All systems have three expansion slots.

The 7300 will concurrently support three users. Operating system software comes in three flavors: the core system with a telephone manager, UUCP, word processing, and other basic functions: a development tools package, with **sort, merge,** and ISAM; and a utilities package, including a C language compiler, 68010 Assembler, and SCCS.

The new machine's 12-inch green CRT monitor swivels and tilts, and has bitmapped, 720 x 348-pixel resolution. The bitmap-



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APPLIX, INC., 112 TURNPIKE ROAD, WESTBORO, MASSACHUSETTS 01581 (617) 870-0300 Circle No. 298 on Inquiry Card ping program, from Graphic Software Systems of Wilsonville, OR, illustrates AT&T's belief that business wants Macintosh-like machines that offer good graphics and an icon-driven interface.

Communications naturally play an important role in the AT&T UNIX micro. A built-in modem operates at 300 or 1200 bits per second. The telephone manager, part of the core UNIX software package, has features such as repeat dialing, directory dialing, last number redialing, and data call setup to remote computers. The 7300 is capable of simultaneous voice and data communications, with alternation allowed. A call management service records call length and lets the user take notes for later review.

AT&T also announced three products other than the 7300: the Starlan departmental local area network, an enhanced 6300, and a terminal for use with the 7300.

The terminal, dubbed the Personal Terminal (PT), costs \$1795; it's \$1895 if you'd also like a keyboard. Really. Paraphrasing an old line from Apple Computer Corp., Jack Scanlon termed the PT "the workstation for the rest of us." It has a unique, soft touchscreen user interface, with tactile response. There are no infrared light grids. Scanlon said the terminal is aimed at a "boss-secretary" environment, in which voice and verbal messaging capabilities are predominant. It runs on Starlan and in a 7300 multiuser environment.

Enhancements to the 6300, meanwhile, give AT&T a machine that's squarely in competition with the IBM PC/AT. Among the improvements are an expansion card providing voice/data and other communications features; the availability of Microsoft Corp.'s XENIX 3.0; the addition of an Intel 8087-2 match co-processor: a new mouse; and a 20 MB hard disk option that includes a half megabyte of RAM. An enhanced 6300 with an 8087-2 coprocessor is priced in the \$6000 range.

In keeping with some of the biggest and smallest names in the industry, AT&T used a pre-announcement to trumpet the

AT&T's efforts to civilize UNIX resulted in the 7300's Apple Macintosh-like interface.

arrival of Starlan. Some might be so unkind as to call this a "vaporware" tactic. Starlan won't be available until the fourth quarter of this year. It will support both UNIX and MS-DOS machines: AT&T is not completely writing off IBM just yet. Company strategy calls for pushing the enhanced 6300 as a server for MS-DOS machines, and either the 7300 or 3B2 supermicro as the UNIX server.

The LAN runs on twisted-pair using CSMA/CD access, running at 1 megabit per second (mbps). As many as 10 nodes can be daisy-chained; the theoretical limit for connections is 1200. At its heart is an Intel 82588 controller chip. Connection costs are in the \$700 to \$800 per node range. This is in the general area, if not at the high end, of connection costs for Ethernets and for the IBM PC Network. AT&T worked with the IEEE in developing this local area network, and is proposing the Starlan specifications as an 802.3 standard for 1-megabit LANs.

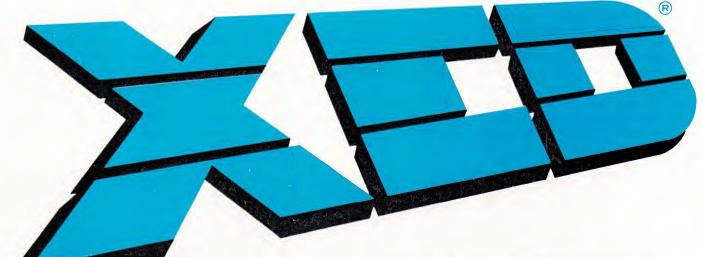
AT&T voice and data products, including the four announced at the March conference, are distributed through three basic channels: direct sales, value added resellers (VARs), and computer specialty retailers. AT&T has a direct sales force of about 7000 people who sell from about 200 sales offices throughout the country. The company expects about half its sales to be generated by direct sales. The second channel, VARs, includes about 60 companies working on about 50 regional and specialized market segments. There are also about 1000 retail outlets. AT&T expects that number to grow to about 1600 by the end of 1985.

#### **CORVUS WAXES AND WANES**

Proving itself to be a multitasking company, Corvus Systems took over Onyx + IMI, Inc. within weeks of cutting back its own staff. The Corvus-Onyx + IMI merger combines the unique capabilities of these two San Jose-based companies; "it's a good business fit," claims Corvus chairman and CEO Michael D'Addio. Onyx was the first company to make a UNIX-based microcomputer, while Corvus is best known for its storage devices and Omninet twisted-pair wire local area network.

Holders of Onyx + IMI stock will receive shares of Corvus common stock at an exchange ratio of between 1.25 and 1.3 to 1. Corvus and Onyx each have about 10.4 million shares of common stock outstanding; the companies' stocks were within 10 percent of each other in worth on a recent business day, with total worth in the neighborhood of \$60 million. Once the merger is final, Corvus' new board will comprise seven people; the four from Corvus' present board, and three of four from Onyx's board. One member currently sits on both. Onyx's former

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president, Fred Bialek, left the company a few weeks before the merger announcement.

Onyx has been under financial pressure, apparently due to its inability to introduce new products. The company's stock price had declined close to 90 percent in recent years, before rising again after the merger was announced. Onyx's early successful product was the 8000, the first UNIXbased microcomputer when it was introduced about five years ago. But the company could not follow up. An MC68000-based UNIX machine, aimed at competing with the DECs of the world, Onyx had been planning a major reorganization prior to its merger with Corvus.

was scrapped at the last minute because management felt it was aimed at the wrong market; an-

TRAINING

other, lower-priced 68000-based system is pending.

Onyx had been planning a major reorganization prior to the merger with Corvus. Many employees were (and are) being transferred to Medford, OR, while others will move to the Corvus complex.

Corvus' decision to diversify was announced within weeks of a round of staff cutting. About 50 jobs were eliminated, or 12 percent of the company's total work force. The company said it was consolidating different job functions in all areas of the company in this cutback.

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### THE HUMAN FACTOR

Fast prototyping and UNIX

#### by Richard Morin

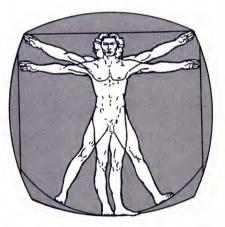
We have had a house cleaning in the computing industry. The structured methods advocates have been here, cleaning, polishing, and ordering. The smell of disinfectant is still overpowering. Swarms of **gotos** have been banished to a few dark corners. We have been reminded of the need for order, and have been taught the virtues of top-down design and stepwise refinement.

Even in maverick UNIXland, the effects are noticeable. The **lint** command has evolved into a fierce guardian of type checking. Even C shows signs of tampering. The **sccs** and **make** commands have emerged to help us manage our ungainly projects.

Still, a certain element of bottom-up programming has survived. It wears a suit and tie, to be sure, but it exists nonetheless. Cloaked in respectability, it now refers to itself as fast prototyping.

Fast prototypers make all the necessary bows to the structured establishment. They write modular code, using all manner of block structuring. They almost never use **goto**s.

Their chief resistance to the structured establishment is reflected in the way in which they approach projects: they skip the formal process of specification, with its structured walkthroughs, stepwise refinement, and so forth. Instead, they break off a



piece of the problem and try to solve it. If that works, they try another piece. Pretty soon, the whole problem has been solved.

A certain degree of planning and analysis must be performed, to be sure. For one thing, the prototyper has to be careful to build up the solution in a modular fashion. For another, the order in which things are tried is critical.

It is very tempting to solve the easy parts of a problem first. Having all that code written is very comforting, but somewhat misleading. It does no good to solve the easy 90 percent of the problem if the remaining 10 percent is insolvable.

#### MANAGEMENT RESISTANCE

One might worry, with some justification, about management attitudes toward fast prototyping. We have spent the last decade teaching managers to expect structured methods. Now we have to convince them to permit this new approach.

Fortunately, several powerful arguments are available. First, prototyping allows one to find out very quickly whether a problem is, in fact, understood. Next, prototyping allows one to find out whether a problem is solvable. By attacking hard parts first, one finds land mines quickly. Reinforcements can then be brought in to save the day. Occasionally, a strategic retreat is advisable.

The analysis and design phases are critical. If either of them is going to fail, the manager needs to know about it quickly. Polishing, whether for appearance or efficiency, can wait.

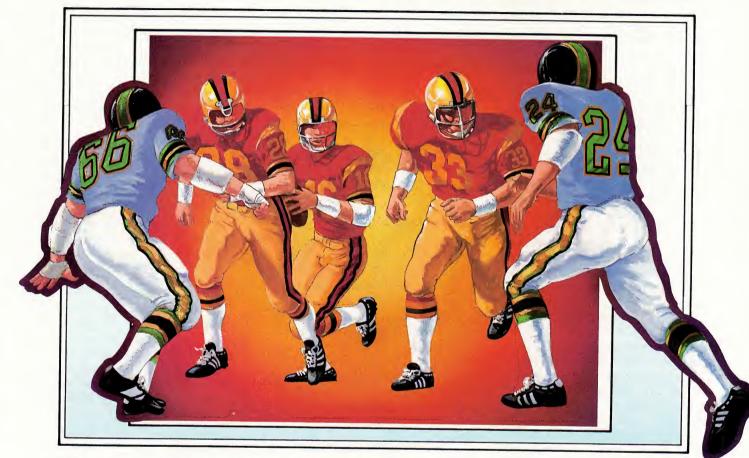
Finally, if a prototyping approach is forbidden, then the product will by definition become the first prototype—a curious phenomenon not unknown in software engineering.

#### LANGUAGE CHOICE

C, the lingua franca of the UNIX community, is not a particularly good fast prototyping language. For one thing, it has to be compiled and linked, which tends to discourage spontaneity. For another, it is rather picky about details such as variable initialization and so forth.

Use of C is often simply a neces-

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sary evil, however. Systems programming in UNIX is done almost exclusively in C. The occasional assembly language routine is not a very heartening exception.

Applications programmers are under no such constraints; they are free to use any language their system supports. The occasional system call will cause problems, of course. But Fortran and Pascal are used quite commonly for applications coding anyway.

The really radical approach, however, is to avoid compiled languages entirely. No compiling, no linking . . . just immediate execution. Talk about instant gratification!

Fast prototyping in the UNIX environment is thus a matter of writing interpreted scripts. These are then handled by **awk**, **sed**, a shell, or some other language processor. The scripts usually use a combination of languages and utilities.

Being interpreted, scripts are free to play fast and loose with programming techniques. They can rewrite their own subroutines, invent variables dynamically, and so forth. Using commands as operators, and files as data objects, they can be very powerful and very terse.

#### PROGRAMMER RESISTANCE

It is often hard for traditional programmers to accept this sort of coding. Pascal programmers worry about the lack of structured methods. C and assembly language hackers worry about efficiency.

Even questions of machismo enter the picture. "Real programmers code in compiled languages" and all that. In the seminars that I give on fast prototyping, I find myself explaining that I've *done* Fortran (about 25K lines), assembly language (about 15K lines), and C (about 5K lines). I then explain that I still use compiled lan-

C, the lingua franca of the UNIX community, is not a particularly good fast prototyping language.

guages. Some things require the expressive power and/or efficiency of a compiled language such as C. I even write an occasional assembly language routine.

Before I do, however, I try to use higher level tools. They allow me to increase my effectiveness, at the (possible) expense of my computer's efficiency. Often, the existing tools are as fast as the code I would have written myself sometimes, they're actually faster.

This seems like a reasonable tradeoff. If more efficiency is required, a bit of profiling will show where it can be achieved. Recoding can thus be kept to a minimum.

#### A LOOK AT SHELLS

The UNIX notions of shells and shell programming are certainly not unique. Every operating system has some sort of command language. UNIX shells are better developed than most of these, however.

Command languages perform a number of tasks. They allow the invocation of user programs and system services, and specification of files and/or devices. Most support both batch and interactive use.

Most command languages also support some forms of control flow. In VMS, this is limited to IFs and **gotos**. In IBM JCL, even **gotos** are missing. UNIX shells have a broad range of control flow primitives.

UNIX shells also support modular programming. Shell scripts can invoke other scripts, or even invoke themselves. What's more, they can invoke scripts that they have written or modified.

By judicious use of quotes, a shell script can pass data into embedded **awk** and **sed** scripts. Other, more devious means allow retrieval of results.

The Bourne shell (**sh**) and the C shell (**csh**) are the current standards. The Bourne shell is better for most programming tasks, while the C shell is better for interactive use.

There are also some interesting alternatives on the horizon, including the experimental Functional Programming (**FP**) shell, and the practical Korn shell (**ksh**). In addition, there are a number of interpretive languages with shelllike capabilities.

#### FUNCTIONAL PROGRAMMING

The Functional Programming shell is described in a paper written by Manton Matthews and Yogeesh Kamath (Usenix Proceedings, Summer, 1984). The shell implements John Backus's Functional Programming language, using files as objects and programs as functions. The motivation of the authors is explained in their paper as:

Our primary objectives in developing the FP-Shell were:

[1] to provide a framework which is easy to understand and modify for the study of functional systems,

[2] to extend the ability of the UNIX shells to combine programs by including other functional forms,

[3] to investigate applications in which the extra

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combining capability is utilized and gather usage statistics.

UNIX's use of pipes and filters is very close to the idea of composition of functions. The UNIX sh or csh command:

tbl paper | eqn | troff

would be rewritten in FP shell as:

vtroff o eqn o tbl: paper

An algebraic notation, by way of comparison, might render it as:

vtroff ( eqn ( tbl ( paper ) ) )

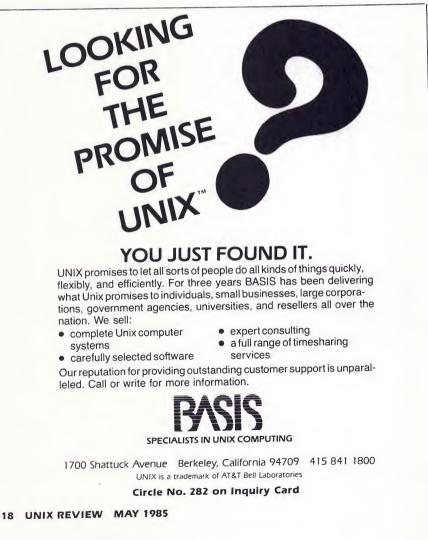
The interesting thing about the FP shell, however, is that a number of operations other than composition are supported. These include construction, apply to all, conditional, insert, while, constant, and binary to unary.

The FP shell thus allows commands that could not be written under, say, sh. The construction operator, for instance, allows the FP shell command:

#### C o [B2,B1] o A : input

This feeds the "input" into A, simultaneously piping the result through B1 and B2 before piping the result into C.

This kind of language design experimentation would be much more difficult in almost any other operating system. Thus, the flexibility of UNIX allows the UNIX community to be a sort of distributed computer science laboratory.



#### THE KORN SHELL

For an immediately practical alternative to the current shells, many people are looking at the Korn shell, ksh, described in D.G. Korn's paper "Introduction to KSH" (Usenix Proceedings, Summer, 1983). Korn shell advocates claim that it is better than **sh** for programming, better than csh for interactive use, and faster than both.

Some significant **ksh** programming features are aliases, arrays, built-in arithmetic, functions, enhanced I/O, variable attributes. and full **sh** compatibility.

In addition, a number of interactive features have been added. The Korn shell supports job control and command re-entry à la csh, and in-line editing surpassing it. A ksh user has the choice of using modified forms of either vi or emacs on the command line.

#### **OTHER LANGUAGES**

A number of interpretive languages can be used as UNIX shells. In point of fact, any interpretive language can be used as a shell. It is simply necessary that certain features, such as command invocation, I/O redirection, and so forth, be supported.

A later column will examine a number of these languages, comparing their features both as general programming languages and as shells.

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Richard Morin is an independent computer consultant specializing in the design, development, and documentation of software for engineering, scientific, and operating systems applications. He operates the Canta Forda Computer Lab in Pacifica, CA.

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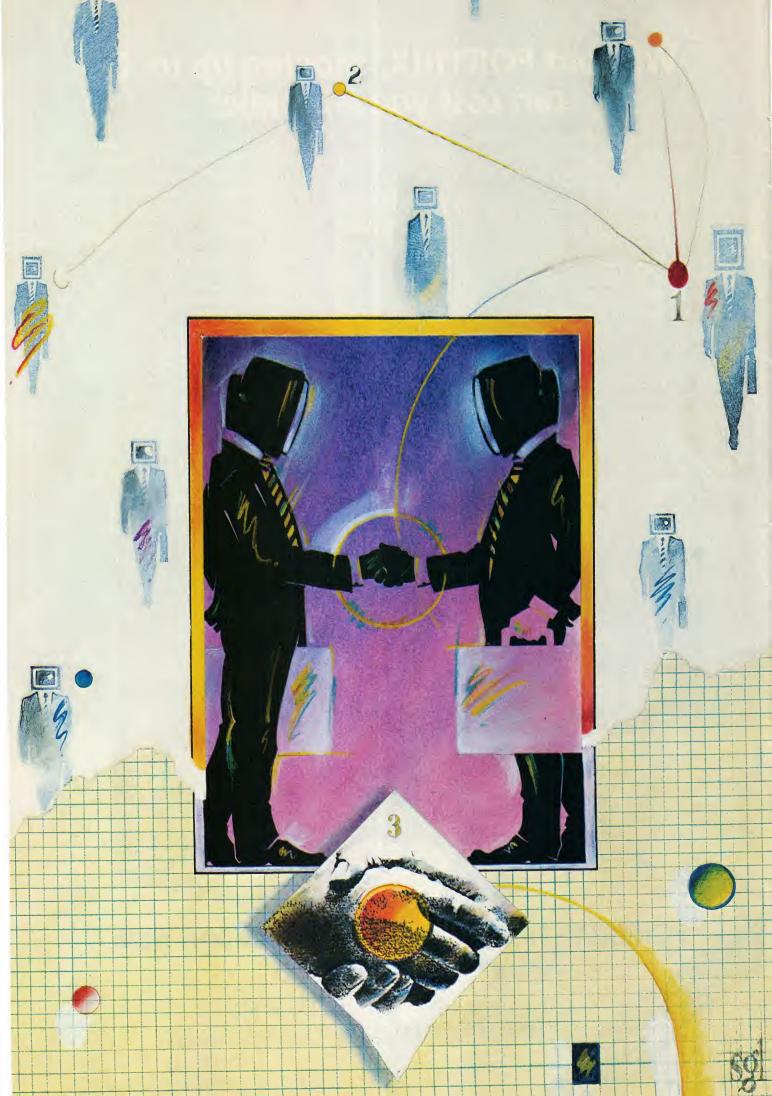
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# THE WHYS AND WHEREFORES OF

In some sense, all networks exist so that some resource might be shared. Even the earliest telecommunications networks, such as the Sabre airline reservation system that connected large numbers of geographically dispersed terminals to a central computing facility, were developed with the shared use of a computing resource in mind.

