



USER FRIENDLY UNIX

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UNIX REVIEW (ISSN 0742:3136) is published monthly by REVIEW Publications Co. It is a publication dedicated exclusively to the needs of the UNIX community. Subscriptions are \$28.00 per year (12 issues). Canada and Mexico add \$7/year. Overseas add \$20/year for surface mail. Address correspondence regarding editorial, press releases, product announcements to 520 Waller Street, San Francisco, CA 94117. Correspondence regarding subscriptions, change of address, USPS Form 2579, fulfillment and dealer sales should be addressed to 901 S. 3rd Street, Renton, WA 98055. Letters to UNIX REVIEW or its editors become the property of the magazine and are assumed intended for publication and may so be used. They should include the writer's full name, address, and home telephone. Letters may be edited for the purpose of clarity or space. Opinions expressed by the authors are not necessarily those of UNIX REVIEW. Entire contents copyright • 1984. All rights reserved and nothing may be reproduced in whole or in part without prior written permission from UNIX REVIEW. Editorial telephone: 415/621-6415. Subscription/Dealer Sales telephone: 206/271-9605. UNIX is the trademark of Bell Laboratories, Inc. UNIX REVIEW is not affiliated with Bell Laboratories. Application to mail at second class postage rate is pending at Renton. Washington 98055

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VIEWPOINT

What's in a name?

can half imagine the snickers as people pick up a magazine emblazoned with the brash headline, "User Friendly UNIX."

The strengths of UNIX are many, but the system's user interface has rarely been counted among them. Compare unadorned UNIX, for instance, with what has come to be regarded as the standard for "user friendliness" the Apple Macintosh. The difference could hardly be more striking.

Does that mean UNIX is unfriendly? It depends on how you view the term. Richard Morin (*The Human Factor*) calls "user friendly" a "clear contender for the title of "Most Hackneyed Slogan of the Year." I quite agree.

So before addressing the question of whether UNIX is user friendly or not, we decided it would be best to start off with a definition of the term. Jeff Schriebman, president of UniSoft Systems and a member of the UNIX REVIEW Editorial board, agreed to tackle the unenviable task. His eloquent proposal could serve well as an industry standard.

Jim Joyce follows with an assessment of the other side of the equation: system friendly users. "Instead of fighting the system, the user can convince the system to adapt in terms both can understand," he writes. The article goes on to say how.

"Well-tempered" menus for soothing the UNIX beast are the focus of a piece by Jordan J. Mattson, a UC Santa Cruz UNIX programmer specializing in menudriven office automation systems.

The "User Friendly UNIX" theme then concludes with a spicy interview with Don Norman, the outspoken UNIX critic who took the system's user interface to task in a celebrated 1981 article in Datamation titled "The Trouble with UNIX." Some valid questions — and not a few hackles — were raised by the original article. Chances are this interview will do likewise.

Should this issue of UNIX REVIEW leave unanswered questions, so be it. It's long past time that serious questions be raised about the UNIX user interface. General acceptance of the system depends on it.

mark Compter

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DEVIL'S ADVOCATE

Bootez Le Système, Ma'm Cloche! Je Veux Grepper Mal!

by Stan Kelly-Bootle

Most Fifth Generation watchers limit their watching to the two pennant-chasing favorites, Japan and the United States. My last trip to Paris, and subsequent study of the literature, have convinced me that there is, sans blague and Sacre bleu, a third serious contender, a cheval noir lurking behind les barricades and straining at the leash. Oui, indeed, the French are on the old "Marchons! Marchons!" again. And when les grenouilles get going, Prenez Garde, Mes Enfants! Zair weel be much rolling of ze tête, hein?, and conseederable spillage of ze sang impur. The new revolutionary songs echo the glorious "Liberté, Egalité, Fraternité" of the young *République*. Freedom from Le Grande Bleu! (Le Perfide Oncle Sam has replaced perfidious Albion.) Equality with Le Soleil Levant. Fraternity with L'Intelligence Artificielle.

Developing the hardware (matériel) and software (logiciel) to provide the long-awaited dawn of intelligent AI is, of course, the kernel of current Fifth Generation research. Japan's strengths in the areas of robotics, IC technology and union-bashing are well know, but many feel, seriously offset by traditional weaknesses in software. The US has unequalled pools of talent, nay, genius, in all the relevant fields. The pools, however, compete rather than



cooperate, in business, military and academic domains. In contrast, the Japanese psyche seems to encourage a unity of purpose where the pools combine to form *tsunamis* (a word I have been dying to use for some time), and the Fifth Generation computer has emerged as a national priority. Where does this leave the French?

My view is that the French combine a Japanese national fervor with an American flair for intellectual individualism. The French government has been pursuing a vigorous *hitech* policy, especially in *l'informatique*, for many years; it is not widely appreciated in this country that both the *PTT* (the French equivalent of AT&T, loosely speaking) and the *BNP* (Banque Nationale de Paris which one cannot easily translate, since it is wealthier than any American Bank) together with the major electronics and computer companies are either completely or partly nationalized concerns. While the conservative Maggie Thatcher across *La Manche* has been dismantling and "privatizing" the companies which were nationalized under the Labour parliament of 1945, the socialist Mitterand has diverted a considerable portion of his GNP toward strengthening the public sectors of the French economy, with a special emphasis on computers and automation.

Paris has become a user friendly base for many distinguished international computer scientists, including Seymour Papert. Signs of intense, high-level computation can be seen in all walks of life. Autour de l'horloge, from Réseaux de Temps Partagé in les bistros, to ordinateurs portables for les belles de nuit, les claviers are going clique, clique, and les curseurs pétillants flash on les écrans de moniteur. On the home front, the standards of the government-controlled TV networks have been deliberately lowered to encourage the use of Teletext and Telefax.

The outstanding symbol of this French technological renaissance is the Pompidou Center in Paris where the arts and sciences merge with no sign of mutual disdain or embarrassment. The building itself looks as



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though a large truck, taking an oilrefinery to Saudi Arabia, had broken down in the heart of the old city. Unable to budge it, the Mayor must have then commissioned Matisse and Chagall to spruce up the pipework in their favorite primary colors. Walking through narrow 17th century streets, drinking, breathing and smelling the ambience of l'Ancien *Régime*, then turning a corner to confront Le Monstre is one of life's most pleasantly enduring chocs. To the credit of the Parisians, the Pompidou Center was not stormed à la Bastille but, rather invaded by joyous mobs anxious to enjoy its facilities.

I saw thousands of natives of all ages jostling to get some de première main on the terminals and PCs which are freely and openly available. And they were not playing Kong d'Ane or La Guerre des Etoiles. Neither were they engrossed in a trivial programme d'une seule ligne en BASIC. Gnarled paysan and brash étudiant alike were keying in massive jobs in Assembleur and ZOZOT (LISP). LISP has become so widely used that there is now a national shortage of parentheses: a good crochet d'occasion will fetch 50 francs on the marché noir. Why not switch to Pascal? Well, Monsieur B. Pascal was French, sans aucun doute, but Herr N. Wirth? Jamais!

The well-known French xenophobia in matters linguistic is turning out to be an advantage in the AI game. It's all very well pursuing *les compilateurs des langues naturelles* with abstract theories, but sooner or later you must *mettez l'argent où se trouvé la bouche* (''put your money where your mouth is'').

Practical progress in Natural Language processing, according to the French, presupposes that you have a *natural* language to begin with, and that furthermore you *keep* it that way! The Anglo and Nippophone communities are at a serious disadvantage when it comes to language purification schemes. The Japanese language, let's face it, is a typographical disaster area straining under the burden of innumerable sociolinguistic anachronisms. Their software problems, even with the

The French combine a Japanese national fervor with an American flair for intellectual individualism.

normal high-level computer languages, have been well documented. Rather than simply "lomanizing" their scripts, the Japanese really need a drastic clean sweep. A good start, some say, would be switching to Finnish or Basque, then gradually moving through Proto-Indo-European to Latin, then on to French. I predict some objections to this plan, not the least being that it could delay the Japanese Fifth Generation by approximately 5000 years. But who said it was going to be easy? Certainly not me, and not, with much more authority, Hubert L. Dreyfus (What Computers Can't Do, Harper Colophon Books, 1979).

The English language does not have the same Japanese structural dysfunctions, but much worse in French eyes, it has degenerated into a shapeless, undisciplined pidgenese, a mere trade language like Mobilian, borrowing and lending, shedding all semblance of grammar and good taste in order to curry favor — a *lingua franca* for the World's illiterate. And all this despite valiant attempts circa 1066 to bring some Gallic precision to the Anglo-Saxons.

The French view their linguistic heritage much as the Sierra Club views Mono Lake. Your average Californian, for example, will march on even days to save the darter snail, but on odd days the parade is to promote multilingual ballots. The five minority languages in France have never received any such encouragement from the Frenchspeaking majority.

In the US, we have a few William Safires preaching gooder English in the wilderness; in France, on the other hand, there are 40 or so Safidic watchdogs, known as L'Académie française. They meet regularly under governmental auspices and have extensive powers to enforce le mot juste. The main enemy is franglais, the insidious use on an English word where a perfectly good French one is about to be invented! A ministerial scribe can be fined, in fact, for using *le floppy* when le disque souple is clearly indicated. A common trap for the unwary is "computeur," which gets you five years on L'Ile du Diable (Devil's Island). In France, your données (data) is put in order by l'ordinateur.

My money is on the nation that gave us Descartes, Pascal, Fermat, Laplace, Poincaré, Marat-Sade and my wife! I urge you all to pull out those dusty French schoolbooks, brush up on your Voltaire, and practice with me the paradigms of *La Nouvelle Vague*:

J'ai perdu le registre de ma Tante dans le fichier de mon Oncle.

(I have lost the record of my

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U DEVIL'S ADVOCATE

Aunt in the file of my Uncle).

Il y a une erreur dans ce boitier.

(There is a bug in this package.)

Furthermore, remember to pronounce UNIX as "oooo-neeeeks," which, once and for all, will prevent those unfortunate snickers when your neighbor asks you to recommend a good SO (Système Opératoire!).

UNIX, in any language, continues to lead the way in the search for expert systems and machine intelligence. Here are two examples which I gave at the last Uni-Ops Conference, revealing that UNIX knows more than you imagined in the disparate fields of US Aviation and Labor History. I offer them *en français*, to see if you have been paying attention:

chat amelia_earhardt amelia_earhart pas trouvée chat jimmy_hoffa jimmy_hoffa pas trouvé

Glossary

En français — In French **Kong d'Ane** — Donkey Kong **La Guerre des Etoiles** — Star Wars

De première main — Hands on (at first hand)

Pas trouvé — Not found (masculine) Pas trouvée — Not found (feminine) chat — cat Ma'm Cloche — Ma Bell Ma Belle — Ma Bell before the breakup Je veux grepper mal — I'm dying for a good grep Crochet d'occasion — Secondhand bracket (parenthesis)

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Stan Kelly-Bootle has diluted his computer career by writing contemptuous folk songs for Judy Collins ("In My Life," Elektra K42009), The Dubliners and others. He is currently writing, with Bob Fowler, "The 68000 Primer" for the Waite Group, to be published by Howard W. Sams in the Spring of 1985. ■

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THE HUMAN FACTOR

Whaddya mean, user friendly?

by Richard Morin

"If the point of contact between the product and the people becomes a point of friction, then the industrial designer has failed. If, on the other hand, people are made safer, more comfortable, more eager to purchase, more efficient — or just plain happier — the designer has succeeded."

Henry Dreyfuss (Designing For People, Simon and Schuster, 1955)

"User friendly" is a clear contender for the title of "Most Hackneyed Slogan of the Year." No computer-related product can come out these days without a banner proclaiming how !!!USER FRIENDLY!!! it is.

The "user friendly" label is the Madison Avenue way of saying that a product has been designed properly in terms of human factors. Only a few years ago, local technical bookstores contained almost nothing worth mentioning on human factors in computing. Now though, the topic competes with introductory UNIX for shelf space.

Your author has very mixed feelings about this. It's nice to be noticed, of course, but things are getting lost in translation. There is a set of real issues to be considered, and a set of valid criteria to be used in designing products



for use by human beings. A "user friendly" designation means nothing without an accompanying human factors analysis. In the name of enlightened consumerism, then, let's look at what is meant by human factors, and see how such an analysis should be done.

HUMANS AREN'T STANDARDIZED

Human beings are remarkably adaptable and variable creatures. They can crawl into tight spaces, use varying amounts of light, perform complicated sequences, and in general do things that a designer of robots would be hard put to replicate. Humans vary, however, in strength, speed, intelligence, tastes and so on. They cannot perform all jobs equally well, and their performance on seemingly equivalent jobs can be radically different.

Certain common limitations and other traits are responsible for this variation. The workplace limitations promulgated by OSHA generally reflect physical limits: size and weight of objects to be handled, levels of ambient noise or lighting that can be tolerated, and any number of other considerations. The newer cash registers further reflect mental limitations by performing calculations for tax, change returned and so forth.

When limitations affect only part of the population, a designer must decide whether to deal with them. The design of a control console, for instance, must take into account the fact that about 10 percent of males are color blind, since the use of color as an indicator could easily be missed by such an operator. The design of a color television set, on the other hand, does not have such constraints, save that it should present an acceptable picture to a color blind viewer.