Since then, though, the term "network" has come to identify an interconnection of peer computers where the aggregate computational capability of the system is equal to the sum of the power of all of its parts. Among the resources of the individual machines that the network can draw upon are computing power, information, and peripheral devices. To achieve such a system, mere physical interconnection is not sufficient; the trick is to create a system that makes resources available in a convenient and efficient way to the entire network. The extent to which this is done depends not only on the skill of the system's implementers, but also on their objectives and the environment in which the system is expected to run.

We can perhaps see this best by examining two extremes in the resource sharing spectrum: the VAXcluster from Digital Equipment Corp. and the ARPAnet. The VAXcluster is a loosely coupled multiprocessor system consisting of several VAXen connected by a 70 mbps computer interconnect bus (the CI bus). Intended to cover only a small area, it is strictly a machine room network. The VAX cluster's software design creates the illusion of a single machine packing the combined power of the CPU, storage, and I/O capabilities of all of the cluster's machines.

The ARPAnet, on the other hand, is a nationwide network of totally autonomous, heterogeneous hosts interconnected by 56 kbps links. Despite the fact that the ma-

# DISTRIBUTED RESOURCE SHARING

An overview of the burgeoning network concept



chines have several different architectures and are separately owned and administered, ARPAnet's network software is a success because it allows architectural chasms to be bridged in such a way that resources can actually be shared. Thus, ARPAnet users can exchange mail with other users, copy files from other hosts, and login to other systems on the network (the last two capabilities, though, require accounts on the other hosts).

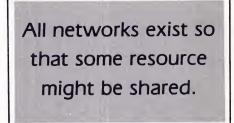
#### ADVANTAGES OF DISTRIBUTED SYSTEMS

One would expect a distributed system for resource sharing to be more complex than a centralized system. The VAXcluster and ARPAnet networks are two examples that bear this out. One question this raises, of course, is: why go to all the extra trouble? Let's study the question briefly by looking at some of the advantages offered by a distributed system. Potential gains include incremental expandability, increased reliability, autonomy, and higher performance. (Since a system must be organized properly to actually achieve these advantages, careful scrutiny to determine the extent to which they have been attained may reveal strengths and weaknesses in a system's design.)

Incremental expansion refers to the system's ability to increase its capacity gracefully in small increments—which is to say, the granularity with which the network can be expanded. Also to be considered is the degradation in available computing power that additional loads bring.

At one end of the spectrum, the granule is the whole system. When the continual addition of users or applications overloads such a centralized system, the only options are to replace the system with an upgraded model (if one exists) or to try to split the users up into groups that need little or no communication with each other—which is to say, groups that can get along with isolated systems of their own. Even short of system overload, it should be noted that with systems of large granularity, each additional user represents a reduction in the average amount of computing power available to every other person in the user community.

At the other end of the spectrum, within, say, a network of personal workstations, the granule is the single user. When a new user is added to such a system, a workstation is added as well. The computing power available to each person thus remains constant.



Somewhere between these extremes in granularity, most networks of small, timesharing computers might add a new machine for every five to 15 new users.

Gains in the reliability of a distributed system can come in two forms: graceful behavior in the presence of failures, and high tolerance for faults. Because a system is a network of machines that can fail independently, the failure of a single node clearly deprives the system of that machine's resources, but the rest of the system should be able to continue operation. (This assumes, however, that the system is designed such that the resources that are lost are not critical to the rest of the network.)

Again, a look at examples at polar extremes should be illustrative. The two opposite ends of the failure behavior spectrum consists of a centralized system and a network of personal workstations. When the centralized system fails, all of its users are denied service whereas, when a personal workstation fails, only its owner is out of luck. (As a hedge against this, keeping a "hot standby" can be used as a fairly inexpensive way of getting back on the air quickly.) More advanced distributed systems allow important resources to be replicated—duplicate copies of files, multiple printers, and the like, so that if one instance happens to be down, another can be substituted.

The third potential advantage of distributed systems, autonomy, represents the right of components of a system to operate independently of the whole. Autonomy is one of the requirements for achieving good reliability: if machines can't operate independently enough, then one machine's failure will adversely affect many other users.

Independent operations are also valuable in helping to maximize individual and group productivity. In most organizations, each group has responsibilities to others, but the advantage of allowing each group to determine independently how best to meet those responsibilities has been borne out time and again. It's virtually axiomatic that the freedom to create innovative solutions leads to better performance. Centralized computer systems simply do not provide the best environment for such freedom. In such systems, any major new use affects all current users. Those users (or those who represent them) may thus need to be consulted or taken into consideration before work proceeds.

In a distributed system, it is possible to distribute the authority as well as the computing power necessary to manage this kind of issue. The users of each machine

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can decide how best to make their machine serve their purposes usually without seriously impacting users on other machines. Within the limits of a personal workstation, of course, the user desiring a change need consult no one before making it.

The performance advantages mentioned above stem from exploiting the parallelism available in a network of many machines. The simplest technique to increase a network's performance is just to include more userseach running in parallel with the others. A more complicated method calls for splitting a single application up into many pieces, each of which can run on a separate machine. Given the current state of the art, there is no automatic way of accomplishing this task; the creator of an application must explicitly program it to be executable in parallel. Once the decomposition is accomplished, though, the system can help solve the problem of allocating machines to run the computation.

#### THE ADVENT OF PERSONAL WORKSTATIONS

Although we have concentrated thus far on the general topic of distributed resource sharing systems, there is one particular form of distributed systems that deserves special attention: the personal workstation network. They are the outgrowth of work done by researchers at Xerox PARC, MIT, and CMU who envisioned in the mid-to-late 1970s that computing would be done differently in the 1980s and 90s. True to the researchers' projections, more and more people have turned from timesharing machines to the high-speed local area networks that link workstations together.

From Xerox PARC have come the Alto and Dorado workstations, as well as the Ethernet network; from MIT have come the Lisp Machine and ChaosNet: and from CMU has come a proposal for the SPICE (Scientific Personal Integrated Computing Environment) project. It's interesting to note that the SPICE project proposal includes the telling phrase, ''the era of time-sharing is ending.'' There are many outside of CMU who would agree.

A typical personal workstation, or node, in such a system

The only thing predictable about many timesharing systems is that they will slow to a crawl.

has three major features: a processor with high computing power and a large virtual address space: a high resolution graphics display subsystem; and a high speed local area network connection.

#### BOOSTING INDIVIDUAL PRODUCTIVITY

A fast CPU will provide predictable, fast response—even at 3 pm, when the only thing predictable about many timesharing systems is that they will slow to a crawl. When this response time is consistently less than one second, productivity can increase significantly. Much of the enthusiasm for workstations turns on this very point.

A graphics subsystem on the backplanc with the CPU can provide the user with communications capabilities that are orders of magnitude better than those that a 9600 baud line can provide. A powerful CPU, a large address space, and robust graphics combine to enable the workstation to run large, sophisticated, highly interactive applications tailored to the task at hand. In addition, multiwindow user environments make it possible to execute several such tasks concurrently in a non-preemptive way. (See [3] for a survey of editing with and without windows. Section 1.8 describes integrated window environments.)

A good user interface toolkit, moreover, can help with the otherwise daunting task of creating applications that have high-quality graphic interfaces. Such applications can be quite demanding, using nearly all the workstation's resources; hence, a pagable operating system kernel is desirable. so that infrequently used OS functions can be paged out, freeing memory for use by the applications. In this fashion, the CPU, OS, and graphics hardware software combine to optimize the productivity of the individual.

#### RESOURCE SHARING FOR GROUP PRODUCTIVITY

The network is the pathway to optimal group productivity, since it is the means by which workstation users can cooperate effectively. When correctly implemented, networks provide the unified, comprehensive computing environment users need in order to share (and safeguard) information, programs, and peripherals with the same sort of ease, efficiency, and reliability generally characteristic of timesharing systems. (See [1,2,4] for examples of integrated network systems such as this.)

Convenient information sharing requires that the system support location-transparent file access protocols rather than ARPAstyle file transfer protocols. This is because location-transparent file access allows users to get at Only Sperry can make the following four statements. Our PC runs the XENIX™

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remote files as easily as they can access local files. Accessing a remote file under the ARPA-style file transfer protocol, by way of contrast, requires that the file first be copied to the local node, modified, and then replaced. Convenient sharing also requires a uniform namespace in which filenames must always refer to the same file, meaning that every file in the network must be unique. Without a uniform namespace, it would be cumbersome to exchange programs or shell scripts containing filenames because of the possible need to translate them before use.

Efficient sharing demands a network of approximately the same speed as a hard disk, as well as protocols efficient enough to effectively utilize such speed. (See [5] for a survey of local area networks.) Reliable sharing requires concurrency control to arbitrate simultaneous access to files. In this way, users can be assured of predictable results. (The DFS distributed file system for Xerox PARC [6] provides a good example of special facilities for reliable sharing.)

Although personal information is commonly stored in files, group information is often stored in databases. Thus, a good distributed DBMS is needed if networks are to be as conveniently usable as centralized systems. Like a good file system, a DBMS should provide location and concurrency transparency (although the concurrency control should probably allow a higher level of concurrency than would typically be expected of a file system.) In addition, a DBMS should offer replication and failure transparency. Replication transparency allows multiple copies of the database to be manipulated as if they were all combined into a single copy. Failure transparency means that operations on the database are reliable even if some or all of the nodes involved in the operation (or the network) fail. (Citation [7] discusses these concepts in more detail.)

Information is not the only resource in the network to be shared. Others include printers, magtape drives, and communications gateways (such as X.25).

UNIX alone is not sufficient for the support of integrated networks of personal workstations.

The standard means of making these available to all the nodes on the network is to construct a server, a process that runs on the node to which the resource is physically attached. This server can then service requests from other nodes to access the resource in question. Requests, which can come from any node in the network, are sent to the server via the network's interprocess communication (IPC) facility.

Other resources that can be shared include idle workstations lying about in the network. If the good news is that workstations don't slow down at 3 pm, the bad news is that they don't speed up at 3 am, either-unless a server keeps track of workstations that are idle and allocates them to computations that can use the extra CPUs. This can be very useful in the instance of jobs specifically programmed to execute on multiple machines in parallel, or perhaps batch subsystems set to queue non-parallel jobs for later execution on idle machines. Note that this all raises a potential autonomy conflict: if the system becomes over-zealous in efforts to utilize spare capacity, it might try to re-allocate a node—shortly after its user turns away to answer a phone call. Under such circumstances, the predictability of response time would disappear.

Another aspect of group productivity to consider involves network administration. A network user registry enables users to identify themselves quickly to all machines in the network without having to go through the pain of maintaining a separate database of users on each machine. Network troubleshooting and maintenance tools are also necessary since the network is the most vital part of the overall system.

#### UNIVERSAL INTERCONNECTION

Just as personal workstations are more useful when connected with other personal workstations, they are even more valuable when integrated with the other types of computers. Not everyone needs the power of a personal workstation. Some can make do with a PC, while others need facilities personal workstations simply can't provide (like those afforded by a Cray 1, for example). What's more important is that all the various units are connected. A network's utility takes a quantum jump when everyone working on a problem is included. The level at which these users are interconnected is also important: the network must be more integrated than the ARPAnet if it is to be most effective. Thus, a network should interconnect all the PCs, workstations, and mainframes of the organization it serves, even if all the machines are running different system software.

The late 1980s will see networks with 10,000 nodes that incorporate all the computing resources of entire organizations. What's more, as long haul communications speeds go up and costs drop, efforts to extend the intimacy of today's local network environment to geographically dispersed computing environments will surface.

#### SYSTEM DESIGN CENTER AND HERITAGE

Before running out to select a resource sharing network, consider the design center and heritage behind it-as well as the features within it. To make an analogy, almost any two makes of automobiles have the same features: four wheels, an engine, a steering wheel, brakes, and so forth. Nevertheless, the differences between a Mercedes and a Chevette are obvious to nearly everyone. The respective design centers behind the two cars explain the differences best: the Mercedes was designed to be a high-quality, powerful, luxury sports sedan, while the Chevette was intended for cheap transportation. Going one step further, it's important to note that a manufacturer that has only made luxury cars will face a steep learning curve if it wishes to become a successful manufacturer of low-cost cars (just as the converse is true).

The best way to illustrate this in the networking case is to look at some examples, taken from the point of view of a personal workstation network. A system designed originally to operate a machine room network intended to contain a maximum of a dozen or so machines might make use of algorithms that do not scale well to a network of hundreds of personal computers. Other examples can be found of single-process PC operating systems that have had considerable difficulty being stretched to support a multiprocess multiwindow user environment. A former timesharing system, with its emphasis on the

efficient support of many users at dumb terminals, would similarly have a long way to go if it was to provide a highly interactive graphics user environment.

A litany of other examples could be trotted out. For all of that, though, a system's design center and heritage never present an insurmountable obstacle. All systems migrate over time, after all, but vestiges of them often linger. Thus, looking at a system's history can often tell you where its weak (and strong) points lie.

#### THE UNIX ROLE

Where does UNIX fit into all of this? In the 1980s, support for the UNIX program environment is essential for applications portability—just as Fortran was essential in the 1970s. The UNIX environment is much richer than that of Fortran, though, and it is thus capable of supporting far more complex applications without sacrificing portability. UNIX, therefore, is likely to replace Fortran as the standard vehicle for supporting applications if it can itself ever become truly standardized.

Despite these credentials, UNIX alone is not sufficient for the support of integrated networks of personal workstations. Its heritage does not include either graphics or networking; hence, even today, neither System V nor 4.2BSD support integrated graphics or windowed user environments. As a matter of fact, System V doesn't support net-

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# DISTRIBUTED FILE SYSTEM STRATEGIES

The options and their implications

#### by G. R. Sager and R. B. Lyon

A major drawback of using more than one computer to work on a problem requiring the cooperation of two or more individuals is that few systems support transparent sharing across machine boundaries. Rather than applying the tools and procedures they find useful when operating on the same machine, cooperating users on different machines must often resort to extraordinary means to accomplish tasks. Furthermore, users typically cannot move easily from one system to another and still have access to their own environment and files, so the mere availability of accounts on all the machines working on the problem provides no solution.

One step that moves toward a solution is the extension of the concept of a file system onto a network to allow transparent shared read/write file access by machines on the net. In this article, we discuss some of the important issues in the design and implementation of such a file system. There is not enough space to consider all of the issues and alternatives, so our approach is to present several of the major issues, look at them from a UNIX point of view, and offer specific examples taken from Sun's Network File System (NFS).

As an aid to the presentation, let's first look at some terms and concepts that will be used: a *serv*- *er* is a machine that provides file system resources to a network; a *client* is a machine that accesses file system resources over a network; a *user* is a person "logged in" at a client; an *application* is a program or set of programs that execute on a client (usually on behalf of a user); and a *workstation* is a client machine that typically supports one user at a time.

#### DEFINING THE INTERFACE

An obvious way to define the file system interface on the network is to extend the operating system semantics onto the network. The primary advantage of such a *distributed* approach is *transparency*: the entire seman-



tics of the file system are preserved intact. A stumbling block, though, is that most extant operating systems (including UNIX) were not designed to be distributed, giving rise to a number of problems we will discuss later.

The services approach offers an alternative: simple, well-defined services are provided to the net by server machines, and are accessed from client machines by users and applications. This approach emphasizes the interfaces presented to the net, rather than the implementations at the endpoints, resulting in an open system that can be used by a variety of operating systems and machines.

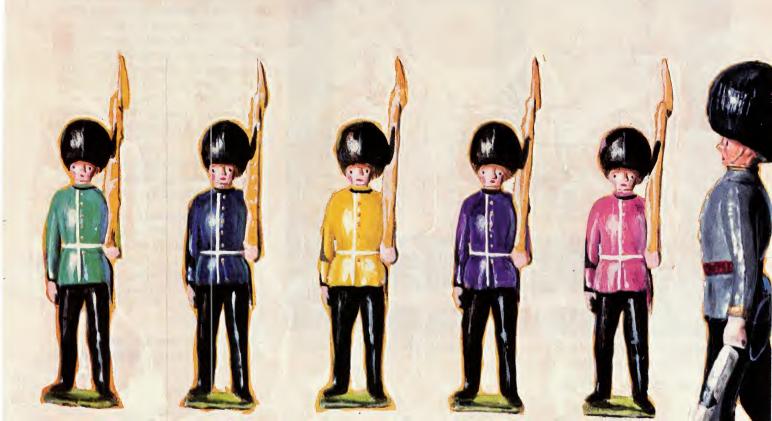
The NFS, for example, defines a generic file system protocol using the Remote Procedure Call (RPC) and the eXternal Data Representation (XDR) [1,5] package to present the protocol to the net in an operating system and machine-independent way. Thus, it is possible for a variety of operating systems or machines to share files across the net as clients or servers. Since one advantage of the services approach is its ability The primary advantage of a distributed approach is transparency: the entire semantics of the file system are preserved intact.

to connect unlike systems, it is important to keep the semantics of the file system service general. Simplicity makes it easier to implement client and server interfaces; low entry cost encourages future development of a rich variety of client and server systems.

The disadvantage of the services approach is that transparency may be more difficult since users or applications may become aware that service from the net differs from similar service available locally. In the case of the NFS and a UNIX client, the client operating system provides the layer between the net and the user or application so that transparency is maintained.

#### ACCESSING THE INTERFACE

Introducing remote file system access into an extant operating system is made more difficult when the operating system defines and controls its own file system. UNIX presents a classic example of this problem. In Sun's UNIX implementation of the NFS, the problem is solved by cleanly separating file system operations from the semantics of their implementation (Figure 1). This clean separation is known as the vnode interface. Above the vnode interface, the operating system deals in vnodes, while below the interface, the file system may or may not implement inodes. From a vnode, the operating system uses the vnode interface to connect to a virtual file system (VFS). A VFS is in many ways analogous to a device driver: there is a well-defined interface to the rest of the operating system, and within that





interface, a great variety of devices can be supported.

A local VFS connects to file system data on a local device. The remote VFS defines and implements the NFS interface. The remote VFS uses the RPC mechanism to access the NFS server. RPC allows communication with remote services in a manner similar to procedure calling mechanisms available in many programming languages. NFS and RPC "high-level protocols" are described using the XDR package. XDR permits machine-independent representation and definition of high-level protocols on the network.