Some limitations are more specific to the world of computers. In general, humans are very good at pattern recognition, but poor at calculation. They are terrific at relating loosely connected factors, and terrible at considering all possible related factors. They can handle a chart or graph with ease,



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but often balk at a table of values or at a mathematical function.

In designing a system or simply choosing equipment, one should try to keep users from having to do things that they do poorly. This may be accomplished by having the equipment do more work. Alternatively, it may result from a simple restructuring of the task.

In a research and development environment, the nature of the work to be performed is usually not well known. Support facilities for such an environment should therefore be quite flexible. In a production environment, the tasks to be performed are more predictable. A thorough study of such tasks can often result in dramatic improvements in performance.

WHO IS THE USER?

A "user friendly" label is meaningless without a clear idea of the nature of the user. A system that seems very congenial to a systems programmer may be a nightmare for a novice, and vice versa. A novice can format text quickly and easily using a Macintosh, but would have severe difficulties with nroff or troff. The UNIX expert could use the Macintosh software, but would grow tired of repeating the same functions every time a similar document had to be produced.

One must determine not only how sophisticated the intended user is but how familiar the user is with the specific tasks to be performed. A novice typically scores low in both areas. A computer science researcher may be very sophisticated about computers in general, but unfamiliar with the use of a particular package or device. The resident UNIX guru may know every program on the system intimately.

It is very difficult to optimize simultaneously for multiple disparate goals. Generally, the most reasonable solution is the one that works well for the most typical user, and is not too taxing for others. Ideally, of course, a

A "user friendly" label is meaningless without a clear idea of the nature of the user.

solution allows different levels of interaction for different levels of users.

It might seem this sort of analysis is very subjective at best and is not well suited to an engineering or research approach. It turns out, however, that a substantial amount of work has been done to answer design questions of these sorts. Human Performance Engineering (Robert W. Bailey, Prentice-Hall, 1982) is perhaps the best introductory text on the subject. It seeks to distill the human factors work done at Bell Laboratories into a form that can be used by system designers elsewhere. The author summarizes a designer's objectives as follows:

A designer should strive to ensure that work is done with the greatest possible accuracy within the shortest time. In addition, the performance itself should be satisfying, and the designer should ensure that the time to develop the necessary, unique skills is as short as possible.

The text does an admirable

job of showing how a designer should meet these objectives: through analysis of relevant factors, tradeoffs of competing solutions, and so forth. It would serve equally well as an introduction to human factors for an interested consumer.

BRING OUT THE TOYS

So much for generalities; what about the toys we all love? Many of the nifty features to be found on new graphics workstations have their origins in human factors research. Mice, touch screens, windows, black-on-white text and high resolution graphics screens are all examples of this.

In trying to justify the use of a given item, a corporate purchaser needs to show why it is better suited to an application than some other, presumably cheaper one. A human factors study may be able to supply such a justification. In any case, it may point out considerations which might otherwise be overlooked by the purchaser.

These considerations may well determine what kind of equipment is appropriate. Touch screens are useful for simulating control panels, but terrible at making fine discriminations. Even in a control panel application, intensive or continuous use raises the specter of "touch screen elbow." Mice are ideal for some applications, and are nothing if not trendy. The user should be seated to use a mouse well, however, and this is not always convenient or even possible.

With the results of a human factors study in hand, one can judge the suitability of available devices with a much greater degree of confidence. This presumes, however, that the purchaser is able to interpret the specifications of the myriad of available devices. This is particularly difficult in the field of



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computer graphics. Fortunately, some excellent help is available.

HELP ON GRAPHICS

While any effort to describe the state of the art in computer graphics is bound to be out of date in relatively short order, Fundamentals of Interactive Computer Graphics (James D. Foley & Andries Van Dam, Addison Wesley, 1982) is the best current attempt to cover the field, in terms of both hardware and software. In particular, the text's coverage of the Core System, produced by the ACM's SIGGRAPH, provides a good overview of the tools a designer should expect to find in a generalized graphics support environment.

In addition, there are a number of magazines covering computer graphics. They range from very technical journals to "gee whiz" slicks, and new ones are emerging almost monthly. Some time spent in a good library will give an overview of the currently available magazines. The reader should pick out one or more magazines that seem to cover topics of interest at a reasonable level. In any case, the advertisements are often the best source for information on the current commercial state of the art.

STILL FURTHER AFIELD

Topics such as programmer productivity, the sociology and psychology of computer programming, creativity and so forth are of interest to students of human factors, but may not have a direct bearing on a particular design question.

Leaving aside the scores of books on structured analysis, documentation, management, programming, et al., some introductory material is available in these areas as well. An early work, The Psychology of Computer Programming (Gerald M. Weinberg, Van Nostrand, 1971), stands up well, as do Weinberg's texts on general systems thinking. The Mythical Man-Month (Frederick P. Brooks, Addison Wesley, 1972) gives an unequalled "school of hard knocks" overview of the sociology of project management.

The field of human factors has a large research component, and a great deal of this work is being done with computers. UNIX, because of its pervasive nature in

Human factors insights can make an acceptable product good and a good product great.

academia, and because of its ability to adapt to changing requirements, has become a favored research environment for studies of computer-related human factors questions. We may hope that some of the results of such research will eventually enter the mainstream of UNIX usage. Software Psychology (Ben Shneiderman, Winthrop, 1980) gives a very thorough introduction to this world of experimental research and offers an extensive bibliography.

Given the lengthy production cycle for books, the most recent information will almost always be found in journals, conference proceedings and tutorials. Note that such papers tend to be both more demanding intellectually and less polished editorially than those found in more formal books. For the current literature on research issues in human factors in computer systems, there are three major sources:

1) ACM SIGCHI (Association for Computing Machinery Special Interest Group on Computer & Human Interaction), P.O. Box 64145, Baltimore, MD 21264;

2) The Human Factors Society, P.O. Box 1369, Santa Monica, CA 90406;

3) IEEE CS (Institute for Electrical & Electronic Engineers Computer Society), P.O. Box 80452, Worldway Postal Center, Los Angeles, CA 90080.

So much for our introduction and reading list in human factors. Familiarity with the above material will not make one an expert in human factors. It will, however, give one the ability to filter out most of the "user friendly" slogans used by marketing and sales personnel. It will also allow one to analyze a design and (sometimes) find out how well it will meet the needs of the users.

Human factors insights can make an acceptable product good and a good product great. Human factors errors, on the other hand, can be very costly — as in the famous misplaced indicator at Three Mile Island. Caveat emptor...

Richard Morin is an independent computer consultant specializing in the design, development and documentation of software for engineering, scientific and operating systems applications. He operates the Canta Forda Computer Lab in Walnut Creek, CA.