The arrows of Figure 1 show the flow of a request from a client (upper left) to local file systems (lower left) and to a file system on a server (lower right). In the case of access through a local VFS, requests are directed to file system data on devices connected to the client machine. In the case of access through a remote VFS, the request is passed through the RPC and XDR layers onto the net. On the server side, requests are passed through the RPC and XDR lavers to an NFS server, which in turn uses the vnode interface to access a local VFS and service the request. This path is retraced to return results.

#### PERFORMANCE

A remote file system with poor performance will not gain wide use or acceptance, despite what other advantages it might offer.

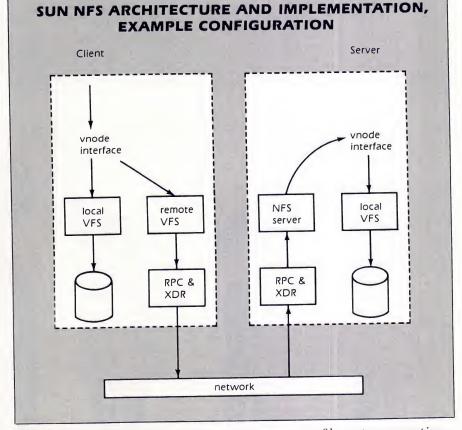


Figure 1 - An illustration of one way to separate file system operations from the semantics of their implementation.

Therefore, performance must be considered from the beginning; some useful design guidelines are:

• move work from the server to the client whenever possible.

• allow client caching of data blocks, especially for read-ahead.

• allow short-term caching of file status information on the client.

• avoid excessive locking and synchronization requirements.

• minimize reliability and recovery overhead, especially for the servers.

#### FILENAME SYNTAX AND SEMANTICS

Remote filenames should look like names provided by the client operating system; thus, a UNIX client should use the UNIX pathname syntax and semantics, and access to remote files should come through a **mount**ed file system.

A less obvious design decision comes in the division of responsibility for pathname interpretation—that is, when a client encounters a **mount** point that goes onto the net, does it pass the remainder of the pathname to the server for interpretation or does it continue to interpret the pathname itself, element by element?

Important advantages are gained by having the client do pathname interpretation. They include:

• the server may be running a different operating system and use different syntax for pathnames.

• a client can **mount** a private directory on a remote directory. This has transparency implications, since applications requiring private spool areas would otherwise have to be rewritten to run on a "distributed" version of the operating system.

• a client can **mount** a remote file system in a remote directory. This

means that the client, rather than the server, takes responsibility for maintaining information related to the file tree it sees on the net.

• complex service arrangements involving more than one server and client are prevented, simplifying performance, recovery, authentication, and deadlock properties of the network.

#### INPUT/OUTPUT REQUESTS

There is a choice between three basic options that a file I/O request can exercise: it can ask for an entire file, blocks, or bytes.

Asking for an entire file means the file is transferred to the client when it is opened, and transferred back when it is closed. This implies that the client has enough local storage to hold the file, which can be a problem for a small diskless workstation that's dealing with large files.

Block-level requests mean that the block size is defined to be part of the interface presented to the network, and that blocks are transferred as a part of read and write operations. Most UNIX systems can be configured to use a new block size and some deal simultaneously with file systems using different block sizes. Other operating systems may have a preconceived notion of block size and find it difficult to deal with the size presented by the server.

The third choice, which the NFS uses, is to employ byte-level requests. This means that the server is willing to accept requests starting at any byte in a file and running to a length of any-



mum number of bytes (defined by each system). Byte-level naming presents the greatest flexibility to the client since it can simulate either of the previous methods. Of course, requests of certain sizes server and the packet sizes on the network.

The choice of request size also has implications for synchronization. For example, byte-level locking is problematic if the interface

The services approach emphasizes the interfaces presented to the net, resulting in an open system.

requires file or block-level transfer. This is because the unit to be locked is smaller than the basic unit the interface deals with.

#### **RELIABILITY AND RECOVERY**

Most popular operating systems are written to run on a single computer, and they tend to assume that they know and control

everything within their domain. As a result, use of the distributed approach to extend an operating system or any substantial part of its functionality onto a network requires a great deal of consistency and synchronization (state) among participating machines. With care in design and implementation, such a system can perform well in normal circumstances. However, it is unlikely that it can perform well in the face of failures. As the number of machines increases, it becomes more likely that at least one will experience difficulties. Furthermore, the effort required to maintain and recreate state across a failure and recovery of a client or server increases with the number of machines. Thus, in a large net, substantial amounts of computing resources will be spent in recovery activities.

With a distributed approach, there is an implicit trust between systems that state will be properly maintained. In the case of a workstation environment, this is not a safe assumption, as the machines



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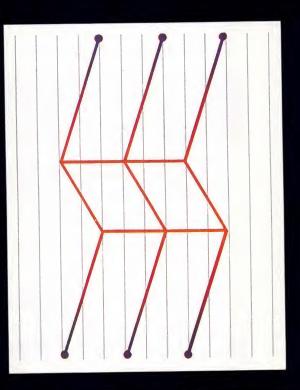
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often are not under the control of a disciplined operations staff. Also, the interface is made more complex by the need to maintain and recreate state. Hence, the implementation of a client or server becomes more difficult. These two facts may ultimately eliminate PCs as clients.

The NFS approach defines the interface such that file servers are stateless. This means that servers do not remember from one transaction to the next anything about their clients, the transactions they completed, or the files they operated on. For example, there is no NFS open operation, as this would imply that servers remember what files are open. Of course, the UNIX client interface uses an open operation, but the information gained in the operation is remembered only by the client for use in later NFS operations.

The major advantage of a stateless server is the robustness it displays in the face of client, server, or network failures. Should a client fail, it is not necessary for a server (or human administrator) to take any action to continue normal operation. Should a server or the network fail, clients can retry NFS operations until the server or network is fixed. Once the server or network becomes operational, clients may resume operation as though no failure occurred.

A stateless interface should also support *idempotent operations*. This means that applying an operation more than one time has the same result as applying it only once. Thus, retrying "failed" operations is safe. For certain operations, such as *read* or *write*, idempotence is easy. Others, such as *remove* or *rename* are difficult, but engineering approximations can be obtained by having the server keep a cache of recent operations to recognize retries and by having the client ignore certain error returns on retried operations.

On the negative side, it is difficult or impossible to provide stateless versions of features like locking or special files. This complexity is required by very few ap-

With a distributed approach, there is an implicit trust between systems that state will be properly maintained.

plications, and it is often the case that complex features are "not quite right" for any given application. The NFS approach is to provide these types of services as companion "cafeteria-style" offerings so that only those customers who require them will pay the extra cost in performance and complexity. Furthermore, these services can be built using a "toolkit" philosophy so that customers can easily tailor features to fit their own requirements.

#### ADMINISTRATION AND MAINTENANCE

If one takes the view that the distributed file system is being used to join extant systems, then it is natural to propose that each system provide a mapping from other systems to its own view. For example, the */etc/passwd* file on each system can be made to map a remote **uid** and **gid** to a local **uid** and **gid**.

This quickly becomes a nightmare to administer; when a new user is added to the collection of systems, an */etc/passwd* entry must be made on every system. And having different numeric **uid**'s and **gid**'s for the same user causes difficulties when that user moves from system to system.

The NFS approach is to "flatten" administrative data, so that the cost of obtaining sharing can be paid just once at the beginning. Since many multicomputer installations already attempt to maintain common administrative data for their systems, the effort required may be small.

In order to ease the task of administration, a companion service called the *Yellow Pages* (YP) is provided with the NFS. From the point of view of the servers and clients, the YP is a centralized read-only database. For a client, this means that an application's access to the data served by the YP is independent of the relative locations of the client and server.

The YP is a collection of cooperating server processes that use a simple discipline to distribute data among themselves. Thus, the servers share the load of providing access to data, and the failure of a server need not disable the network. The YP does not implement a true distributed database since, for every relation in the database, one YP server is designated to control the update of data for the entire collection of YP servers.

Thus, the administration of an entire network of servers and clients is done from a single point of contact. Should the control server fail, an alternate server can be designated as the control. The policy for distributing changes through the network yields a weak form of consistency: the databases across the network will be consistent after a "reasonable" time has elapsed. A system administrator can have changes distributed periodically according to a schedule, or can have them

Continued to Page 94



# THE UNTAPPED POTENTIAL OF REMOTE PROCEDURE CALLS

A Courier of good tidings

by Steven F. Holmgren

Though the use of remote procedure calls is not yet widespread, these mechanisms for the remote execution of software offer a rich form of system interaction. Those who have RPC capabilities can execute remote software on a subroutine-by-subroutine basis. This allows the user to obtain finite data or data descriptions by calling remote subroutines with a finite series of parameter data in a specified execution environment. Among the tasks accomplished by the calling procedure are the packaging of the parameter data. the establishment of a remote execution environment, the transmission of the packaged request, the receipt of the completed execution, the unpackaging of the results, and the delivery of those results to the initiator of the call.

Unfortunately, hype from network vendors about the generic capabilities of their products has tended to cloud common perceptions about remote procedure call mechanisms, and networking in general. Broadly speaking, networking today offers the ability to exchange text-based files and emulate remote terminals. From a user's viewpoint, this is hardly an easy environment to work within. It forces users to understand the topology of their local network; the location, format, and sizing of any interesting data within that network; and the command syntax and sequencing of whatever

operating system might be necessary to manipulate the data. Moreover, the user of today's typical network product must have some form of access authorization to get at data in the first place. This may require users to have a number of different login identifiers, with passwords that could change monthly.

This sort of arrangement simply isn't the ''tie all your machines together'' panacea that many users might have thought they had purchased. The user is not usually chomping at the bit to jump into the middle of the file transfer process or to provide remote password information; rather. what the user wants is to acquire whatever applications, data, or software is necessary to get the job done.

The remote procedure call model offers vastly increased flexibility in processing system interaction. It has been long held as an ideal for communications developers to work toward. With an operational remote procedure call capability, users can actually select from a variety of remote machine services (such as sort) instead of chafing under the constrictions of the limited set of fileby-file or remote terminal interactions that are commonly found in "modern" networking products.

Subroutine call and return processing typically takes a few milli-



seconds in a modern processor. In the remote procedure call environment, parameter encode/decode processing and transmission overhead can take as long as 500 milliseconds. This performance differential has historically made it impractical to use remote procedure calls for system interaction. The recent advent of 10 mbps Ethernet communications and high speed 32-bit microprocessors have created a set of processing economics that allow users to communicate data reliably between applications at over one million bits per second. This processing and transmission performance has led to a renewed interest in remote procedure call models of system interaction.

Because Xerox became one of the earliest progenitors of readily available high-speed, local communications when it first offered a 2.94 mbps version of the Ethernet, it is not surprising that some of the best known work in the area of remote procedure processing stems from the Xerox Network System (XNS) family of protocols.

The remainder of this article will develop a reference model for remote procedure call protocols, discuss the XNS Courier model, and finally suggest two evolutionary paths that the technology might follow.

#### REMOTE PROCEDURE CALL REFERENCE MODEL

The overhead required to process a remote procedure call is significant, and the performance of that overhead processing has a lot to do with the success or failure of a remote call implementation. A brief look at the component steps of a remote call should lay out the scope of the problem and serve as a framework for future discussions.

- 1) establish an execution environment.
- 2) request procedure and pa-

rameter encoding.

- 3) request transmission.
- 4) request procedure and parameter decoding.
- 5) execute task.
- 6) encode reply.
- 7) transmit reply.
- 8) decode reply.
- 9) tear down execution environment.

#### **EXECUTION ENVIRONMENT**

The establishment and subsequent destruction of an execution environment is required for the management of a reliable, consistent communications path between the initiating procedure

The remote procedure call model offers vastly increased flexibility in processing system interaction.

call environment and its companion execution environment. At minimum, this requires the construction of a reliable communications circuit from the initiating network node to the executing network node. Data for this purpose includes: a network name, address, and route to set parameters for a network connection request. Once remote service availability has been established, authentication information is required (a username and password, for example). Finally, user profile information, such as pathname search rules or name alias information, needs to be exchanged if the execution environment is to be properly conditioned for the procedure calls themselves.

Each implementation and some protocol specifications require a policy decision about when to establish and tear down an environment. The choices include: 1) a high overhead policy in setup and teardown after each request, 2) the establishment of a global remote environment whenever any execution is taking place, and 3) the destruction of environments on a least-recentlyused time basis-in effect caching other environments under the assumption that they might be needed.

#### PARAMETER ENCODING AND DECODING

When communicating with a different breed of systems, it is important to express binary and character information in a consistent, standard fashion. Typically, this involves the creation of a data structure that describes the parameter type (binary character, binary integer, or complex, for example) followed by the actual parameter value. Complex information concerning the length of a parameter (such as the size of a character string or the number of array elements) is also encoded in a prepended field followed by the parameter itself (or, in some cases, by a series of parameters). Various forms of encoding and decoding software exist to transform arbitrary information into an encoded string or to take an encoded string and produce localized versions of the decoded parameter information.

In a technology where end-user performance is strongly associated with end-user acceptance, it is important to realize that the encoding and decoding process is extremely time consuming. Further, since the process is performed four times for each remote procedure call, it should be noted that its overhead is magnified and that particular attention needs to be paid to optimizing the software that implements it.

#### REQUEST AND REPLY TRANSMISSION

Transmission bandwidth is also critical to the overall performance of a remote procedure call system. Procedure call performance must fall within some reasonable time boundary or users will find other ways to accomplish their work. It is suggested that 10 mbps communication channels be the minimum performance communication path in any commonly used environment. Further, signaling bandwidth must be coupled with a high-speed network processing engine in order to ensure reliable data communications on a packet-by-packet basis between network nodes. As a rule of thumb, application level performance must approach a one million bit per second data rate in order for general response communications to take place.

#### COURIER: THE REMOTE PROCEDURE CALL PROTOCOL

The Courier defines remote programs that are uniquely numbered. Each program contains a series of procedures that may be invoked as a service to network users. Within each remote program, remote procedures are uniquely numbered and the appropriate return and error values are defined.

Environment management is handled by the creation of a reliable data stream at the beginning of a "session". The data stream is kept open until the session ends. Reliable data stream communications are supported by the Xerox Sequenced Packet Protocol.

A formal grammar specifying Boolean, cardinal, integer, long integer, string, and untyped data types handles the parameter encoding and decoding. In general, Boolean data types are single-bit entities in a 16-bit field, cardinal types are 16 and 32-bit unsigned binary entities, integer types are 16 and 32-bit binary entities, and strings are delineated by a 16-bit field followed immediately by 8bit characters. Given these basic data types, a number of "constructed" types can be defined to allow for arrays, enumerations, sequences, records, and choices. These synthetic types are combinations of the basic data types with a different organization. Using this scheme, all parameters, procedure identifications, and return values are virtualized. Even though attention has been paid to the need for minimizing the encoding and decoding process, there is still significant overhead in support of what Courier names the *object layer*.

In addition to the object layer, Courier defines a message stream layer to add context to the data. The message stream contains



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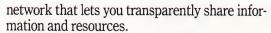


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four data types: *call*, *return*, *reject* and *abort*. The *call* and *return* types are used as part of the normal processing of a procedure request and reply. The *reject* request indicates a system-level failure in the processing of a request, and *abort* is used to indicate programmatic level errors.

Operationally, Courier sets up a Sequenced Packet Connection, selects a unique number to represent the remote program to be called, and selects a unique number to represent the remote procedure to be called within the remote program. It then encodes the parameters and issues a *call* request. A *return* reply will then be received with any associated data.

The unique program number is

assigned in much the same way that unique Ethernet addresses are assigned. The unique procedure number that is assigned within a remote program is a 16bit value selected by the implementor. If there is one particularly common criticism of the Courier, it relates to this manual assignment of a unique number to all programs. The practice is still conceivably workable, but given that most of the software in the world has been generated during the last 30 years, one wonders how many "billions and billions" of remote programs will be sold and thus need to be numbered over the next 30 years.

Courier is fairly basic in that it uses a single procedure call-perinteraction and accomplishes re-



mote processing by grouping remote procedure calls. Procedure selection and parameter typing are made as efficient as possible on a call-by-call basis so that any real improvements in the practical implementation of the protocol must come from superior communications line performance, encoding performance, or execution performance.

There are, however, global optimizations that could be made by extending the protocol to add global intelligence to each procedure request. In this way, the number of request and reply transactions can be reduced without dampening the level of remote processing.

#### PROCEDURE CONTROL PROTOCOL

One of the first ways in which the protocol can be extended is by adding remote evaluation of procedure return data. This should occur before the data is encoded for return, so that the initiator might have an opportunity to modify the parameters and reissue the call at the execution site. Constructs to do this could be patterned after modern program command languages such as the standard UNIX shell. Simple capabilities such as while, do, ifelse, and the remaining set of industry standard program control modifiers would thus become as applicable to the control of remote processing as they are today to the semi-automatic handling of commands in a generalized timesharing environment.

#### DISTRIBUTED PROCESSING PROTOCOL

In the Courier protocol, remote procedures are selected from a fixed list of procedures. The list is organized manually by remote procedure numbers under each remote program. There are a number of ways to make this manual process more flexible.

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The use of globally distinguished program and procedure names instead of numbers is one such way. One can also add naming domains, regional administrations, and sub-hierarchies to the naming process. For all this, though, the user is still essentially limited to selecting an existing remote procedure from a pre-defined list.

There is a larger optimization possibility that extends the list of pre-defined procedures to include the execution of whatever data is included as a parameter to the request itself. In effect, this sends a procedure to a remote system as part of a *call* request and lets the remote system support its execution with the appropriate parameters, data, and resources. Some form of programming language would need to be included in each remote procedure call request to make this approach work. The flexibility derived from such an organization and the possibility of simultaneously processing operations would substantially enhance the coupling of processing resources.

The range of possible applications involving a series of distributed machines and a distributed procedure protocol exhausts the imagination. For example, an application might allow a user to formulate a database request that searches through the database for selected data, isolates the selected data, and returns a reply when complete. A user could also send requests off to a series of machines, each processing in parallel. As the replies were returned, the user could then integrate the associated data into its final form. An alternative application might

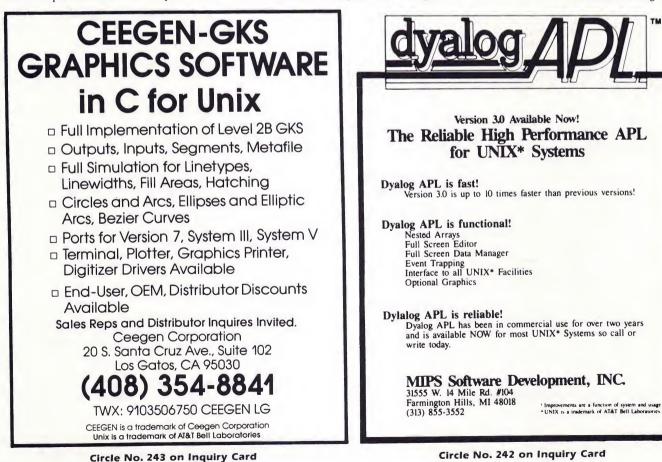
involve the transmission of a text editor to the data so that data modifications might be performed "on site".

One final application could include the use of a program capable of transmitting itself to a series of different sites for the purpose of gathering opinion data from users. While moving from one site to another, it could issue intermediate results and status reports about its progress. When such a program had polled all of its users, it could return to its initiating site to report final results.

#### CONCLUSION

Today, resource sharing is most widely supported by text-based file exchange and remote terminal interaction. The remote procedure call concept offers a much

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# C ADVISOR

Remote procedure calls

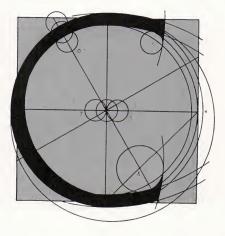
#### by Bill Tuthill

Last month's column was a discussion of how 4.2BSD sockets permit communication between processes on the same machine, and how they can link processes on different machines. As demonstrated by the examples, sockets are not easy to use, though they may be efficient to implement. The remote procedure call (RPC) mechanism is an alternate means of inter-process communication. Since RPC is easier to use than are sockets, and since it has been implemented with sockets, one could say that RPC is higher-level.

Because RPC is new to most system developers, it's hard to predict what kind of popularity it will achieve. An important early RPC implementation was Courier, used in Xerox Network Systems. This article discusses a recent implementation by Sun Microsystems, heavily influenced by Courier, but based on UNIX.

In the RPC model, programs call a procedure that sends data packets to a server, where a dispatch process services incoming requests. When a request is completed, the server process sends back a reply, and the procedure call returns to the client program. Figure 1 shows what sockets look like; Figure 2 shows what RPC looks like.

At the simplest level, programs can make RPC calls as if they were normal procedure calls on the local machine. In order for this to work, the system has to be furnished with library routines such as **rnusers()**, which returns the number of users on a given host. Figure 3 shows how to obtain the number of users on any machine connected to the network. If a system hasn't been furnished with the proper routines, things are a bit more difficult. First, it's necessary to set up a daemon on the server machine, and



register the required routines. Remote procedures are registered with a 96-bit quantity that encodes program, version, and procedure number. This way, when it receives a remote procedure call, the daemon knows what routine it should call to service the request.

For example, suppose there is a remote database, structured like */etc/passwd*, containing information about all users on the network. Figure 4 shows how the daemon registers the service. The **registerrpc()** call establishes a

correspondence between a given C routine and a particular RPC procedure number. In this example, we used the assigned program number PWPROG, version number PWVERS, and procedure number PWPROCNUM. These numbers are not cast in concrete, but server and client must agree on their values. The final two parameters are input and output routines for packaging network data going to the **netpw()** routine. The **svc—run()** call is a library routine that goes into a wait state in order to receive RPC requests coming over the network. It should never return, because this program runs as a daemon.

The **netpw()** routine is quite simple, calling the library routine **getpw()** to retrieve an entry from the password file, given a user ID. Because **xdr—string()** needs the address of a string pointer, rather than merely the address of the string's first element, we return the address of **pwline**, which points to the **buf** array.

After setting up a server machine, programs on the client machine can call the network service as demonstrated in Figure 5. The **callrpc()** routine takes eight parameters: the remote machine, the program number, the version number, the proce-

# C ADVISOR

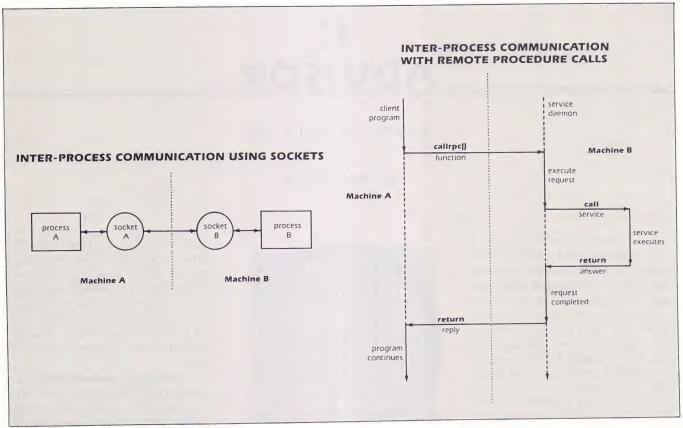
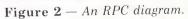


Figure 1 - A diagram of a socket.



#include	<stdio.h></stdio.h>		
main(arg int arg char *a {			
	if (args < 2) f		
	<pre>fprintf(stderr, "usage: %s hostname</pre>	:\n'', argv[0]);	
	} if ((num = rnusers(argv[1])) < 0) { fprintf(stderr, "error calling rnus exit(-1);	sers\n");	
	<pre>} printf("%d user%s on %s\n", num, (num &gt; 1) exit(0);</pre>	? "s" : "", argv[1]);	
}			

Figure 3 - A program for obtaining the number of users on any machine connected into the network.

```
#include <stdio.h>
#define PWPROG 0x20000000
#define PWVERS
                         1
#define PWPROCNUM
                         1
char **netpw();
int xdr_int(), xdr_string;
main() /* register remote prodecure */
{
        registerrpc(PWPROG, PWVERS, PWPROCNUM, netpw, xdr_int, xdr_string);
        svc run();
                              /* never returns */
        fprintf(stderr, "error: svc run() returned!\n");
        exit(1);
char **
netpw(uid)/* given uid, return line from password file */
int *uid;
{
        static char buf[BUFSIZ], *pwline = buf;
        if (getpw(*uid, buf) != 0)
                strcpy(buf, "bad password entry");
        return(&pwline);
}
```

Figure 4 - The way in which a daemon establishes a correspondence between a C routine and an RPC procedure number.

```
#include <stdio.h>
#define PWPROGOx2000000
#define PWVERS 1
#define PWPROCNUM 1
int xdr_int(), xdr_string();
main(argc, argv)
int argc;
char *argv[];
{
        char *pwline;
        int uid;
        if (argc != 3) {
                fprintf(stderr, 'usage: %s hostname uid\n', argv[0]);
                exit(1);
        }
        uid = atoi(argv[2]);
        if (callrpc(argv[1], PWPROG, PWVERS, PWPROCNUM,
            xdr_int, &uid, xdr_string, &pwline) != 0) {
                fprintf(stderr, "error calling callrpc\n");
                exit(2);
        }
        printf("%s\n", pwline);
        exit(0);
}
```

Figure 5 — An example of how programs on a client machine can call for a network service after a server machine has been set up.

dure number, the input argument type, the address of the input argument, the output argument type, and the address of the output argument. Input and output argument types are in fact routines that package network data.

One problem in network data transmission is that different machines on the network have different architectures. For example, even though the VAX and the M68010 have the same word size, the 68010 is forward byte-ordered, while the VAX is backward byte-ordered. Consider the **writer** program, shown in Figure 6, and the **reader** program, shown in Figure 7. Piping the output of **writer** to **reader** gives identical results on a 68010 or a VAX.

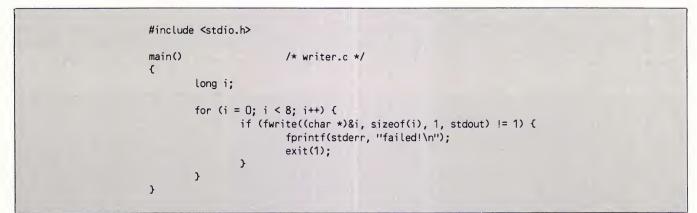
```
sun% writer | reader
0 1 2 3 4 5 6 7
sun%
---
vax% writer | reader
0 1 2 3 4 5 6 7
vax%
```

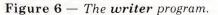
But if **writer** is run on a 68010, and **reader** is run from a remote shell on a VAX, the results are bogus:

```
sun% writer | rsh vax reader
0 16777216 33554432 50331648 67108864
83886080 100663296 117440512
sun%
```

Identical results are obtained when executing **writer** on the VAX and **reader** from a remote shell on the Sun. These results occur because the byte ordering of long integers differs between the VAX and the Sun, even though word size is the same. Note that 16,777,216 is  $2^{24}$ —when four bytes are reversed, the 1 winds up in the 24th bit.

It is evident that RPC won't work across different architectures unless data is encapsulated in a machine-independent way. The **xdr—int()** and **xdr string()** routines in the **registerrpc()** and **callrpc()** examples above are part of Sun's external data representation (XDR) package, a vehicle for transmit-





#incluc	de <stdio.h></stdio.h>
main() {	/* reader.c */ long i, j;
	<pre>for (j = 0; j &lt; 8; j++) {     if (fread((char *)&amp;i, sizeof(i), 1, stdin) != 1) {         fprintf(stderr, "failed!\n");         exit(1);     } }</pre>
,	<pre>printf("%ld ", i); } printf("\n");</pre>

Figure 7 — The reader program.

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Programmable Function Keys	Yes	
Multiple Modem Support	Yes	Yes
File Transfer Mode		
Error Checking Protocol	Yes	Yes
Wildcard File Transfers	Yes	Yes
File Transfer Lists	Yes	Yes
XMODEM Protocol Support	Yes	
Compatible with Non-Unix Systems	Yes	
Command Language		
Conditional Instructions	Yes	
User Variables	Yes	
Labels	Yes	
Fast Interpreted Object Code	Yes	
Program Run	Yes	
Subroutines	Yes	
Arithmetic and String Instructions	Yes	
Debugger	Yes	
Miscellaneous		
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Expandable Interface	Yes	
CP/M, MS/DOS Versions Available	Yes	



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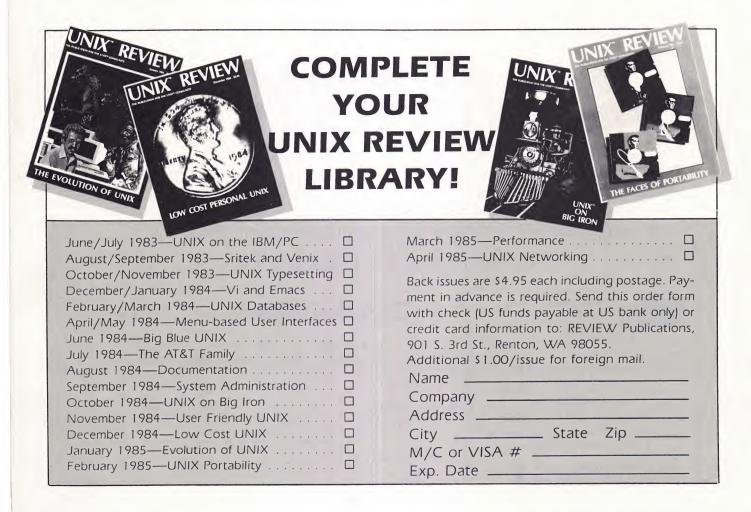
ting RPC data in a machine-independent way. Conversion from one machine representation to XDR format is called *serializing*, while the reverse process is called *deserializing*. XDR routines are bidirectional, in the sense that the same routine can serialize or deserialize data. Here is a list of some built-in routines:

xdr int()	xdr_float()
xdr_long()	xdr_double()
xdr short()	xdr_enum()
xdr u int()	xdr_bool()
xdr u long()	xdr_string()
xdr_u_short()	xdr_bytes()

The routines in the left column are used for integers, long integers, and short integers (signed and unsigned). The routines in the right column are for floating point and double precision numbers, enumerated types, Booleans, null-terminated strings, and byte-counted strings. Structures and arrays can be serialized and deserialized by combining the primitives above.

The advantage of RPC is that it allows useful facilities, such as distributed databases and network file systems, to be implemented without undue difficulty. The disadvantage is that it adds a level of complexity to the system, and provides features that make sockets redundant. Without pre-packaged library routines, RPC is harder to use than are local procedure calls. Furthermore, administrative effort is required to ensure that remote procedure numbers do not conflict with each other.

Bill Tuthill was a leading UNIX and C consultant at UC Berkeley for four years prior to becoming a member of the technical staff at Sun Microsystems. He enjoys a solid reputation in the UNIX community earned as part of the Berkeley team that enhanced Version 7 (4.0, 4.1, and 4.2BSD).



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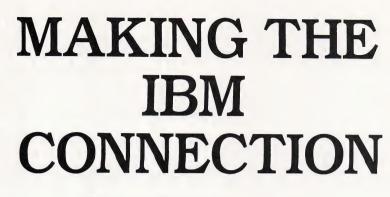
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Proposals for orchestrating UNIX networks and mainframe databases by David L. Buck

The recent popularity of the m UNIX operating system in the fra commercial marketplace is at th least partially due to the ease of m developing and porting applications to the computers that run it. no That popularity may be lost when (B it comes to large companies or ch data systems, however, if users

That popularity may be lost when it comes to large companies or data systems, however, if users cannot find ways to integrate the use of their UNIX systems with the use of non-UNIX mainframes and their various computing environments. Fortunately, manufacturers of UNIX-based computers are gradually taking steps to make cross communications convenient

Departments within large companies may find they can use UNIX computer systems to do their own work—with the blessing of their MIS groups since the load on corporate mainframes can thus be reduced. However, these departments will be limited in the scope of their work so long as they cannot share data with other systems. Users need access to mainframe data and computing resources, while the MIS department must ensure that access is controlled.

UNIX systems provide for the controlled sharing of data and computing resources with the UUCP suite of utilities. But UUCP has the disadvantage of not being compatible with most other non-UNIX computer systems, and of having only an asynchronous protocol.

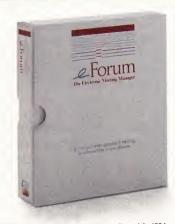
Most UNIX system suppliers have recently started to recognize the need for their systems to communicate with non-UŅIX mainframes, and so have implemented the protocols that are most commonly used by those systems, including IBM's Binary Synchronous Communications protocol (Bisync) and Systems Network Architecture (SNA).

Interestingly enough, IBM is not included in the set of manufacturers bridging UNIX to IBM protocols. Though it recently announced the availability of UNIX System V on that old IBM workhorse, the Series/1 minicomputer, it did not announce the availability of any synchronous communications software for the UNIX port, specifying UUCP instead as the primary means of transferring data to and from UNIX as it runs under VM/370. Meanwhile, IBM did announce SNA networking extensions for the IBM PC that allow users of the small machine to get into SNA via a Series/1 computer running the standard IBM Series/1 operating system.

Even after Bisync and SNA are implemented on a UNIX machine. much remains to be done in achieving effective communications with non-UNIX mainframes. Most of the software utilizing these protocols on a UNIX system emulate terminals of one type or another, and so the ability to have a UNIX application interact with a database on a mainframe (host) system is limited by the capabilities of the emulated terminal. Broadly speaking, these terminals can be classified as either interactive or batch.



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#### INTERACTIVE COMMUNICATION FACILITIES

An interactive terminal is one that allows a user to work directly with host application programs. IBM's 3270 family of terminals is popular among IBM customers for interactive use since it offers synchronous communications and full buffering. Typical 3270 applications send a screen buffer to the terminal, which provides the user with protected fields (such as "Name" and "Address") and unprotected fields that the user may use to enter data. After updating the unprotected fields on the screen, the user may then press any of several keys in order to transmit only those fields that have been modified back to the host application. The amount of data actually sent is thus minimized and the communications line to the host is kept free, except when the user signals that the screen is ready to be transmitted.

One common means of connecting a UNIX system to an IBM system is by way of a 3270 terminal emulator running as a UNIX application (in much the same way that the **cu** utility allows use of a local terminal on a UNIX system to emulate an asynchronous terminal connected to another system). Such emulators use a Bisync or SNA driver on the UNIX system to connect to the IBM host. The 3270 terminal emulator allows users to switch from running UNIX applications to running host applications.

Data sharing in this mode is generally limited to what the user can do through the keyboard—although it can also sometimes capture the current screen display into a file, or capture data sent from the host application to an emulated printer attached to the 3270's emulated controller. The **cu** utility provides a means of data sharing that is similar in terms of its ability to capture re-

ceived data in a file, and send data out as ASCII files.

More sophisticated users also demand that there be a programmatic interface to the terminal emulator so that an application program might be able to emulate a user typing at the emulated terminal. With this approach, an application that's assisted by the host application can query a database or do limited data entry or modification.

The programmatic interface scheme requires that the UNIX

Most UNIX system suppliers have recently started to recognize the need for their systems to communicate with non-UNIX mainframes.

application know all of the user interactions required to log onto the host application as well as all those needed to request or modify the data records—a tall order that could require knowledge of the format of several screens of data. Moreover, minor changes in the host application will require corresponding modifications to the UNIX application. Typically, these changes can be either easily described to the user in a written newsletter or explained in a message displayed on the screen.

The PC marketplace has popularized a variation on this theme: specialized software on the host and the PC are often used to perform data transfer and translation. For applications such as transferring small spreadsheet data files from one system to an-

other, some PC products have a built-in interface to a 3270 terminal emulator that attaches to a corresponding spreadsheet data editor, file transfer program, or database query application running on the host. Since the same supplier provides both applications, there is no requirement for the user to modify the local application when the host application changes.

The overhead involved in receiving screen buffers and responding to them as a user makes for a slow interface to the host database—one that shouldn't be used to query or update large volumes of data, and thus little more than a kludgey way to connect application to application.

#### BATCH COMMUNICATION FACILITIES

A batch terminal typically consists of a card reader, a printer, and sometimes a card punch. IBM 2780 and 3780 batch terminals have been the industry standard since the late 1960s to early 1970s, and so have been emulated by such major equipment manufacturers as Honeywell, Data General, DEC, and Control Data Corp. Since most mainframes support this older Binary Synchronous batch terminal protocol, minicomputers and microcomputers alike have rushed to add its remote job entry (RJE) capability. The protocol used by 2780 and 3780 terminals is unique in the IBM communications realm in that it supports peer-to-peer communication; this allows one to build programs easily to transmit any file from one computer to another using a common synchronous protocol. IBM's more recently developed Systems Network Architecture batch terminals do not have this peer-topeer capability, however.

The batch terminal implements a simple form of file trans-

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fer in that it regards a collection of card images in a reader as a single file, and then sends them as a single stream of data, broken into blocks of convenient size. Printer output, as well, consists of a collection of print line images similarly segmented. Since the batch terminal typically can only deal with card images and print line images that have a well-understood and simple format, it is necessary first to reformat a data file from fixed or variable-length records into fixed-length records of 80 characters, and then switch it back again to its original form.

This relatively trivial task, though, provides a simple general-purpose file transfer mechanism for connecting machines of differing architectures and software. Since the Binary Synchronous protocol is synchronous and employs block error checking, it provides users with the sort of speed, convenience, and reliability that can't be found in the asynchronous environment.

This is because a bisynchronous transmission consists of eight data bits per byte, multiple bytes per block (on the order of 512 characters per block), with two bytes of synchronization overhead at the beginning of a block. Asynchronous transmissions resynchronize on each byte transmitted, with an overhead of two bits per byte. Under identical circumstances and using a 2400-bitper-second modem, a bisynchronous protocol can transmit approximately 300 characters per second, while an asynchronous protocol tops out at 240 characters per second.