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BEYOND USER FRIENDLY

What should a computer offer?

by Jeff Schriebman

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One of the most popular, and probably least understood, phrases used in the computer industry to-

day is the term "user friendly." In discussing the relative merits of small computer systems, it is useful to have some understanding of what this term means or should mean.

We can assume that a person asking, "Is this system user friendly?" is not a computer expert, but rather a person hoping to be able to use the system. Whether for business or personal use, people want a computer for one reason — to get their work done easily and efficiently. All too often, however, people discover that many computers create additional work by forcing users not only to adapt their work to the computer's abilities and limitations, but also to adapt their thinking to that of the programmers who wrote the program or system. Programmer solutions are often responses to system limitations, but sometimes they're also easier, less general ways of obtaining an effect. Regardless, the frustration involved and the time spent trying to discover the abilities and limitations of the hardware and the underlying algorithms can send the costs of buying a computer way above the suggested retail price - or worse, render the computer system useless.

It was in response to this situation that the term "user friendly" appeared on the scene. Some word processors, for example, were made user friendly by using standard office terminology, such as "file drawer" and "folder' in place of "directory" and "file." In other cases, "user friendliness" was thought to be achieved by including verbose prompts and error messages. Current technology has developed user friendly systems with mice and bitmapped display screens. Yet, users who just want to get the job done will not find a system user friendly if, in order to use it, they must train themselves to think in some other, often convoluted way. If users must fight with the tool they're trying to use, or work around it, then the tool isn't of much use.

The computer should be *transparent* to the person using it. That is, the user should be able to concentrate on the task at hand and use the computer as a tool.



The user shouldn't have to work around or fight that tool to get the job done. Just as a person using a pencil to do a math problem concentrates on the problem rather than on the pencil, so should a user be able to focus attention on the successful resolution of a problem — instead of having the tool *become* the problem!

A term such as user friendly is never used with simple tools, such as the pencil. The fact that these simple tools are convenient and easy to use is taken for granted. When the computer industry provides usable systems, the term user friendly will drop from use regarding computers as well. For example, there are already computers that are truly usable — not many, but a few. Yet these computers are not referred to as user friendly. For the most part, they serve as controllers. They perform a specific function and do that job well. We use these computers without considering them as such in cars, clocks, dishwashers, refrigerators, phones and any number of commonplace devices. They have achieved *transparency* and risen to the level of simple tools where their ease, convenience and usefulness are taken for granted.

For the future, the question is not *if* computers will become user usable, but *when*. Technology and the marketplace are already moving in that direction. Software and human engineering interfaces are being developed to make computers easier to use. Cognitive engineers are investigating how people think and reason, and how they interact with computers. Their knowledge in this area is growing and strongly affecting the directions taken by technology. The natural techniques that people use in reasoning through a problem are winding their way into computer software. Improvements in computer interfaces are assured in the not-toodistant future. In time there will be voice, written and visual input available and accessible - user usable.

The direction in the computer industry toward user usability does not negate the necessity for computer literacy. At the very least, it is important that people not be computer-frightened. Interfaces are being developed to make computers usable by large groups of people, but this will only have a limited impact if people don't overcome their own obstacles to using computers. People cannot effectively use computers if they're afraid of them - chances are you were not afraid of a pencil when you first started to write. Hopefully, once computers become more usable tools, the fear of them will disappear as well.

Naturally, the first part of this

educational process is understanding what a computer is. In understanding that computers are tools, it is obvious that they could not, nor should they, act like friends. Computers are machines and are thus incapable of willful action either for or against the user. Thus, the term user friendly is inappropriate for both computers and software. If a program does its job quickly, efficiently and is easy to use, it's not *friendly*, it's *useful*.

Regardless, it is my contention that people don't even want user friendly computers. People don't want to wake up in the morning and have their computer chat with them over coffee. They may, however, want their computer to prepare the coffee, turn on the lights or wake them with music. People need to be able to use computers, not establish friendships with them. As people become more computer literate, overcoming obstacles they may have once had to computers as tools, and as the computer industry develops better and more easily-used programs and systems, user friendly might well become a term better applied to users who approach computers with an open mind.

Jeff Schriebman is the founder and president of UniSoft Systems, a leading producer of UNIX ports. He is also a member of the UNIX REVIEW Editorial Board.



DEVELOPING SYSTEM FRIENDLY USERS

Suggestions for taking advantage of UNIX capabilities

by Jim Joyce

The phrase "system friendly users" seems a bit out of place in an issue devoted to "user friendly UNIX," but the point here is that UNIX, like any computer system, needs to be brought up on new users just as it needs to be brought up on new hardware.

If "ports" (as it were) to new users are successful, we get system friendly users. If not, users will complain the system is hard to learn because of clashes with habits learned from other systems they have known (and perhaps loved) or perhaps from pure UNIX-phobia. Perhaps they will cite the Datamation article "The Trouble with UNIX" (November 1981) and betray their fears that the system is dangerous, even hostile.

As Jeff Schriebman points out in the previous article, a truly user friendly computer system is transparent to the user. To turn this around a bit, a truly system friendly user would feel the system does not get in the way.

That is what most UNIX hackers claim about UNIX.

Before hackles start to rise on either side of the issue about UNIX users being system friendly, I would like to point out that few hackers I know use anything like vanilla (or unchanged) UNIX. The system interface is easy to modify either for the Bourne shell through **.profile** files, or for the C shell through **.login** and **.cshrc** files. These files are actually shell scripts that guide the appropriate shell in altering the environment.

This sounds user friendly, and it is *system friendly*, too, in that instead of fighting the system the user can convince the system to adapt in terms both can understand. Rather than battle about what isn't quite right, the user and the system can agree to what *is* right.

For example, the big bugaboo for many UNIX

detractors is the danger of the **rm** command, especially:

\$ rm *

which removes all files (except directories and "dot" files) in the current directory.

In the C shell this is easily remedied by inserting:

alias rm rm -i

in either the **.login** or **.cshrc** files, or by habitually typing **rm** -**i** instead of merely **rm** to the shell as a command. The -**i** flag asks **rm** to inquire whether each file it finds is actually to be deleted. Only a **y** response will instruct **rm** to delete the file; anything else will leave the file intact.

The Bourne shell does not have the **alias** command, but there are other remedies. Users can establish local command directories where a suitably tailored shell script replaces the original **rm** command:

```
: Bourne shell version of aliasing rm to rm -i
/bin/rm -i $*
```

The typical name for a user's local command directory is **bin**, located in the user's home directory. The full pathname is in the **\$HOME** shell variable. The shell script:

PATH=\$HOME/bin:\$PATH export PATH

will guide the search for command name resolution first to the local **bin** directory, and then the others in the search path.