Although bisync protocols and devices are far from the latest in IBM technology, the bisynchronous batch terminal emulation remains the most widely employed file transfer method between mainframes, minicomputers, and many microcomputers because of



Interestingly enough, IBM is not included in the set of manufacturers bridging UNIX to IBM protocols.

its ability to handle peer-to-peer transmissions. IBM's System Network Architecture (SNA), on the other hand, is a centralized network that does not allow direct peer-to-peer transfers without approval from its centralized control node. However, for file transfers between a mini or microcomputer and an SNA node, quite a few features employed by SNA and its batch terminals make it a more attractive alternative than binary synchronous communications.

For one, the 3780 bisync batch terminal reduces transmission times by employing the compression and expansion of sequences of blanks within a record. The 3770 SNA batch terminal, the one most closely related to the older 3780, can employ compression and expansion of sequences of any character. Additionally, the 3770 can further reduce transmission times when it's given a compaction table and compacted data.

"Compaction" is defined here as the substitution of one character for two adjacent "master" characters. Up to 16 master characters may be defined in a compaction table, with an inverse relationship existing between the number of master and non-master characters in the data stream. For example, if a file consists primarily of numeric data and punctuation characters, they could be defined as the master characters, resulting in a two-to-one compaction for most of the file.

The data link protocol employed by the 3770 (and most other SNA devices not collocated with the host computing facility) is IBM's Synchronous Data Link Control (SDLC). SDLC allows up to seven blocks to be transmitted with one acknowledgement covering one or more of the data blocks; what's more, other data can also be "piggy-backed" with the acknowledgement. This feature allows greater data throughput without the disadvantage of large block sizes. Thus, if a transmission error is detected, instead of having to retransmit an entire large block of data, only the small block with the error and those blocks that follow must be sent again.

The Bisync protocol allows transfer in only one direction at a time, meaning that a 2780 or 3780 terminal can either send a file from its card reader, or receive a file at its printer or card punch-but it can't do both simultaneously. The 3776 terminal, by way of comparison, can have up to six separate conversations going on a single communications link, with some conversations consisting of transmissions to the host, others involving the receipt of messages from the host. For transaction processing that Another in a series of productivity notes on UNIX™ software from UniPress.

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requires some response time from the host, the 3776 terminal has the advantage of being able to continue sending transactions even while the host is processing or responding to earlier transactions.

Data directed to the 2780 or 3780 terminal is destined to end up either at a card punch or printer, depending on the device selection code specified at the beginning of the file. The 3770 terminal family also offers the option of configuring diskettes and magnetic tapes, so these devices may be selected as well. Unlike the fixed width of a card image, or the limited maximum width (132) of a 2780/3780 print image, the 3770 printer width can be defined with-

in the data. The 3770 tape and diskette devices, meanwhile, can have fixed record lengths of between one and 128 characters, as specified when the device is selected. The ability to select many different types of devices, and to specify certain ones for specific tasks simplifies applications that use a programmatic interface to a 3770 terminal emulator; data intended for a specific application, for example, could be directed to a particular medium and device address, allowing for easy co-existence of multiple applications.

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interface between UNIX applications and IBM applications via interactive facilities is to have a programmatic interface to a terminal emulator on the UNIX side that is matched either by standard, static software on the IBM host, or user-developed software with a simple, general-purpose screen layout. The important consideration is that the overall interface remain constant.

The advantage of this approach is the access it provides to a large number of IBM applications. This owes to the overall popularity of the 3270 terminal, and the fact that either a Bisync or SNA interface may be used with most of those applications. Its disadvantage lies in the clumsiness of its interface for an applications program. This is due to the fact that it was designed for humans. Likewise, the interactive facilities approach lacks speed since the interface is screen-oriented rather than record-oriented.

Via Batch Facilities. Using batch file transfer capabilities, a trivial interface can be established between an IBM system and a UNIX application, without either of the applications needing to know a great deal about the presentation of data (as is the case under the interactive approach). The intention of the batch interface is to facilitate the submission of "jobs" to an IBM host, and to facilitate the receipt of the output from those jobs, so it is ideal as a UNIX application/IBM bridge. A UNIX application needs only prepare a "job", usually by sandwiching data between two fixed sets of "job control language" (JCL), before handing the results off to a batch terminal emulator. Its only remaining task then is to pick up the results at the batch terminal emulator when the output is returned.

For other—perhaps shorter transactions, a transaction re-

quest can be made in one or more records, which can be sent as card images. One set of transaction requests quickly implemented at my company allows one UNIX system to request a wide range of tasks on a remote UNIX system. We can, for instance, have the remote system send a particular file back, receive a file, and give it a certain name, or execute a given UNIX command using standard input supplied by the transaction and then send back the standard output and standard error data in a response file.

Via IBM's SNA LU6.2. IBM has also given thought to providing an application-to-application interface between different nodes

within an SNA network. The answer it's come up with is affectionately known as LU 6.2. Each addressable component of an SNA network capable of communications, called a Logical Unit (LU), has a "session type", or higher-level protocol, that it finds agreeable. A session type establishes the basic subset of SNA commands used for communications with other logical units; for instance, LU type 2 is used to signify 3270 interactive communications, while LU type 1 stands for 3770 batch communications. LU type 6.2 is a recent addition to the list of session types that are intended for application-to-application communications. But although often mentioned, it is still

not widely implemented.

Unlike the more traditional design-your-own approaches to interfacing applications, the LU6.2 session type has the ability to define the beginning and end of a transaction. It has also defined error recovery so that if an unrecoverable error happens mid-transaction, the steps taken from the point that's marked as the beginning of the transaction to the point where the failure occurred can be backed out. There are also a number of defined commands that allow users to create or destroy files; add, change, query, or remove records; and execute commands remotely.

This then seems to be the ideal Continued to Page 95

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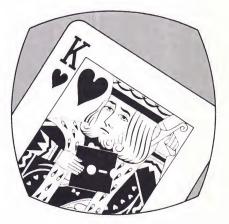
Your day in court

#### by Glenn Groenewold

When Susie Grep learns that her highly successful software, GrepStar, is being brazenly appropriated and marketed by Mastodon as a part of its Sabretooth II system, she is more annoyed than alarmed. As Susie sees it, a call to her lawyer should be all that's needed to put a stop to the infringement. After all, hadn't she been careful to comply with each requirement of the copyright law when distributing her program, and hadn't she rigorously guarded the confidentiality of the source code as her trade secret?

Understandably, Susie is upset when she later learns from her attorney that the problem promises to be a great deal more than an annoyance. Mastodon is no inadvertent infringer. On the contrary, it is taking the position that Susie's program could not legally be copyrighted and therefore is susceptible to use and sale by other companies. "You're going to have to go to court to stop them," her lawyer tells her.

At this point, assuming she is serious about stopping the ripoff. Susie is about to embark on what is likely to be a long and expensive education in just what it means to be a party to a business lawsuit. Right off, her lawyer will probably need a great deal of information in a hurry to prepare the documents initiating the suit properly. Having assembled this data, Susie



might expect the next step to be a court hearing to consider the merits of her claim that Mastodon is making illegal use of her intellectual creation. Unfortunately, it's not usually that simple.

## FIRST, LADIES AND GENTLEMEN . . .

Way back when, the trial of a lawsuit bore a certain resemblance to the Shootout at the OK Corral. Neither party had any way of finding out what evidence the other side might be able to produce at trial, nor could the parties determine whether their opposition was sitting on evidence it considered damaging to its case. Not surprisingly, in those days trials often were pretty suspenseful.

Changes have occurred gradually over the years—partly because of feelings that this style of conducting lawsuits wasn't exactly fair, and partly because dockets have become sufficiently crowded that courts no longer have time to allow litigants to conduct fishing expeditions for evidence at trial. So today it is possible to find out just about everything the other side plans to present in the way of evidence, and also to uncover anything the opposition might have in its possession that might be useful to prove your case. This is accomplished through a process called *pre-trial discovery*.

Since the kinds of discovery that are allowed vary according to what court system is involved be it federal or one of the 50 states—the rules applying to a specific case may be different from those discussed here. But regardless of what court hears Susie's suit, she is apt to learn that discovery proceedings can be a pain.

Early on, she's likely to be confronted with page after page of questions from Mastodon's attorneys. Often, many of these queries will call for business details and other pieces of information that seem to have little or nothing to do with the subject of the lawsuit—and that Susie regards as nobody else's business in any event. She may also find it burdensome or even impossible to come up with many of the answers demanded.

Susie is facing a set of interrogatories. Though it may be hard for her to believe, this procedure was originally intended to make it easier for contesting parties to exchange facts with each other. But lawyers have found it necessary to make interrogatories more and more complex, both to guard against the possibility of evasive answers and to reduce the chance that something might be overlooked. There's also a suspicion that more than a few attorneys use lengthy interrogatories as a device to harass the other side.

Ironically, given our (and Susie's) standpoint, it's the computer that has made it possible for lawyers to turn out many pages of such questions with little expenThough it may be difficult to believe, interrogatories were originally intended to make it easier for contesting parties to exchange facts with each other. diture of effort. Once the computer has the names it needs to plug into the blanks, it can do the rest. These "boilerplate" interrogatories are deplored by most judges, but it's difficult to do much about them. A party to a lawsuit is entitled to learn more than merely the things that clearly can be used at the trial.

Information sought need only be "reasonably calculated to lead to the discovery of admissible evidence." And who can say whether a particular scrap of information might not lead to something that will be valid courtroom evidence?

Another discovery device is the request for *production of documents*. These demands are some-

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times incorporated in interrogatories, but they can be made at many stages during legal proceedings. Often the items sought are regarded by their owner as confidential proprietary information that can't be disclosed without irreparable harm.

If both sides are adamant, the judge must decide whether to order production of documents or information. A *protective order* can be requested as a condition of turning over items to the other party. If granted, this order requires that the party obtaining information treat it as confidential, under penalty of contempt of court. These orders are frequently used when it's contended that the information disclosed constitutes a trade secret.

However, the handling of confidential data turned over to lawyers in the course of litigation often results in problems. For example, in a recent highly-publicized suit, IBM contended that its opponent's attorneys harmed it by improperly disclosing such information.

#### STAR CHAMBERS

Lest you think that this exhausts the list of Susie's possible woes, the games have only just begun. At some point, Mastodon's lawyers are almost certain to want to take a deposition from Susie, and probably from her key employees as well. These are procedures by which the lawyers ask questions of potential witnesses under oath, though outside of a courtroom setting. While depositions can take place just about anywhere else, often a good deal of gamesmanship is involved in selecting their location. Very Important Persons frequently insist on having their depositions taken in their own offices. This is supposed to give them a psychological advantage. Most lawyers, having sat through hundreds of deposi-

tions, really don't care where they're held so long as the chairs are comfortable. The offices of one of the participating attorneys often are used, although sometimes a "neutral" site, such as a court reporter's office, is selected.

Depositions can be dreary. There's no judge present to rule

Who can say whether a particular scrap of information might not lead to something which will be valid courtroom evidence?

on objections or hurry the proceedings along, so hours can be consumed as lawyers wrangle over the propriety of questions. Depositions may drag on for days, or in complicated cases—which claims of software infringement certainly are—for weeks. Though Susie has a proprietary stake in the outcome of these maneuvers, her employees do not. They can hardly be blamed if they weary of these tiresome games, and decide to accept offers of employment elsewhere.

It shouldn't be thought that these shenanigans are all onesided. Susie's lawyer has probably been firing off interrogatories and demanding the production of documents on *her* behalf. It's likely, too, that her attorney has taken a whack at Mastodon's officers and employees by requiring *their* depositions.

But all this can become terribly expensive. Computer-assisted or not, Susie's lawyer will be devot-

ing time to the preparation of interrogatories to Mastodon. Additional time will be spent assisting her in framing her replies to theirs. Moreover, Susie wouldn't want her deposition or the deposition of one of her employees to take place without her lawyer being present. Naturally, the attorney will expect to be paid for all this expenditure of time. Susie shouldn't be surprised if she finds she's incurred thousands of dollars in legal expenses without getting anywhere near a courtroom.

#### SNEAK PREVIEWS

Actually, Susie may not have all that long to wait before she has a big day in court. That's because the harm done to her will be irreparable if Mastodon is allowed to continue marketing its identical program during the period required for Susie's lawsuit to come to trial. To prevent this, her lawyer will most likely attempt to obtain a temporary injunction halting Mastodon's practices. This is an important stage of the legal proceeding, since its outcome often anticipates the ultimate determination of the case.

Preliminary proceedings such as this may not be as lengthy as the actual trial, but they obviously require careful preparation on the part of the attorneys. Time may be short, and there will be much that needs to be done. Lawyers expect to be well-paid for such heroics.

#### ON THE MERITS

When the great day of the actual trial arrives—certain to be several months and possibly some years after Susie's lawsuit was first filed—it may seem almost anticlimactic. But no matter how many preliminary skirmishes are won or lost, it's the war itself that counts. The trial, therefore, is no time to let up.

Trials involving such matters

as copyright or trade secret infringement are notoriously long and costly. Since neither juries nor judges can be expected to have any knowledge of what goes on inside a computer, it's necessary that all this be patiently explained by expert witnesses. In effect, the litigants will have to foot the bill for presenting a crash course in computer science to people who really may not care a fig about such things.

And, of course, Susie and her long-suffering but faithful employees will have to take the witness stand and go through the same testimony they have previously given in depositions. Human memory being what it is, chances are great that there'll be

some sort of inconsistency in the testimony given on these two occasions-don't forget, a couple of years may have elapsed. No matter how inconsequential the discrepancy, the lawyer for Mastodon will be sure to pounce on it as evidence beyond any question that Susie or her employee is an utterly untrustworthy witness. Court rules prohibit violent retaliation for this sort of character assassination. Susie and her crew will have to bear up under it as best they can.

When the trial has finally ended, the decision will be issued. Let's be optimistic and assume that Susie is triumphant, and that, however unlikely, Mastodon chooses not to appeal. Otherwise,

Susie will have lost more than simply the control of her software. Whether or not she has to pay damages to Mastodon, generally she will have to pay its court costs. These usually don't include attorneys' fees, but they do customarily encompass such items as the expense of depositions and the expense of obtaining the attendance of witnesses at the trial.

Need we add, Susie's lawyer will still expect to be paid.

Glenn Groenewold is a California attorney who devotes his time to computer law. He has served as an administrative law judge, has been active in trial and appellate work and has argued cases before the state Supreme Court.

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# 

#### Fresh concepts in output control

by Mark G. Sobell

As the number of computers and users has multiplied, so has the importance of the standards that tie them together. Until recently, though, there was no standard way to describe a typeset or laser-printed page.

From its inception, the UNIX **troff** formatter has produced only output specifically designed to drive a CAT phototypesetter. By various twists and turns, several other output devices have also been supported over time. But this still did not provide a way to move easily from one device to another.

Device Independent **troff** was thus designed to use intermediate files and a post processor to generate different types of output files capable of driving a variety of phototypesetters. This is fine in theory, but once you create an output file with one of these programs, you cannot change your mind about which device to print it out on. Nor can you be confident that your existing program will be able to drive any new output device you later purchase. That's because once a decision is made to use a particular output device, Device Independent troff is independent in name only.

To address this long-standing problem, Adobe Systems has designed PostScript, a page descrip-



tion language that you or an application program can use to write a program describing a page of output. When you send this program to a device equipped with a Post-Script interpreter, you get output that matches your design. The technique is quite straightforward, but the ramifications are far-reaching.

To date, the PostScript interpreter has been brought up on the Apple LaserWriter, the QMS Lasergrafix, and on several of the Allied Linotype (formerly Mergenthaler) typesetters. With such a combination of output devices, you can use a Macintosh to create images that you can first proof on an office laser printer and then send off to a typesetting house for final production. Adobe promises that it will add new output devices to its list in the near future.

Adobe had to address several important issues to make its proposed standard functional. A single PostScript program must be capable of driving devices of various resolutions-anything from a 300 dot-per-inch (or as they say now, spots-per-inch) laser printer to a 1000+ dot-per-inch phototypesetter. And, because you may want to use the laser printer as a proofing device for the phototypesetter, line breaks and page breaks on the two must correspond. To maintain this correspondence, the Adobe software has been developed in such a way as to ensure that each character has exactly the same width, each line has the same number of characters, and each page has the same number of words-regardless of the device on which they're printed.

Another important issue is that of integration of text and figures—either line art or half-tone representations of photographs or other continuous tone art. Adobe has addressed both the resolution and integration issues by creating what it terms "a complete graphic imaging solution." Post-Script handles text as graphics, so the issue of integration has actually become moot—the whole *page* is a graphic image. Because



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text is considered to be just another graphic, you can rotate type to any angle you desire (how about a banner running at 45 degrees across the page?), and you can slant and distort type in many different ways.

Carrying the graphics concept to its functional conclusion, Adobe has chosen to store its fonts as outlines. Because PostScript has the ability to fill defined areas, it can create any of the characters it has outlines for. Most important, PostScript can use these images to create an image that takes maximum advantage of the resolution of the output device (or, the marking engine, as the latest terminology has it). Thus, the same PostScript program can drive a laser printer, a phototypesetter, or both, without modification.

Consider the implications. You can generate one output file (a PostScript program) and print it locally on your laser printer. You can then send the same output file to a colleague who has a different brand of printer or even a printer with a different resolution—and the program will produce the same page. Because a PostScript program is composed entirely of printable ASCII characters, you can transmit it over any system you can use for transmitting text.

How is Adobe propagating its proposed standard? For \$30, Adobe will sell you a manual that tells how to write a PostScript pro-

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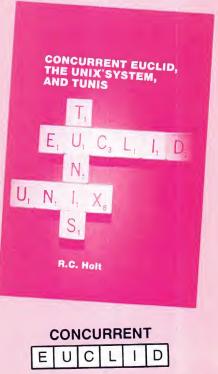
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gram. Although you may want to go through this process a few times to gain a better understanding of the program, it's likely that in the general case, you'd be better off using or creating an application that writes the program for you, based on some higher-level input.

An example of this type of application program is Microsoft's Word package, which can generate output in the form of a Post-Script program. Word runs on both the IBM PC and the Macintosh and can use equivalent Post-Script program files from either machine to drive an Apple or QMS laser printer, or a Linotype typesetter.

Postscript opens the way to setting up a publications system that is not tied into one manufacturer's line of hardware and software. As a standard, it also means you can upgrade any component (computer, software, or output device) without needing to tamper with the entire system. Finally, the new standard offers a transparent solution to the end user that allows *any* application designed to work with PostScript to drive *any* output device rigged with a PostScript interpreter.