A better solution yet is the **pick** command, discussed in Kernighan and Pike's *The UNIX Programming Environment* (Prentice-Hall, 1984),



```
# pick: select arguments
#
   Adapted slightly from Kernighan and Pike,
Ħ
   The UNIX Programming Environment
#
    (Prentice-Hall, 1984), p. 160.
Ħ
PATH=/bin:/usr/bin
for i
                                        # for each argument
do
        echo -n "$i? " > /dev/tty
                                        # force output to terminal
        read response
        case $response in
        y* Y*) echo $i ;;
        a* Q*) break
        esac
done < /dev/tty
                                        # force input from terminal
```

Figure 1 — The **pick** command, introduced in Kernighan and Pike's The UNIX Programming Environment.

shown in Figure 1. Its use would be in the pipeline:

\$ pick * | rm

The list of files generated by the * is presented, one name at a time, to the user (echo ... > /dev/tty), who is then to reply (... < /dev/tty). If the reply is anything beginning with upper or lower case Y, the file passes along the pipeline. Upper or lower case Q quits the selection process. Any other response causes the shell script to go on to the next filename.

As Kernighan and Pike point out, **pick** generalizes the **-i** option of **rm** to *all* commands that can operate on several input files without adding code that will have to be tested to any of the commands.

Other examples of the benefits system friendly users can gain abound in Steve Bourne's *The UNIX System* (Addison-Wesley, 1983). Granted, Rob Pike, Brian Kernighan and Steve Bourne are *not* today's typical UNIX user, but that's one of the points of this article: how users can become system friendly.

SYSTEM FRIENDLY DOCUMENTATION

To take advantage of UNIX capabilities, users need to know what they are. Documentation and training play a major role here. Among the documentation are the books mentioned earlier, Kernighan and Pike's *The UNIX Programming Environment* and Bourne's *The UNIX System*. But these books are really not for beginners.

Introducing the UNIX System, by McGilton and Morgan (McGraw-Hill, 1983) is a justly popular introductory book, as is Sobell's A Practical Guide to *the UNIX System* (Benjamin-Cummings, 1984). Both books are oriented toward Version 7 UNIX with Berkeley enhancements such as the C shell and the **vi** editor.

Just out is *Exploring the UNIX System*, by Stephen G. Kochan and Patrick H. Wood (Hayden, 1984), which was written in a System V environment at AT&T Bell Laboratories. This book, reviewed at greater length in this month's */usr/lib* column, has a fascinating approach starting with a non-technical discussion of what an operating system is. The tour through utilities and shell scripts culminates in a chapter on system administration. Each of the books mentioned offer help to users wishing to become system friendly.

Before so many good books burst upon the scene, the best resource for someone wishing to become a system friendly user was the *UNIX Programmer's Manual*, a collection of entries best characterized as a collection of intra-office memos shared within the Bell Labs Computer Science group and later released with copies of the UNIX system on tape.

It is a sad truism that once you know UNIX reasonably well, the manual tells all and is a wonderful resource for UNIX goodies. It used to be standard practice at Bell Laboratories to reread the manual once a month. But with the recent packaging of System V.2 documentation, where three volumes have expanded to nearly three times that number, that practice is more honored in the breach than the observance.

Still, rereading Section 1 of the manual every two or three months will bring surprising rewards

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— such as remembering the right UNIX tool to use in a given situation. Browsing in the online manual pages is one way to do such reading while taking a break from online work. Sadly, a number of manufacturers have removed the online manual (as well as games), and this option is thus denied to more and more UNIX users.

SYSTEM FRIENDLY TRAINING

Reading about UNIX is a relatively slow way of learning the capabilities of the system. The best way is to have a guru in the room with you. As a secondbest option, hands-on experience and live seminars are among the fastest ways to gain facility with UNIX. Introductory UNIX seminars are offered by, it seems, everyone in sight. Yet these rarely contain enough information for a participant to become system friendly.

The true powers of either the Bourne shell or the C shell are usually skipped over because of time, and topics such as **awk** and **sed** are often neglected entirely. Users may be willing to sit through a four-day course as an introduction to UNIX, but after that few have the time or inclination to allow for further four-day intrusions into production.

One solution is to package half-day and full-day courses on specific UNIX topics, such as "Using the C Shell More Effectively" (which fits comfortably into a half-day package), or "Problem Solving with the Bourne Shell" (a full day topic). The idea is to focus on particular aspects of the UNIX system rather than trying to create all-encompassing courses for "the intermediate" or "the advanced" user — a far too general approach. Our experience at ITS indicates this focused approach toward short courses is effective.

But it is not simply the short, intense format of the course that is effective. Examples are an immediate way of teaching, and by focusing on working examples rather than handwritten snippets of code or shell script, participants leave the class with things they can try at their own installations. By practicing with system friendly examples, the participants can become system friendly users.

Several training organizations I have talked with seem to have the opinion that *anyone* can teach a course once it is planned. But if courses adhere to the short course format and emphasize examples, the instructors need to be able to answer any questions they may encounter. The simplest solution is: ask knowledgeable, articulate technical people to be instructors. We term this approach "expert-led" teaching, and feel it is particularly effective given the example-driven course material.

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knowledgeable, articulate technical people, a viable alternative is to pair a knowledgeable technical person as a resource person with an articulate course leader, assuming the two have rapport and have *rehearsed* questions the technical resource person is to field. The rehearsal will give the technical resource person confidence in answering questions when they come up, and the course leader can ascertain how and when to make best use of the technical person. Importantly, the technical person should not simply be kept to bail the course leader out of difficulty. The rehearsal should include some give-and-take that allows both to present information.

These observations about how to encourage system friendly users provide a starting point for

thought rather than The Final Word on the matter. Some may still feel the shell has Got To Go, and that a more forgiving user interface is needed. So be it! The shell is, after all, simply a program, and is easily replaced by a proper entry in **/etc/passwd**. Yet for those who learn to use UNIX, shell and all, the system is a delight for its flexibility and unobtrusiveness.

Jim Joyce is a long-time UNIX advocate dating from his teaching days at UC Berkeley when UNIX first arrived there. He is now president of International Technical Seminars, Inc., 520 Waller Street, SF, CA 94117, 415/621-6415, a teaching and consulting firm specializing in the UNIX system.

GETTING SYSTEM FRIENDLY THROUGH READING

The best books on the market

Every month it seems there are two or three new UNIX-related publications in print. The question most asked at The Independent UNIX Bookstore is no longer "is there anything about UNIX?" but "what is the best book for me?" Here is a short guide to the best books for managers, programmers, non-programmers and more advanced UNIX users.

FOR MANAGERS

Managers, marketing and technical people who want to know something about UNIX but do not need detailed knowledge will find Paul Weinberg and



James R. Groff's *Understanding UNIX: A Conceptual Book* (Que Corp., 225pp, \$17.95 paper) the right book. It discusses how UNIX fits into the world of computing, what the major UNIX features and benefits are, and how the system is structured.