#### THE UNIX CONNECTION

For UNIX users, Adobe provides a set of programs that works with both **troff** and **ditroff** (Device Independent **troff**) to generate PostScript files. The result is that you can attach a laser printer (or a phototypesetter for that matter) to your UNIX system and drive it with **troff** or **ditroff**. This set of translation programs is called TranScript and is currently available for 4.2BSD systems. Adobe expects to release a System V version in the third quarter.

Again, the portability of output files developed under PostScript is of key importance. Using Tran-Script, you can generate an out-



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put file on your UNIX machine, use a modem to ship it off to an Apple or PC, and drive a printer from that machine—whether it's located across the room or across the country.

#### CONCLUSION

As with any innovation, Post-Script will not become a true standard until it is generally accepted and widely used. Adobe has made a good start, though, by lining up an impressive array of manufacturers committed to the use of Adobe products. Besides Apple, QMS, Allied Linotype, and Microsoft, Adobe officials indicate that several other major players will soon make announcements. The next six months should show whether the "standard" has been blessed.

Software and hardware manufacturers will significantly determine just how far the new technology goes. Now, despite much improvement, programs such as Word cannot support extensive typesetting. (Word does not even offer hyphenation, a key ingredient to typesetting.) In a hardware vein, Apple must wrestle with the fact that the same Macintosh screen that supports only 72 dotsper-inch can drive a typesetter with a resolution of over 1000 dots-per-inch.

Giving the user complete control over a typesetter is not, in itself, that difficult. Providing increased user control while also maintaining ease of use is a stickier matter. This, then, is the challenge to which manufacturers must turn their attention.

If you have an item appropriate for this column, you can contact Mr. Sobell at 333 Cobalt Way, Suite 106, Sunnyvale, CA 94086.

Mark G. Sobell is the author of "A Practical Guide to the UNIX System" (Benjamin/Cummings, 1984) and "A Practical Guide to UNIX System V" (Benjamin/Cummings, available May, 1985). He has been working with UNIX for over five years and specializes in documentation consulting and troff typesetting. Mr. Sobell also writes, lectures, and offers classes in Advanced Shell Programming and troff macro development.



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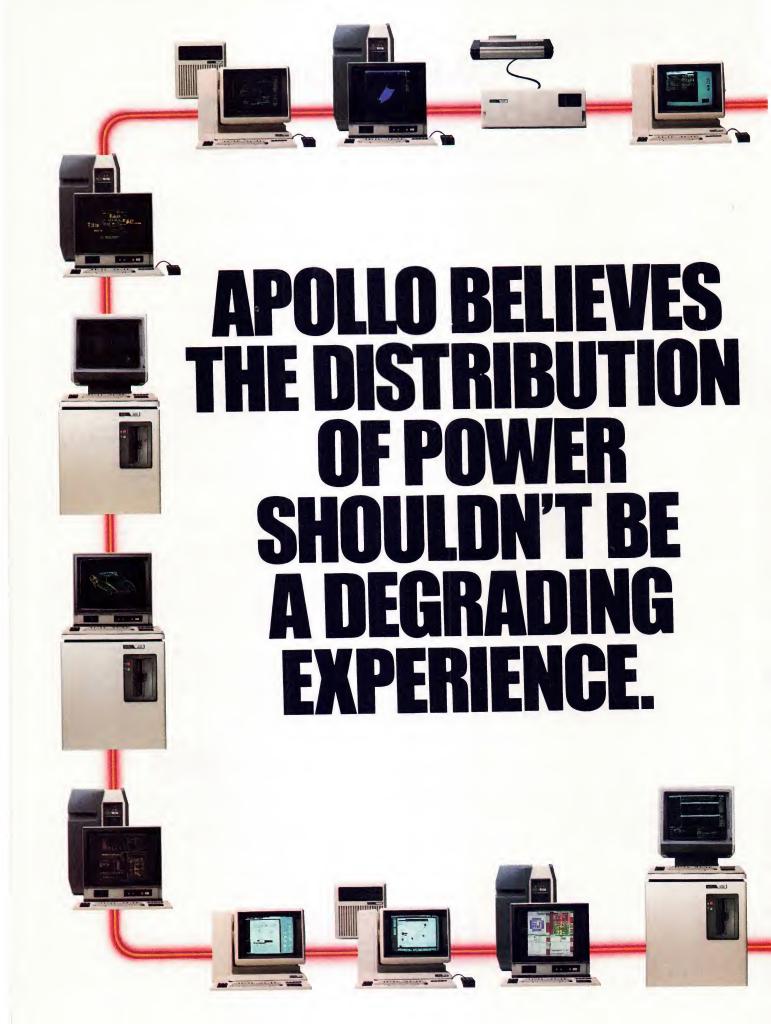
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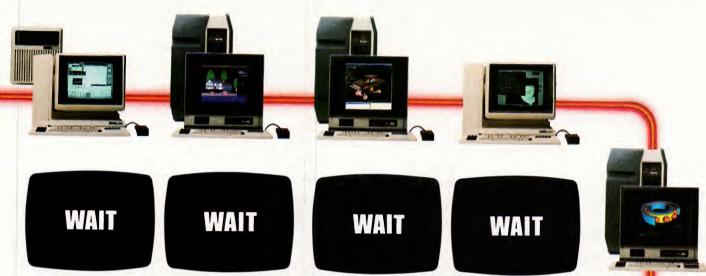
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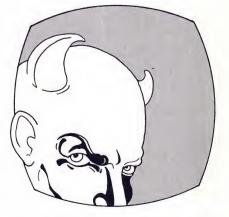
### by Stan Kelly-Bootle

Bertrand Russell was fond of paradoxes, especially his own. Who can forget the famous Russell paradox (''If A is the set of all sets which are not members of themselves, then 'A belongs to A' implies 'A does not belong to A'), which floored Frege and damn near killed Russell himself? It also ruined the dream of putting mathematics on a solid logical foundation.

Russell admitted to us later that the 20 years he and Whitehead spent trying in vain to resolve this paradox in *Principia Mathematica* left him scarred for life. Their theory of types sought to avoid the paradox by brushing it under the carpet. If certain sets of sets led to contradictions, then they had to be blackballed from the club.

"''Fraid we blew it, Alf," Bertie announced suddenly. We were all sipping sherry, I vividly recall, in his Trinity College rooms. Whitey stormed out in a huff, leaving just Bertie, Witgie, C.P., Al (Turing), and myself. "There's a pretty paradox." quipped Al in his best mock Gilbert & Sullivan accent, putting a comforting arm around Bertie. Witgie carried on poking at the empty fireplace as though nothing had happened. And yet we all sensed that Formal Systems Theory would never be the same again.

Poor Bertie did not live to see



the UNIX resolution of the problem. Clearly, if one has directory files containing the names of files, they may or may not contain their own names. If they do, put them on Disk 1; otherwise on Disk 2. Then make a superdirectory file A, of all the directories on Disk 2—that is, for all those that do not contain themselves.

If we include A itself in this superdirectory, it would appear to belong on Disk 1 . . . and yet all members of A, including A itself, by definition, belong to Disk 2. But are we dumbstruck by this impasse? Do we rush off for 20 years to juggle with symbolic tautologies? No way in San Jose. This is hard-headed, feet-on-theground UNIX territory. File A is simply *swapped* to and from Disk 1 and Disk 2 as a background task—or perhaps it's just quietly erased when no one is looking. Bertrand Russell was also fond of the Tristram Shandy Paradox. Tristram found that in trying to complete his autobiography, he was taking a year to cover each day's activity.

Given a tireless, immortal author armed with WordStar™ version Aleph 0, on a Turing Machine (no tm yet), and an endless supply of floppy tape, the question posed by philosophers and other layabouts is whether any part of Tristram's life would ever remain unrecorded. Or would the damned machine halt first? Sorry, I seem to be mixing my paradoxes.

What's undoubtedly true about the Tristram story is that, complete or not, the opus would be infinitely boring. The bulk of it would resemble the average modern novel, the plot of which revolves around a modern novelist trying to write a modern novel . . . this recursive narcissism pervades many fields of human endeavor.

When I was a fulltime folk singer touring the UK with other fulltime folk singers, we saw so little of the real world that we ended up writing and singing ballads about the tribulations of itinerant balladeers: ''It's a Mighty Hard Road— 50 Miles to the Next Gig'', ''My Agent Done Dropped Me'', ''Fretblood Blues'', and other similar ditties.

The speculation on Tristram

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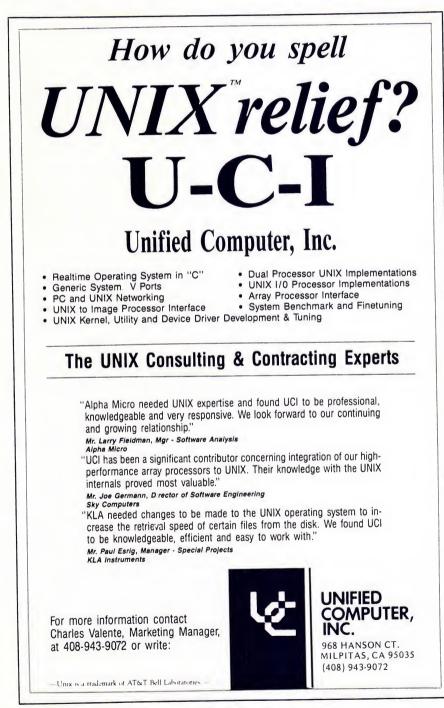
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Shandy arises from my recently completed Waite/Sams Primer on the Motorola M68000 family.

The emetic pace of the computer industry, especially in the last decade, presents a target not unlike Tristram's life, threatening to out-accelerate the traditional rate for producing timely and useful documentation. The M68000 chips themselves are Rocks Of All Ages, but some of



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their implementations and implementors fly forgotten as dreams. Before the ink is dry on the typical product document, hardware obsolescence (not excluding the demise of paper and ink), litigation, negative cash flow, rewrites, unwrites, mergers, sudden death, and the thousand natural glitches our trade is heir to, can intervene to vitiate the writer's efforts.

With this in mind, I sent out a carefully worded letter last September to all computer innovators (with an ''Information Only'' copy to IBM), urging a six-month moratorium so that my fellow authors and I could ''catch up''. The response was mixed, to say the least.

The BASIC standards committee, bless it, cabled immediately from a restaurant in Geneva, promising to remain "poised for action, awaiting your signal." AT&T wrote to say that six months was hardly sufficient ("Call that a moratorium?") because its planning charts allowed only a two-year granularity, but nevertheless agreed to rush out some "Freeze UNIX" bumper stickers. My only other success was Lotus Development Corp., which reluctantly offered to hold back Jazz for a while. The industry as a whole pressed on with its usual selfish, me-too, up-yours madness.

The only solution, it seems, is a break from traditional methods of information dissemination. In many user situations, online help files can speed up the instructional flow, but can they offer the convenience of books and manuals? Rapid browsability and informal, mid-job access are important but remarkably difficult to achieve. The helpee is not always sure where that tiny but cosmically vital piece of help is lurking. More often than not, a simple question invokes a daunting overdose of unhelpful diversions. The car owner wants to get from here to there without studying the molecular structure of hydrocarbons or the love life of Nicholas Carnot. All too often we are given the equivalent of "I wouldn't start from here if I were you."

Is there yet an electronic analog of flipping rapidly through a wellthumbed text so as to spot your previous annotations and yellow highlights?

On the broader educational front, many computer topics suddenly become fashionable. As the relative cost of computer components fluctuates, last week's hot debate on method A versus method B can quickly become as meaningless as a medieval angelcounting contest. Small wonder that ACM's SIG is planning a daily newsletter.

Computer Science in 1985 still reminds one of Ancient Egyptian Mensuration, coping quite well on an ad hoc, day-to-day, problemsolving basis, yet waiting for Geometry to arrive. We have our Thales' and Pytheas's *go leor*, even the odd Pythagoras (send a plain, stamped, addressed envelope for my listing), but where is Euclid or Euclidea hiding?

He or she is not required to *add* to the sprawling corpus of pre, post and praeter-structural gospels, but simply to step outside the cockpit and codify, codify, codify. We need to have machine-independent, language-independent, OS-independent, and logo-independent axioms. We need to have an acceptable deductive framework free from the influences of xenophobia, inventory levels, and sales commissions. The rewards will be great.

Remember that Euclid's *Elements* has held top spot in the alltime textbook bestsellers list for over 2000 years. Indeed, it is second only to the Bible in the combined lists.

A Principia Rationorum Digi-

torum would bring similar stability to the computer trade. At least until a Lobachevski or Riemann pounces on the axioms.

Stan Kelly-Bootle has diluted his computer career by writing con-

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temptuous folk songs for Judy Collins ("In My Life," Elektra K42009), The Dubliners and others. He is currently writing, with Bo Fowler, "The 68000 Primer" for the Waite Group, to be published by Howard W. Sams in the Spring of 1985.



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# PROBLEM SOLVER

Network servers under UUCP

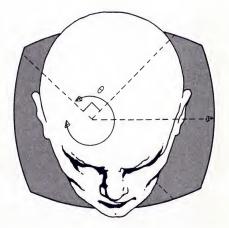
### by Bob Toxen

Because most network solutions on the market today provide essentially the same sort of service, it's sometimes difficult to appreciate just how many underlying communications mechanisms there are. Schemes that make use of directly-wired RS232 ports, public phone networks or Ethernet networks running XNS or IP/TCP are the most common. The organization that doesn't have several such options available on its various systems is rare. But none of these underlying mechanisms are suitable for all circum-

stances—making some higher-level facility necessary to tie them together.

This high-level facility should be able to operate over diverse hardware types, have a checksumming and retry capability for reliable transmission, a spooling mechanism to keep requests from getting lost if a system is temporarily down, and a way to acknowledge the completion of requests. At the very least, it must be able to transfer files and do remote program execution.

You may not realize it, but this high level facility is probably already at hand. UUCP meets all of the requirements quite well. Its chief cost comes in the few days of programmer time it takes to interface with XNS or IP/TCP in most implementations. This owes to the interfacing scheme of XNS and IP/TCP, which requires that access be made through custom library routines. For XNS, one can specify a device named *xns* in UUCP's *L-devices* and *L.sys* files for connection with systems communicating over XNS. In doing this, though, one should not place an exclusive use lock on the *xns* device, nor should one apply RS232-type **ioctls** (such as for setting the baud rate).



Once UUCP is configured, network servers can be developed. Since a single printer is generally shared among several systems, one often develops a printer server first. This and other servers can be often be simple shell scripts.

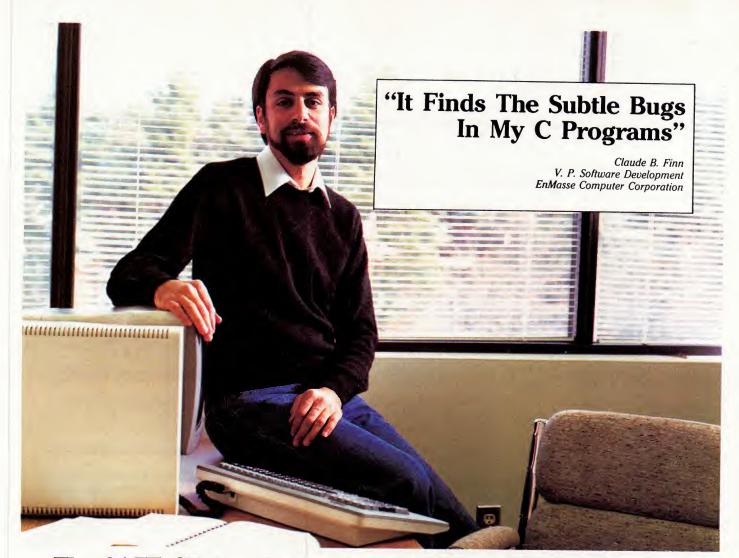
Assume the standard UNIX printer spooler, **lpr**, is used to actually drive the printer and that the system connected directly to the printer is called *bigiron*. On those systems not connected directly to the printer, one could remove the standard **lpr** program

and replace it with a shell script to do remote spooling. The following script is typical:

### cat \$\* | uux bigiron!lpr

If a list of files is supplied as an argument when the script is invoked, **\$\*** will expand to this list of files and they will be concatenated. If no arguments are supplied, **\$\*** will expand to a null string and standard input will be read. There are several features that could be added. One might be to tell **1pr** to print the name of the account invoking the script on the banner page (without such a script, the account name **uucp** would be printed on the banner page instead).

There are also other ways to make use of remote printers via UUCP. The **uux** program, for instance, is UUCP's own way of doing remote execution. Its first argument, a dash ( - ), tells **uux** to read its standard input (piped from **cat**) to EOF and then supply it as standard input to the remotely executed program. The second argument (*bigiron!lpr*) indicates which system to use for the remote execution and what program to execute. Under **uux** syntax, the system



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name (*bigiron*) should appear to the left of the exclamation mark ( ! ) and the program to execute (**lpr**) should appear to the right.

To maintain security, the remote system will only allow certain commands to be invoked by **uux**. This list of legal commands appears in different places in different implementations. In most, the list is contained in a file in the */usr/lib/uucp* directory called *L.cmds*, *L-cmds*, or *uuxqtcmds*. In others, it is compiled into */usr/lib/uucp/uuxqt*, which is a program that a binary licensee must patch in order to change.

It should be noted that UUCP can communicate between computers from different manufacturers with different processors running different versions of UNIX. When a program is remotely executed (invoked) the binary that's used comes from the machine doing the actual work. This allows, say, a M68010 system to remotely execute a program on a VAX.

### SERVERS FOR troff

With the current proliferation of laser printers, **troff** is becoming commonly available. A server under UUCP can be used to invoke **troff** on a system connected to a remote printer, even when the text to be **troff**ed resides locally. Thus, a **troff** server patterned after the **lpr** printer server is needed. It must have additional smarts built in, however, to deal with **troff** flags. This is handled by the script listed in Figure 1.

```
opts='"
system=bigiron
while [ "$1" != "" ]
do
        case "$1" in
          -*)
        opts="$opts $1"
        shift
        ;;
         *)
# troff a list of files
        cat $* | uux - $system!troff $opts
        exit O
        ;;
        esac
done
# troff from standard input
uux - $system!troff $opts
exit O
```

**Figure 1** — An example of a server that can be used to invoke **troff** on a remote system.

### MAIL SERVERS

A network mail server is already built into UUCP and **mail**. To send mail to someone, the account name and the name of the system it is on must be

None of the underlying communications mechanisms are suitable for all circumstances making some higher-level facility necessary to tie them together.

supplied. Thus, to send mail to an account called *jill* on the system called *onyx*, the following commands could be used. Under **csh**:

```
mail onyx\\!jill
```

Under the Bourne shell:

mail onyx!jill

(See my column in the November, 1984, issue of UNIX REVIEW for a more detailed discussion of this facility.)