To illustrate just how astute the authors are, they accurately rumored in 1983 that InterActive Systems was at work on VM/IX, the IBM mainframe port of UNIX. VM/IX was released mid-July, 1984.

Technical discussions of commands and shell scripts are included in Weinberg and Groff, but not at the level of detail to be found in a book for programmers. The subtitle is "A Conceptual Guide," and that is what the book delivers.

PROGRAMMERS LEARNING UNIX

Three books tie for best choice for this audience, depending on how experienced a programmer the reader is.

For highly experienced programmers who may already know something about UNIX from articles that have appeared, Steve Bourne's *The UNIX System* (Addison-Wesley, 349pp, \$16.95 paper) is the book to read. Bourne is also the author of the standard shell. Those who thread their way through the tennis ladder example in this book will truly know the power of UNIX utilities and the shell as a programming language.

Those needing a more introductory book have a genuine choice between Henry McGilton and Rachel Morgan's Introducing the UNIX System (McGraw-Hill, 556pp, \$19.95 paper) and Mark G. Sobell's A Practical Guide to the UNIX System (Benjamin/Cummings, 428pp, \$21.95 paper). Both books are carefully done, containing clear examples of making use of the power of UNIX. Sobell's book shows what can be done with a thoughtful use of visual aids to help readers grasp concepts. McGilton and Morgan have included a chapter on system administration for readers who have one of the many micro-based UNIX systems now available.

UNIX FOR NON-PROGRAMMERS

Just which book is the best for a non-programmer depends on the meaning of the term "nonprogrammer" and what the reader wishes to learn.

A senior systems analyst may well find Groff and Weinberg, mentioned above, quite satisfactory as a guide to the scope of UNIX power.

Selective reading of McGilton and Morgan or Sobell can provide

a non-programmer with a working knowledge of the word processing capabilities of the system.

Non-programmers in a Berkeley UNIX environment may find UNIX Primer Plus by Mitchell Waite, Donald Martin and Stephen Prata (Sams & Co., 414pp, \$19.95 paper) a pleasant way to learn the system. However, the book's Berkeley 4.2 UNIX emphasis will be frustrating to those using systems that do not include Berkeley enhancements.

INTERMEDIATE UNIX

Without a doubt. The UNIX Programming Environment (Prentice-Hall, 357pp, \$19.95 paper) by Brian Kernighan and Rob Pike is a must-read book for anyone seriously interested in using UNIX effectively. I will say at last in print: theirs is a book of philosophy disguised as a technical book. It *is* technical, to be sure, but its strength lies in the thinking they do about the UNIX programming environment.

The script for the **pick** utility and the discussion of it are worth the price of the book. Programmers who add features to programs will want to study the discussion carefully to see a very different approach that is a genuine increase in functionality.

UNIX INTERNALS

UNIX source code, one soon finds, is protected by trade secret, and anyone who has had access to source code is bound by signed agreement not to reveal the code to those who have not signed such a nondisclosure agreement.

Thus, Douglas Comer's Operating System Design: the XINU Approach (Prentice-Hall, 474pp, \$29.95 hardbound) cannot, of course, be UNIX source in book form. That XINU is UNIX spelled backward is provocative enough, though.

The book, bottom line, is a



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book on operating system design, and XINU *is* a UNIX-like operating system. Someone with access to UNIX source code could also use the book as a helpful companion for reading the code.



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GUIDES TO SOFTWARE AND SYSTEMS

Three guides are available to help readers determine what UNIX offers, what software is available and what systems and software is available.

Bill Freiboth's *The UNIX Guide* (Pacific Micro Tech, 118pp, \$24.95 paper) is designed "to help readers determine what UNIX offers." Version 7 UNIX, the *de facto* standard at this time, System III, System V, 4.x Berkeley UNIX and UniSoft's UniPlus are compared and contrasted in discussion and table form. The guide is not for techies, because they don't need it. It is for those wanting to know about UNIX version differences.

The /usr/group UNIX Catalog (/usr/group, 438pp, \$30.00), compiled by August Mohr, is now in its third edition, containing summaries by 276 companies of 797 UNIX-related products and services.

Although it tries to be complete, the catalog is at the mercy of the timeliness of companies contributing their entries. Nonetheless, it is a valuable compendium of information about UNIX in a commercial environment.

Ray Jones's The UNIX Applications Software Directory (Onager Publishing, 198pp, \$50.00 paper) lists nearly 400 descriptions of applications packages from benchmark suites to COBOL compilers, accounting packages and graphics software. It should be used with the /usr/group UNIX Catalog for best results in reaching companies who have software available. At the back of the directory is the promise of a hardware directory. I hope this shows up soon, as it is very much needed.



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A story of use, misuse and design

ith more and more new users entering the UNIX world, we have seen a proliferation of UNIX based menu-driven systems. Given this proliferation, questions arise: where should menu-driven systems be used; what are the components of a menu-driven system; how should system designers keep menudriven systems under control; and what is a good model for the building of menudriven systems?

Given a little thought, it is clear that menu-driven systems can be very useful in several areas and that it is worth the effort of system designers to build menu drivers for systems in these areas.

There are three primary areas where menu-driven systems can be useful. These are systems used by new/naive users; systems that are seldomly used; and systems that have commands with a very complex syntax and/or a multitude of options.

A menu-driven system can help new/naive users to quickly see that there is productive work that *they* can do using UNIX. By side-stepping the long learning curve for UNIX, users can be emboldened. They can discover that

by Jordan J. Mattson



much can actually be done using UNIX, thus whetting their appetites for more learning. They may even begin to explore.

When building a menu-driven system for new/naive users, the system designer must guard against making users too dependent on menus. To achieve a system in which users are not overly dependent, it's important to not allow the menu driver to drive all of the parts of the system. Force users to learn about what there is of UNIX outside of their menu-driven system. (For example, in my menu-driven systems, I have never included a menu for printing output. This forces users to learn about the UNIX directory structure and how files are printed.) In some cases, users will not wish to learn how to use UNIX, but rather only how to run a specific system on their UNIX system. In these cases only, it makes sense to build a menu-driven system that will take care of all their UNIX needs. Deciding which course of action to take is a judgment call on the part of the system designer.

The second primary area where menudriven systems can be useful is in facilitating

the use of important, but seldomused systems. Here, menus can document the systems and guide users through them. Examples of where this approach would be appropriate might include billing programs used once every six months or system statistics used once a year. Deciding whether a system should be included in this category involves thinking through how often the system will be used versus how quickly users of the system will forget how to use it. In some cases, a menudriven system will be appropriate for a system used once a week, while in other cases a menudriven system will only be appropriate if the system is used only once every six months. There are no hard and fast rules. The system designer will have to judge if a menu-driven system is appropriate on a case-by-case basis.

Another factor in favor of building menu-driven systems in the case of seldom-used systems is the generally poor quality of UNIX documentation. Menu-driven systems are essentially self documenting and if the same menu interface is used in the building of all of the menu-driven systems at a particular installation, users will find it easy to shift from using one system to another.

The third primary area where menu-driven systems can be useful is with systems that have commands with a large number of options and/or a complex command syntax. In these systems, the menu driver can greatly aid users by guiding them through a decision tree of options and then assembling the users' choices into command arguments with the correct syntax, thus ensuring that the selections make sense and are presented to the command in a correct format.

As in the case of the seldomused system, a menu-driven system can act as documentation for a system which has a large number of options and/or has a complex command syntax. Building a menu-driven system, however, should not be used as an excuse for turning out shoddy system documentation. Rather, the menu-driven system should act as a supplement to standard documentation.

THE WELL-TEMPERED MENU

A menu-driven system can be divided into two parts: interface and actions. The interface is provided by some form of menu driver. The actions are commands that have their arguments and option flags set by the interface. A menu-driven system in which it is possible to access the actions by either using the interface or ignoring it is a well-tempered menu system.

Under a well-tempered menu system, users will be able to decide if they wish to access the actions of the system via the interface provided by the menu driver or through standard shell commands. By building a welltempered menu system, you will to able to get new/naive users going immediately, but still allow them to "grow into" the system on their own without the aid of the menu interface. This will avoid situations in which you have to tell once-naive users that they cannot use the programs of their system without using the menu driver that was once helpful but has since grown annoying.

The key to ensuring that you have a well-tempered menu

The system designer must guard against making users too dependent on menus.

system is to decouple the interface part of the system from the action part of the menu system. If a system has had its interface decoupled from its actions properly, then a well-tempered menu system will result.

Producing a menu-driven system in which the interface is decoupled from the actions involves writing the menu-driven system as a set of black boxes. The primary black box is the menu driver, while the rest of the black boxes are the actions. The menu driver (the interface) will in some fashion, depending on implementation, discover what the users' choices are and call the appropriate action black box with the appropriate arguments and options. Because we have built our system as a set of black boxes, we only pass information (in the form of arguments and return conditions) back and forth between the interface black box and the action black boxes. This is the only means of communication between the parts of our system. Because of this, there is no difference between a call from the menu driver to a black box and a call from a user to a black box. Thus, we have an interface that knows how to call the actions and yet leaves a way for users to call the actions.

Building a menu-driven system as a set of black boxes with a black box for an interface and black boxes for actions has several advantages:

- It allows for standardization of menu driver software at UNIX installations, thus reaping two benefits: a) It allows users to quickly move from one menudriven system to another, and b) it makes the maintenance of the menu-driven system easier since interface code is standardized.
- 2) The isolation of all interface code within the menu driver reduces the complexity and size of code in actions.
- 3) The user is able to access the action parts of the menu-driven system without going through the interface.

BUILDING WELL-TEMPERED MENUS

Once it has been decided to build a system as a menu-driven system and to build it as a welltempered menu system, one question remains: how to go about building it?

In three years of building menu-driven systems for office automation at the University of California at Santa Cruz, I have come to the conclusion that the best way is to work with a set of standardized library routines that implement what I will call the Menu Library. By using the Menu Library along with the **curses** and **termcap** (or **termlib** on some systems) libraries, it is possible to



implement what I will call screenoriented, interactive menu drivers.

A screen-oriented, interactive

menu driver is one that allows the user to move to different "windows" on one of a number of "screens" to make choices. Each



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of the "windows" has some text, which is displayed on the user's terminal screen, and an action associated with it.

In an implementation of the Menu Library, the "screens" are mapped to the object MENU and the "windows" are mapped to the object MENUWINDOW. An implementation of the objects MENU and MENUWINDOW is given in Figure 1 (p. 36).

Given the objects MENU and MENUWINDOW, the Menu Library needs a set of operations to allow the programmer to access and use the objects to construct menu drivers. These operations fall into the following broad categories:

- A) Operations to prepare to use the menu driver.
- B) Operations to create MENUs and MENUWINDOWs.
- C) Operations to set the various fields of a given MENU-WINDOW.
- D) Operations to create a **curses** WINDOW from a MENU.
- E) Operations to "run a menu." That is, move the user's cursor on the screen and take actions when the user chooses them.

The operations for each of these categories, along with their arguments are highlighted in Figure 2 (p. 37). These operations, along with the objects on which they operate, make up the code which needs to be included in a library of menu-driven building routines.

Jordan J. Mattson is a Computer and Information Sciences student at the University of California at Santa Cruz and works as a UNIX programmer specializing in menu-driven office automation systems for the UCSC campus community. He is part of the UNIX REVIEW Software Review Board and can be reached at ucbvax!ucscc!ucsce!jais.

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WELL-TEMPERED MENUS

/* Here are the implementations of the objects MENU and MENUWINDOW * and their supporting data structures. */ /* The argument family of data structures provide the facility for * passing different types of arguments and different numbers of * arguments to functions easily. */ union Arg { int Integer; long Long; float Float; char Character; char *String; char *Format; MENU Menu; 3. struct Argument { int ArgType: union Arg TheArg; >; typedef struct Argument *ARGUMENTPTR; typedef ARGUMENTPTR *ARGUMENTS; /* Next we turn to the implementation of the struct MenuWindow, which * is the struct to which MENUWINDOW is a pointer. * */ struct MenuWindow { /* This is the pointer to the function which is used to update the \star text displayed on this MenuWindow's "window". */ int (*Update)(); /* These are the "arguments" to be used when calling the Update() * function. * */ ARGUMENTS UpdateArguments; /* This is the pointer to the function which is used to call the \ast action associated with this MenuWindow's "window". * */ int (*Action)(); /* These are the "arguments" to be used when calling the Action() * function. */ ARGUMENTS ActionArguments; /* These are the variables that define the boundaries of this \star MenuWindow's "window". */ int XStart; int XEnd; int YStart; int YEnd; 3; /* We at least define MENUWINDOW and MENU. The MENUWINDOW is but a * pointer to MenuWindow, and the MENU is but a pointer to MENUWINDOW. * */ typedef struct MenuWindow *MENUWINDOW;

typedef MENUWINDOW *MENU;

Figure 1-An implementation of the objects MENU and MENUWINDOW.

A) Operations to prepare to use the menu driver.

BeginMenus()

B) Operations to create MENUs and MENUWINDOWs.

MENU CreateMenu(N)

```
int N;
```

/* N is the number of MENUWINDOWs that the MENU returned by this \star function will have.