This sort of approach is fine for a set of five or 10 accounts where all the pathways are known and easy to remember. If one must communicate with 100 accounts distributed over a dozen workstations and a few VAXen, it's almost impossible to keep track of what system an account is on. (It is usually possible to track account names by using, say, a person's first name. If several people have the same first name, then the first letter of their last names can be part of their account names.)

What is needed is a mail server that knows where any given account is located. One could then send mail to *jill* and the server would know to send mail to *onyx!jill*. There is already the capability to do this on any UNIX implementation that has **Mail**, **sendmail**, or **delivermail**. With straight 4.1BSD, 2.9BSD, 4.2BSD, or a system with 'Berkeley enhancements'', one of these is probably available. To be sure, issue the command:

% ls -l /bin/Mail\* /usr/\*/Mail \
 /usr/lib/\*sendmail /etc/delivermail\*

With sendmail or delivermail, aliases can be add-

ed to the file /usr/lib/aliases. These aliases are usable by everyone on the system. The syntax calls the name given to **Mail** and a colon (:), to be followed by a tab and the name to actually use. Thus, for our previous example, add the line:

jill: onyx!jill

With this in place, mail can be sent to Jill by as simple a command as:

% mail jill

With **Mail**, aliases can be provided by similarly editing the file /usr/lib/Mail.rc (or the file .mailrc in your home directory if you wish to limit the effects to your own account). For example, adding the line:

alias jill onyx!jill

will allow one to send mail to *jill* with the command:

Mail jill

Note the capital *M*. Even on some systems that do not have **Mail**, this last example will work with a program called **mail** rather than **Mail**. To see if a system's **mail** program has this capability, issue the command:

% mail -u oops

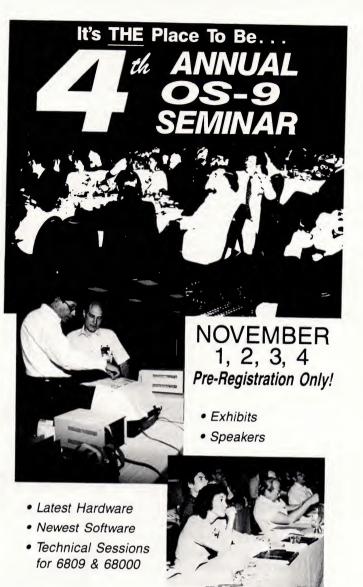
If the error message "oops" is not a user of this system returns, then the system has the ability to alias mail destinations.

### SUMMARY

We've only scratched the surface. Many other UUCP servers can be developed as needed. One might create a "wall server", for instance, to invoke the **wall** program on a network of systems. This would allow the **wall** command to broadcast its standard input to all people logged into a network, meaning it could be used for making company-wide announcements. (Since **wall** is in the /etc directory, either list it as /etc/wall in UUCP's L.cmds file or link it to /bin/wall.) For less urgent announcements, a server can be created for using the 4.2BSD **msgs** or System V **news** programs.

How many other uses can UUCP servers be put to? The possibilities are limited only by imagination.

Bob Toxen is a member of the technical staff at Silicon Graphics, Inc. who has gained a reputation as a leading expert on **UUCP** communications, file system repair and UNIX utilities. He has also done ports of System III and System V to systems based on the Zilog 8000 and Motorola 68010 chips.



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# THE UNIX GLOSSARY

Distributed processing and databases

by Steve Rosenthal

Note: only those aspects of these terms that pertain to distributed resource sharing are included in this listing.

**access plan**—the method by which a distributed system provides a local node or site with remote data. Data may be retrieved upon request, or requests may be buffered until a specified number accumulates or a particular stage of processing is reached.

**atomic**—an indivisible operation that must either be done to completion or aborted. Transaction updating is a typical example.

**checkpoint**—in a distributed network, refers to a point at which all outstanding transactions are recorded and new transaction logs are begun. If there are any subsequent system failures, recovery can be made by resubmitting transactions from that point.

**commit**—to accept a transaction into a database. In a distributed system, transactions are usually treated as atomic, being either completely accepted, or discarded. Once a transaction has been committed, its information becomes available to other stations in the system.

complete—said of a distributed



database or a distributed set of programs, arranged so that all elements designed to be included in the global system are, in fact, incorporated into some local node on the system.

**conceptual schema**—the logical arrangement of items in a database or file. The physical record may be very different than the logical view.

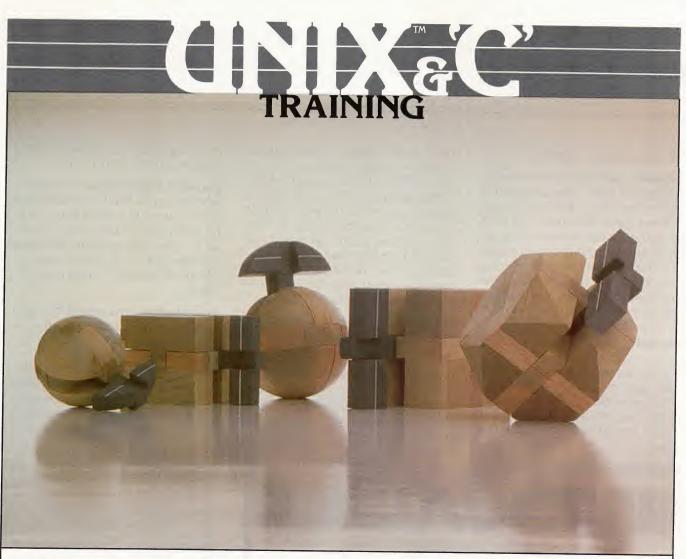
**concurrency control**—in a distributed system, ensures that events that are input simultaneously do not interfere with each other or lead to the processing of incomplete records and files. The usual method is for the system to finish processing one transaction before starting another, or to use a system of locks or semaphores to restrict simultaneous use of the same information.

**deadlock**—in a multiuser or distributed system that uses a system of locks to reserve access to files, records, or system resources, describes a situation where two or more processes have seized the use of some key element, leaving no process ready to proceed.

**disjoint**—when speaking of a database or group of programs split up between nodes on a distributed system, refers to one that has no overlaps or duplications between nodes.

**distributed processing**—an arrangement of computers and related equipment that allows computation and decision-making to be done at more than one location. Most older UNIX systems connect terminals with no processing ability to a central computer, but increasingly the trend is to include processing ability in each location. So far, standard UNIX makes no provision for distributed processing, but a number of commercial and experimental systems have been built.

**distribution transparency**—the arrangement of a distributed system such that ordinary users are not aware of, nor need be concerned with, the physical frag-



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mentation of the system.

**durable**—said of processing arrangements that are designed to ensure that data will be reflected in the system files once it's been accepted by the system. This allows remote systems or locations in a distributed network to erase their copies of transactions once the transmitted data has been accepted by the location responsible for the record.

**exclusive**—a one-user-at-a-time limit on the right to access a shared file in a network or distributed system. Unlike private files, which can only be accessed by a single user, exclusive files can be used by others—at different times.

fragment—to divide a database

into parts, each of which can be stored on a different device or system. Vertical fragmentation divides each record (or each row in a table-oriented database), while horizontal fragmentation divides the database such that one group of complete records (rows in a table-oriented database) remains together while another group is broken off into a separate piece.

**fragmentation schema**—the way databases or programs are broken up in a distributed system.

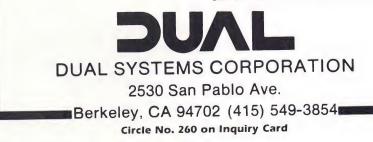
**global optimization**—in a distributed system, refers to improvements in the way data or programs are shared among various nodes or sites. The most frequent tactic is to cut the number of remote accesses, either by in-



The staff at Dual Systems has compiled a Filesystem Reference Manual for the UNIX<sup>™</sup> operating system, both Version 7 and System V. The manual contains three listings of the files distributed on computers using the UNIX operating system. The first is a complete alphabetical listing of all the files, providing pathname, description, origin, and file group. The second listing divides all the files into thirteen application groups such as mail, program development and text processing. The third listing divides the files into six groups based on the originator (e.g., Bell Laboratories, Berkeley enhancements, etc.).

Every user of the UNIX operating system would profit by having one of these books. The drawing showing the hierarchical interrelation of the various files is a great aid in finding one's way around the UNIX operating system. Price is \$35.00 in single quantity. Please contact our sales department to order.

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creasing locality or by assembling remote requests into blocks.

**graceful degradation**—a failure mode for a distributed system that allows users to access some local files and processing power—even when the coordination between sites breaks down. In this way, users avoid losing pending transactions.

**granularity**—the size of the data regions reserved by the software mechanisms preventing simultaneous writes, updates, or deletions by two or more users in a distributed system. Larger granularity is often easier to implement, but it tends to slow access to data in busy systems.

**heterogenous**—said of a distributed system in which not all nodes or sites are the same. Most commonly, the network will combine a central mini or mainframe with individual workstations.

**homogenous**—said of distributed systems in which each node or site is identical. A homogenous system often is comprised of identical workstations with no central server or main computer.

**horizontal fragmentation**—the division of a database or any other collection of data such that groups of complete records are stored at various locations.

**isolation**—the extent to which operations in process at one location leave all other locations unaffected.

**locality**—the degree to which the data needed in a distributed application can be found at the site that started the task (the site of origin). Complete locality means that all the necessary data can be retrieved locally.

**local optimization**—improving the way a node or site accesses or processes data in a distributed system. If the system is partitioned clearly, local optimization can occur even without any changes in global operation.

**local reference**—a request for data or programs located at the user's node or site in a distributed processing system.

**location transparency**—the arrangement of a distributed system such that ordinary users are not aware of, nor need be concerned with, where particular elements are located in their system.

**log**—a set of transaction records entered since the last checkpoint. One good practice is to log each transaction before making an update or performing an operation. Then, if a system failure occurs, the state can be reconstructed by resubmitting the log entries, using the checkpoint files as a starting point.

**map**—to implement the conceptual schema for storing data in a physical database. Although the physical database should act logically like the conceptual schema, it need not necessarily resemble it in form, order, or size.

**redundancy**—as applied to distributed systems, the extent to which data (or programs) are duplicated across the system rather than being partitioned among the component nodes.

**remote execution**—the execution of a program or process other than the one where the user is located.

**remote reference**—a request for data or programs located at some other node or site than the one where the user is working.

**replication transparency**—the arrangement of a distributed system such that users do not have to be concerned about whether the system keeps a single copy of each file or duplicates it at one or more nodes.

**serialize**—to process events that arrive simultaneously as if they had arrived one after the other. This is one of the principal methods of concurrency control.

**shared**—said of files and records that can be read by more than one process but are locked against writing or deleting. This mode is not yet a part of standard UNIX System V, but it is available on several other UNIX implementations.

**site**—a processing and user-interface node in a distributed processing system. The site of origin is where processes start, but processes can go on to invoke others at remote sites in the system.

**site autonomy**—the degree to which each location in a distributed processing system can work according to its own rules, schedules, and procedures. Greater site autonomy pleases users, but it carries the danger of database corruption or incompatibility.

**transaction**—an update, addition or deletion of a record in a distributed system. To prevent errors, some method of concurrency control must be used to coordinate processing of transactions so that records are not used while they are in the process of being updated.

**vertical fragmentation**—the division of databases or other collections of information such that some fields for the same records (or "attributes", if the database happens to be table-oriented) are stored in various locations.

Comments, questions, corrections? Please send them to Rosenthal's UNIX Glossary, Box 9291, Berkeley, CA 94709.

Steve Rosenthal is a lexicographer and writer living in Berkeley. His columns regularly appear in six microcomputer magazines.



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# RECENT RELEASES

### HAPPINESS THROUGH CROSS TOOLS

Unidot has developed a family of cross development software tools for UNIX systems, including cross compilers and macro assemblers. Targeted microprocessors include chips from Intel (including 808X and 80X86). Zilog (Z80 and Z8000), and National Semiconductor (32000). The company says it can supply source code in most cases.

To facilitate development of complex software in assembly code, the Unidot assemblers support a flexible macro facility and conditional assembly facility, as well as up to 255 separately relocatable object sections, a full assembly listing, symbolic debugging, and a symbol cross reference listing.

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Pricing varies with the host computer. A site license for multiple CPUs at the same location is 2.5 times the binary license fee. A source license, including a site license, is three times the binary license.

Unidot, Inc., 602 Park Point Dr., Golden, CO 80401, 303/526-9263.

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Qume QVT-101 terminal

# SMART TERMINAL WITH

The QVT-101 terminal from Qume Corp. is described by its manufacturer as a "smart, fullfunction editing terminal"; its price is \$395. The terminal provides all the features of Qume's QVT-102, and emulates the Hazeltine 1500, Lear Siegler ADM 3A/5, and TeleVideo 910.

The GVT-101 features block mode data transmission, allowing entire screen loads to be dumped at one time. Multiple interface options allow it to operate remotely from a microcomputer, mini, or mainframe. This terminal offers 16 host or user-programmable functions.

A 14-inch non-glare screen, bidirectional printer port, RS232 interface, foreign character sets, and detached keyboard are all standard. Options include an amber screen, and current loop or RS422 interfaces.

Qume Corp., 2350 Qume Dr., San Jose, CA 95131, 408/942-4000.

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### CAE FOR LATEST VAX SYTSEMS

CAE Systems has developed CAE 2000, a design automation tool with versions for the DEC VAXstation I and VAX 8600. The program can operate in standalone mode or as a node in CAE's WorkSystem network.

CAE 2000 uses DEC's latest VMS Release 4 and DECshell. Its features include schematic capture, logic simulation, circuit simulation, timing verification, and interfaces to other design tools.

The VAXstation I brings the power of a VAX to the desktop workstation, and supports Ethernet local area networks. CAE 2000 for the VAXstation costs between \$22,000 and \$50,000 depending on the application.

The 8600 delivers 4.2 times the power of an 11/780. CAE for it starts at \$124,000.

CAE plans on July shipment for the programs. Similar implementations for the 11/750 and 11/780 are available now.

CAE Systems, Inc., 1333 Bordeaux Dr., Sunnyvale, CA 94089, 408/745-1440.

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The complete integration of the editor and interpreter means you can stop anywhere in the interpret cycle, edit, and then go right back into the interpreter exactly where you left off. Not only that, the screen-oriented user interface lets you see all operations, even interpretation, right on the listing of the code. And to make maintenance programming easier, Smart/C's Migrator allows existing C code produced with any editor to be modified and run within the Smart/C Environment.

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- □ vi-like command set
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### Interpreter

- Current module can call external modules during interpretation
- □ Has Include capability
- □ Totally precompilation—no incremental compile □ Can interpret partially defined files allowing for
- rapid prototyping
- □ Variable speed of interpretation
- □ Multiple windows with user-defined sizes

### The Smart/C Migrator

- □ Allows C code produced with any editor to be interpreted by Smart/C
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Smart/C has been ported to UNIX<sup>™</sup> System V Release 2, Berkeley 4.2, Xenix,<sup>™</sup> and MS-DOS. Versions run on 8086- and 68000-based machines, as well as proprietary architectures. Smart/C runs on PCs, micros, supermicros, minis, and even mainframes.

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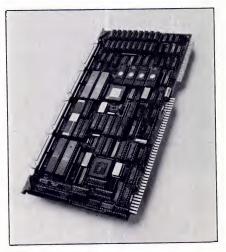


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### OCTAL SERIAL BOARD SUITED TO 68000 UNIX SYSTEMS

SBE, Inc.'s M68COM octal serial board is an addition to the company's ModulasTen line of Multibus-compatible products. The board provides eight independent full-duplex channels, and is configured with either a 16/32-bit MC68000 or 68010 microprocessor. It runs at 10 MHz with no wait states for memory reads or writes.

The board is especially suited for 68000 UNIX-based systems, says SBE. It can be ordered with the UNIX-like Regulus operating system, and comes with the Probug debugger. Each channel on the board has three interrupt sources, as well as a "mailbox interrupt" that allows another Multibus master to generate a local interrupt.



SBE M68COM octal serial board

Four multiprotocol serial communications controllers on the board allow each of the eight channels to be programmed independently to run in synchronous, byte synchronous, and bit synchronous modes. The board supports X.25, SDLC, HDLC, and Bisync protocols. Each of the eight ports can be configured independently as RS232, RS422, RS423, fiber optic or direct-connect modem channels. On-board RAM is either 128K or 512K. Four other sockets accommodate as much as 256K of EPROM.

A 68230 parallel interface/timer (PI/T) chip provides an onboard timer, generating periodic system interrupts. The board supports programmable data transfer rates between 5 and 38.4K bits per second (bps), and external rates between 0 and 880K bps.

The board, configured with a 10-MHz 68000, 128K RAM, Probug, and four-channel DMA con-



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### FSP MEANS FULL SCREEN PROCESSOR

FSP is the name of a new full screen processor for UNIX and XENIX-based systems from Information Concepts. It allows programmers to parse and display a Panel using a series of subroutines that can be called from any language. A Panel is developed by creating the screen image under any system editor, according to Information Concepts. Through the subroutines, functions such as Panel parsing, screen text display, screen field display, field validation, and post input response can be performed.

By calling FSPINP, an input processing routine, programmers can turn control over to the FSP screen manager to control the full screen data entry and editing process.

FSP also provides an Entry feature, permitting end-users to display an FSP panel and edit a standard operating system file.

A single license copy for FSP costs \$950, or \$240 annually on a lease.

Information Concepts, Ninth Floor, 1331 H Street, NW, Washington, DC 20005, 202/628-4400.

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### REVISED C COMPILER FOR 8086 FAMILY

Whitesmiths, Ltd. has released an enhanced version of its C compiler for all systems with Intel 8086-family processors, including the 80186, 80286, and 80287 math co-processor.

Known as Version 3.0, the

compiler reintroduces enhanced Pascal as an option. All compilers now also include systems interface library source code as a standard feature.

Other enhancements include: compiler and assembler source listings (including the ability to see high-level source code, assembler source code and generated code in one listing); source-level interactive debugging with breakpointing and variable display; closer adherence to an emerging ANSIC standard (including struct assignment enumerations, long identifiers, and the ability to declare arguments to a function for coercion and type checking); full ISO/OSI Level 1 implementation of Pascal including conformant array parameters; Pascal extensions such as string data type, the ability to open files by name, and an "otherwise" clause in case statements.

The design of Version 3.0 is based on architecture common to the company's entire compiler family, for the DEC PDP-11 and VAX, Intel 8080, Motorola 68000 and IBM 370 systems.

Whitesmiths, Ltd., 97 Lowell Rd., Concord, MA 01742, 617/ 369-8499.

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### **XENIX WINDOWS**

A windowing interface called Viewnix from Five Paces Software allows IBM PC/XTs and ATs running XENIX to configure up to 10 windows. The windows can be expanded, contracted or moved as needed. Cut-and-paste capability is provided. The package's programming interface lets applications take control of windows in which they're placed.

Viewnix retails for \$249. Five Paces Software, Inc., 9635 Wendell Rd., Dallas, TX 75243, 214/340-4933.

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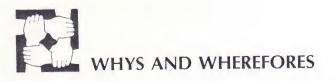
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### WHYS

### Continued from Page 27

working at all, and 4.2BSD networking is based primarily on the ARPAnet model.

This has two consequences. First, even the best applications that are totally portable across all Unices fail to make good use of the facilities of a personal workstation. Second, there is no universally accepted UNIX standard for graphics, windows, and networks. Hence, an application that wants to remain portable, even in the UNIX realm alone, must first isolate the system dependencies in libraries, much as Fortran programs that needed non-standard file system facilities used to isolate those dependencies.

Distributed resource sharing is becoming more popular-largely because, in conjunction with personal workstations, it is a powerful way of doing computing. In its current state, the field can be confusing to sort out since the requirements for resource sharing systems are not yet exactly clear. So new is the area that commonly agreed-upon buzzwords and lists of "knock-off" features have yet yet to be developed. The fact that this magazine issue is devoted to the topic may signify that a new phase is upon us.

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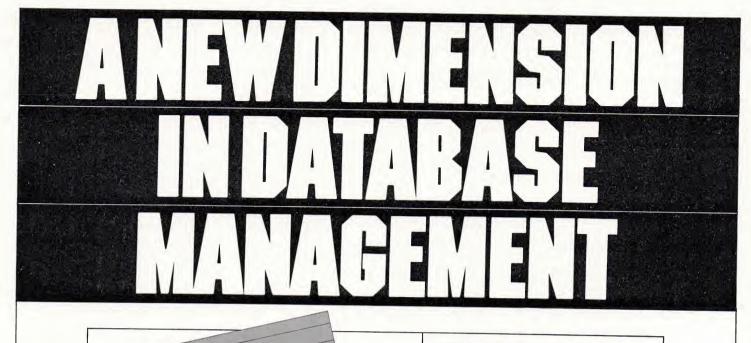
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Paul J. Leach is a Senior Consulting Engineer at Apollo Computer, Inc., where he has helped design the DOMAIN system. His undergraduate work was done at MIT. Prior to becoming one of the founding engineers at Apollo, Mr. Leach worked in the research department at Prime Computer on computer architecture and operating systems. He has also served as an adjunct faculty member at Boston University.



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### **PROCEDURE CALLS**

### Continued from Page 42

richer form of system interaction by allowing users to group interactions in terms of more finely grained procedure calls. The advent of readily available, highspeed local communications and high performance microprocessors has sparked a renewed interest in the practical implementation of remote procedure calls.

The Xerox Courier remote procedure call protocol is representative of technologies available in the late 1970s. Courier takes a transaction-based approach in which each transaction corresponds to a remote call. The ap-



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proach is rigid in the areas of execution environment management, procedure call identification and parameter encoding. While this rigidity makes the Courier simple to implement, the user needs to either increase the speed of transaction exchange or improve parameter encoding to limit system-level overhead in processing each transaction.

If remote procedure call protocols are to be more widely used in the 1980s, end-user performance will need to be improved. Alternatives for improving performance include the decoupling of transactions and remote executions by adding intelligence to each transaction. At minimum, this ap-

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proach should allow users to add a processing control capability to each transaction by combining multiple, conditionally executed calls into each transaction.

This alternative can be expanded further to include executable software in each transaction. By programmatically examining the results of each procedure call at the execution site, these alternatives offer the possibility of making multiple calls without the need for intervening transactions. Increased performance can thereby result from a decreased number of transactions.

Remote procedure call technology is still in its infancy. A number of alternative improvements will be investigated and discarded before the concept attains widespread use. Along the way it is important that the concept be marketed on the basis of sound, demonstrated capabilities. The remote procedure call approach holds great promise, but to sell someone potential rather than actual capability is a serious mistake with ramifications for the entire industry.

Steve Holmgren is President of Communication Machinery Corporation of Santa Barbara, CA, a producer of high performance communication software and hardware. Prior to coming to CMC, Mr. Holmgren served as President of QMI, where he developed a single-chip TCP implementation. He holds a Bachelor's degree in Mathematics and Computer Science from the University of Illinois in Champaign-Urbana, where he went on to interface UNIX to the ARPAnet at the Center for Advanced Computation. He has also done advanced technical assessments and prototyping for military procurements through the Mitre Corporation.

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### FILE SYSTEMS

Continued from Page 33 propagate immediately.

### CONCLUSION

We have covered only some of the major issues that must be addressed in the design and implementation of a distributed file system. The presentation is by no means complete; interested readers may want to obtain more information about the NFS [2], or read references on WFS [3] and DFS [4] to learn about successful distributed file system implementations. There are many similarities in the design approaches taken by WFS, DFS, and the NFS, so there is a large body of experience to demonstrate the viability of the approach.

### REFERENCES

1) RPC and XDR are discussed elsewhere in this issue.

2) D. Walsh, B. Lyon, G. Sager, et al., "Overview of the Sun Network File System", Proceedings of the Usenix Association Winter Conference, January, 1985.

3) D. Swinehart, G. McDaniel, and D. Boggs, "WFS: A Simple Shared File System for a Distributed Environment", Proceedings of the Seventh Symposium on Operating Systems Principles, ACM order number 534790, December, 1979.

4) H. Sturgis, J. Mitchell and J. Israel, "Issues in the Design and Use of a Distributed File System'', Operating Systems Review, Vol 14, No 3, July, 1980.

5) Documentation and user-

level source for RPC and XDR are being posted to net.sources.

Gary Sager is Manager of the Systems Group at Sun Microsystems, Inc. Sager has worked for Bell Laboratories and AT&T Information Systems as principal architect of the Onyx/Pecos operating system; for LASL as a contributor to the DEMOS operating system; and for the Unversity of Waterloo as a codeveloper of the Thoth operating system.

Bob Lyon is Project Leader for the Network File System at Sun Microsystems, Inc. Previous to Sun, Lyon worked at Xerox Corp. on the XNS transport protocols and the Clearinghouse network service. He also has worked at Bell Laboratories as a UNIX system administrator.

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### **IBM LINKS**

### Continued from Page 59

interface to IBM hosts for application-to-application communications in the SNA environment, which is the norm today for IBM hosts since IBM no longer places emphasis on Bisync architectures. However, since it will be late 1985 or early 1986 before such interfaces are generally available for UNIX systems and applications, this should be considered more for planning than for immediate implementation.

### SUMMARY

All three of the interfaces to IBM hosts mentioned here have their place in different environments. The interactive facility will be used by those who want to

use their UNIX systems and local applications most of the time, but also need to query databases on IBM hosts from time to time. The batch facility allows a UNIX system to offload the host as a development facility. One possible scenario might find development and basic testing of COBOL applications occurring on a UNIX system, while full-scale testing with larger databases is left to the host. As previously mentioned, the ease of using this facility makes a batch application interface to the host a quick-and-dirty but fully functional job.

Finally, the application-to-application interface potential of *LU* type 6.2 promises to provide a mature, professional facility for data and general resource sharing

in heterogeneous network environments containing UNIX and IBM processors.

David L. Buck is Chairman of D. L. Buck and Associates, Inc., of San Jose, CA, a company that supplies hardware manufacturers with UNIX applications and drivers to interface their systems with IBM systems. Mr. Buck has been quite active in the /usr/group Standards Committee and the recently-formed IEEE P1003 Committee on standardizing the interface to UNIX and UNIX-compatible operating systems. As part of his work with the IEEE committee, Mr. Buck chairs the subcommittee on Systems Interface. He also offers seminars and classes on communications and UNIX.

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# CALENDAR

### EVENTS

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**May 1** Yates Ventures, San Jose, CA: "AT&T, IBM, and UNIX: Desktop Directions". Contact: Glenn Chase, 3350 W. Bayshore Rd., Suite 201, Palo Alto, CA 94303. 415/424-8844.

#### JUNE

**June 11-14** Usenix Association, Portland: "Usenix Conference and Vendor Exhibition". Contact: Usenix Conference Office, P.O. Box 385, Sunset Beach, CA 90742. 213/592-3243.

### SEPTEMBER

September 18-20 National Expositions Inc., New York. "UNIX EXPO". Contact: Don Berey, 14 W. 40th St., New York, NY 10018, 212/391-9111.

September 26-28 8th Northeast Computer Faire, Boston. Contact: Computer Faire, Inc., 181 Wells Ave., Newton, MA 02159. 617/965-8350.

### OCTOBER

**October 2-5** UNIX Systems EXPO, Boston: "EXPO/85". Contact: Computer Faire Inc., 181 Wells Ave., Newton, MA 02159. 617/965-8350.

#### TRAINING

#### MAY

**May 1-3** Interactive Systems Corp., Santa Monica, CA: "Customizing Ten-Plus". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649. **May 1-3** Center for Advanced Professional Education. Rochester, NY: "UNIX: A User-Oriented Evaluation Seminar". Contact: Center for Advanced Professional Education, 1820 E. Garry St., Suite 110, Santa Ana, CA 92705. 714/261-0240.

May 1-3 Computer Technology Group, London: "Using Advanced UNIX Commands". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 3** Specialized Systems Consultants. Bellevue, WA: "UNIX for Managers". Contact: SSC, P.O. Box 7, Northgate Station, Seattle, WA 98125. 206/367-8649.

**May 6** NCR Corp., New York: "UNIX Operating System". Contact: NCR Corp., CASE-Special Orders, 101 W. Schantz Ave., Dayton, OH 45479. 800/845-2273 or 800/841-2273.

**May 6-7** Computer Technology Group, Chicago: "Shell Programning". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 6-7** Computer Technology Group, Los Angeles: "Shell Programming". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 6-10 Interactive Systems Corp., Santa Monica, CA: "Ten-Plus Helper Writer Workshop". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649.

May 6-10 Bunker Ramo Information Systems, Trumbull, CT: "Advanced C". Contact: Bunker Ramo, Trumbull Industrial Park, Trumbull, CT 06611. 203/386-2223.

**May 7-9** Computer Technology Group, Boston: "UNIX Administration". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 7-9 Computer Technology Group. Washington, D.C.: "UNIX Administration". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 7-10** Integrated Computer Systems, Washington, D.C.: "UNIX: A Hands-on Introduction". Contact: Integrated Computer Systems, 6305 Arizona Pl., P.O Box 45405, Los Angeles, CA 90045. 213/417-8888.

May 7-10 Computer Technology Group, London: "UNIX Internals". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 8-10 Center for Advanced Professional Education, Denver, CO: "UNIX: A User-Oriented Evaluation Seminar". Contact: Center for Advanced Professional Education, 1820 E. Garry St., Suite 110, Santa Ana, CA 92705. 714/261-0240.

May 8-10 Computer Technology Group, Los Angeles: "Using Advanced UNIX Commands". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 8-10 Computer Technology Group, Chicago: "Using Advanced UNIX Commands". Contact: Computer Technology Group. 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 13 NCR Corp., Los Angeles: "UNIX Operating System". Contact: NCR Corp., CASE-Special Orders, 101 W, Schantz Ave., Dayton, OH 45479. 800/845-2273 or 800/841-2273.

**May 13-14** Computer Technology Group, Boston: "Advanced C Programming Workshop". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 13-14** Computer Technology Group, Washington, D.C.: "Advanced C Programming Workshop". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago. III. 60604. 800/323-UNIX.

**May 13-15** Interactive Systems Corp., Santa Monica, CA: "UNIX Fundamentals". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649.

**May 13-17** Computer Technology Group, Chicago: "UNIX Internals". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, III. 60604. 800/323-UNIX.

**May 13-17** Computer Technology Group, Los Angeles: "UNIX Internals". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 13-17** Bunker Ramo Information Systems, Trumbull, CT: "Intro to UNIX". Contact: Bunker Ramo, Trumbull Industrial Park, Trumbull, CT 06611, 203/386-2223.

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**May 15-17** Center for Advanced Professional Education, Silver Spring, MD: "UNIX: A User-Oriented Evaluation Seminar". Contact: Center for Advanced Professional Education, 1820 E. Garry St., Suite 110, Santa Ana, CA 92705. 714/261-0240.

May 15-17 Computer Technology Group, Boston: "Advanced C Programming Under UNIX". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 15-17 Computer Technology Group. Washington, D.C.: "Advanced C Programming Under UNIX". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, III. 60604. 800/323-UNIX.

**May 15-17** Computer Technology Group, London: "UNIX Administration". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 15-17** Digital Equipment Corp., Denver: "Comprehensive Overview of the UNIX Operating System". Contact: Digital Education Resources, 12 Crosby Drive, Bedford, MA 01730. 617/ 276-4949.

May 16-17 Interactive Systems Corp., Santa Monica, CA: "Using the Shell". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649. **May 20** NCR Corp., Los Angeles: "UNIX System Administration". Contact: NCR Corp., CASE-Special Orders, 101 W. Schantz Ave., Dayton, OH 45479. 800/845-2273 or 800/841-2273.

May 20-21 Interactive Systems Corp., Santa Monica, CA: "Advanced UNIX Commands for Programmers". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649.

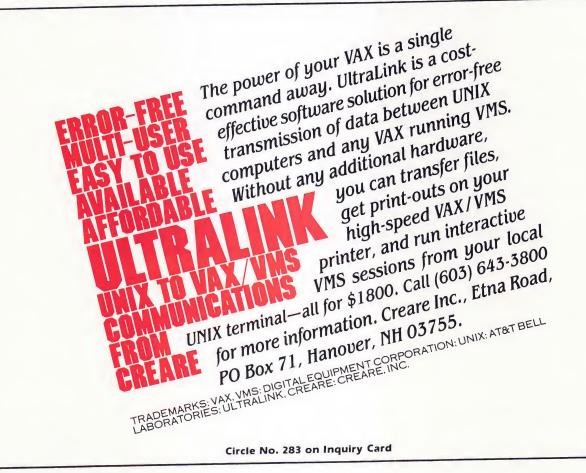
**May 20-21** Computer Technology Group, London: "Advanced C Programming Workshop". Contact: Computer Technology Group. 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

**May 20-22** Center for Advanced Professional Education, San Francisco: ''UNIX: A User-Oriented Evaluation Seminar''. Contact: Center for Advanced Professional Education, 1820 E. Garry St., Suite 110, Santa Ana, CA 92705. 714/261-0240.

**May 20-24** Computer Technology Group, Boston: "Berkeley Fundamentals and 'csh' Shell'. Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

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May 20-24 Bunker Ramo Information Systems, Trumbull, CT: "Advanced UNIX". Contact: Bunker Ramo, Trumbull Industrial



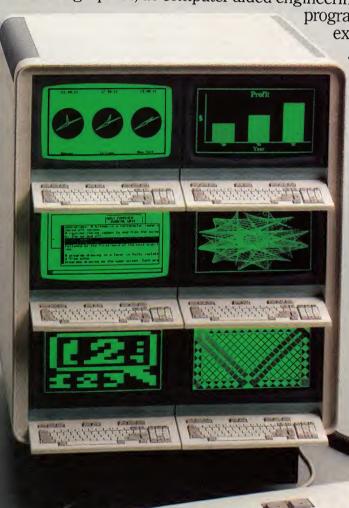
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May 21-23 Computer Technology Group, Chicago: "UNIX Administration". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 21-23 Computer Technology Group, Los Angeles: "UNIX Administration". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 22-24 Interactive Systems Corp., Santa Monica, CA: "UNIX Architecture: The Conceptual Overview". Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649.

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May 28 Computer Technology Group, New York: "UNIX Overview". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

May 28 Computer Technology Group, Washington, D.C.: "UNIX Overview". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, III. 60604. 800/323-UNIX.

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May 29-31 Computer Technology Group, New York: "UNIX Fundamentals for Non-Programmers". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

### JUNE

June 3 NCR Corp., Chicago: "C Programming". Contact: NCR Corp., CASE-Special Orders, 101 W. Schantz Ave., Dayton, OH 45479. 800/845-2273 or 800/841-2273.

June 3-4 Specialized System Consultants, Seattle: "Hands-on UNIX for Non-Technical People". Contact: SSC, P.O. Box 7, Northgate Station, Seattle, WA 98125-0007. 206/367-UNIX.

June 3-4 Interactive Systems Corp., Santa Monica, CA: "System Administrator's Overview''. Contact: Claire Donahue, 2401 Colorado Ave., 3rd floor, Santa Monica, CA 90404-9989. (213) 453-8649.

June 3-4 Computer Technology Group, Los Angeles: "Advanced C Programming Workshop". Contact: Computer Technology Group, 310 S. Michigan Ave., Chicago, Ill. 60604. 800/323-UNIX.

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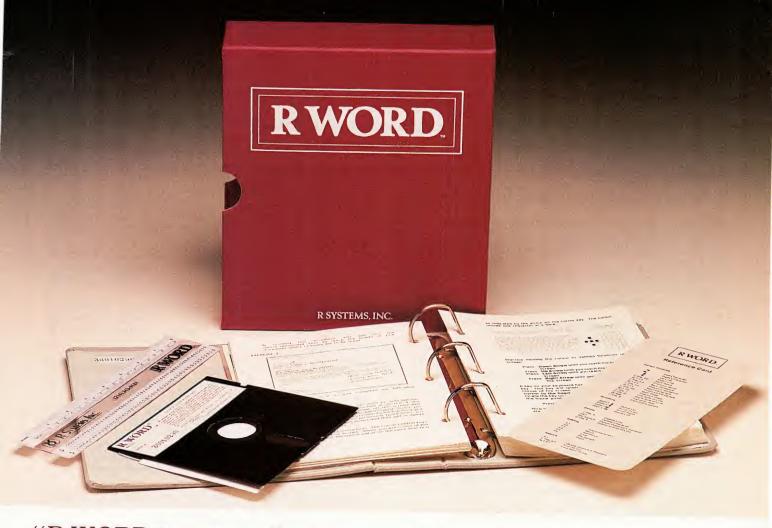
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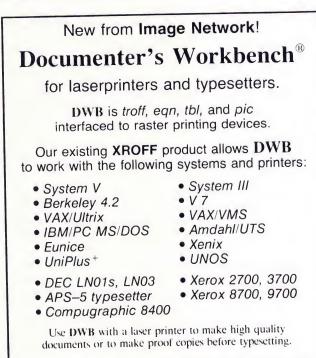
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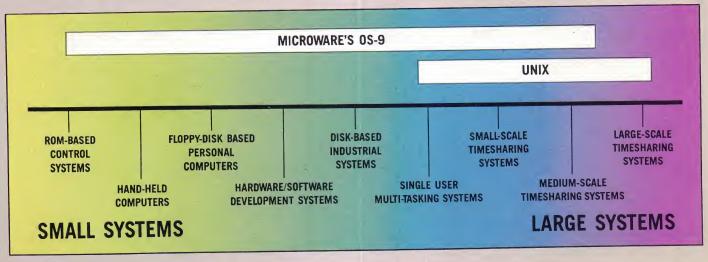
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