*/

C) Operations to set the various fields of a given MENUWINDOW.

/* In all of these functions, N is the MENUWINDOW of the MENU M
 * on which we wish to operate.
 *

*/

SetBounds(M, N, XStart, YStart, XEnd, YEnd)

MENU M; int N; int XStart; int YStart; int XEnd; int YEnd;

SetFunctions(M, N, Update, Action)

MENU M; int N; int (*Update)(); int (*Action)();

/* In SetDisplayArguments and SetActionArguments, Format is a string * that informs the function of how many arguments and their types are * being passed in using VARGS (notice va_alist and va_dcl). */

SetDisplayArguments(M, N, Format, va_alist)

MENU M; int N; char *Format; va_dcl

SetActionArguments(M, N, Format, va_alist)

MENU M; int N; char *Format; va_dcl

D) Operations to create a Curses's WINDOW for a MENU.

WINDOW *WindowMake(M, N)

```
MENU M;
int N;
```

E) Operations to "run a menu".

RunMenu(M)

MENU M;

Figure 2—The operations necessary for allowing programmers to access and use the objects for constructing menu drivers.

DON NORMAN

Of all the articles and papers written about UNIX, few have stirred more interest than one written by Don Norman in the November 1981 issue of Datamation.

Titled "The Trouble with UNIX," it took the system's user interface to task, labeling it "a disaster for the casual user."

"If UNIX is to become a general system, then it has got to be fixed," he argued. Among the remedies he proposed were: 1) develop a fundamental set of design principles, 2) provide users with an explicit model, and 3) provide mnemonic aids.

Not all of Norman's readers shared his views. Many wrote to question not only his premises but his character. Some of the questions raised in this interview, in fact, follow up similar queries made in Doug McIlroy's celebrated reply to Norman. (McIlroy, one of



the architects of UNIX, lambasted Norman in that letter. Copies of the letter still make the rounds at Murray Hill.) Norman today merely shrugs, "Yeah," he says. "Who is this Don Norman and why is he saying all of those nasty things?"

Since this issue, devoted to "User Friendly UNIX," comes out three years to the month after Norman's piece first gained general circulation, UNIX REVIEW decided to send Mark Compton to Norman's office at UC San Diego to see if he's still saying all those nasty things. He is.

As for who Don Norman is: he serves as director of the UCSD program in cognitive science, a field he helped pioneer. He has authored seven books and countless papers, and has degrees in Electrical Engineering from MIT and the University of Pennsylvania. His doctorate in

S P E A K S O U T

An interview with the UNIX system's best known critic

Psychology was earned at the University of Pennsylvania. His major research interests are to understand (and model) the human mind. But he also directs a large research group on human/computer interaction.

REVIEW: Your article, "The Trouble With UNIX," has come to be regarded as a landmark document. What do you feel were the piece's key points?

NORMAN: The interface for UNIX is simply horrid. It's a very uncomfortable system. Even experts make errors — often really serious errors. Beginners have a very difficult time learning it.

There were a number of different factors that combined to lead me to write that article. First, UNIX at the time was not widely known except among a fairly small band of people in universities and research laboratories. But among that band, *wow*: UNIX was *the* operating system. It was the wave of the future. It was all that a proper operating system ought to be and ever could be. Yet I had trouble with it.

So the first point was that UNIX was highly touted as the savior to our problems. The second point was that I continually made mistakes – serious mistakes - and I'm not a beginner. I have been using computers since the Univac I. Those were the days when you could walk inside the CPU. We had a thousand words of memory in the machine, and I programmed in the machine language numbers. Anyway, I've been around machines since then. I worked for Remington-Rand Univac in Minneapolis and helped design some of the very first transistor computers. So I'm not a novice, and yet I was making very serious errors, including the famous **rm** * error. What's more, I found I wasn't alone. Even the UNIX gurus were making these of errors, but they would shrug them off. They would laugh. Even the manual shrugs them off.

The third point is that I'm a cognitive psychologist, and what I'm really interested in is how the mind works and the theory of how the mind works. Most of my efforts have been developed towards understanding mental processes and trying to build a comprehensive theory. But I'm an engineer also, a graduate of MIT in electrical engineering, with a background in engineering, and I've always been interested in how things work and in the devices we build. I got interested in accidents-industrial accidents, aircraft accidents, nuclear power plant accidents, and through examining these, I came up with an understanding of how people make errors. To my surprise, the major cause of error proved to be bad design.

REVIEW: Not operator error?

NORMAN: Oh, it's called human error. But almost always, it is really bad design.

I said there were three factors: 1) UNIX is highly touted; 2) yet I observed that I was continually making mistakes, and it wasn't just that I was making mistakes even the manual commented on them; and 3) I was particularly interested in the mistakes, and had discovered already in the examination of nuclear power plant accidents that design is often faulty. I found the design of UNIX to be faulty. I was a bit tired of everyone telling me how wonderful it was. So, one weekend when I should have been doing something better, I made yet one more error with UNIX, and I rattled off this piece. It only took a few hours. That's how "The Trouble with UNIX" began.

REVIEW: So, had it not been for that fit of pique, the article may never have been written, despite the fact that the observations had been rumbling in your mind for some time.

NORMAN: That's absolutely right. I wrote the article in one night, but then what was I going to do with it? I sent it around to some of my friends and colleagues and UNIX gurus to see what they thought. All the people at my laboratory thought it was just fine. It captured their thoughts exactly. Of course, I'm director of the laboratory, so their comments may not have been unbiased. Some of the other people on campus, though, had much stronger ideas. One person said, "Well, if you can't use UNIX without all those errors, you don't deserve to be using it."

Then somebody sneaked into my files. We run an open system and I've always encouraged people to look through my files, to find the papers I'm writing and read them, and even send me comments on them. This person went into my files and took the UNIX article and circulated it across netnews. Obviously ashamed of what had been done, they disguised the sending location. That's how I became well known, because now suddenly this electronic version of my paper was circulated all around the country.

REVIEW: What channels had you initially intended to circulate it in?

NORMAN: No channel. One of the



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things I do is write. I publish a lot. I've written a number of books. I make a point of writing an average of two hours a day, a couple of pages a day. I just wrote this. I had no particular channel in mind.

REVIEW: You were going to just put it online and show it, perhaps, within the lab?

NORMAN: I wrote it because I should have been doing something else, and this was more fun. It just came, just flowed. That's how I write most things. I don't worry about what to do with it. Things like that suggest themselves later. Then I said, "OK, I have it. What'll I do with it?" So I showed it around the lab. Then it went around the country. It wasn't until several months later that I thought that maybe I should publish it. Then I finally figured out where to publish it.

REVIEW: The response was a bit vocal...

NORMAN: The response was a *bit* vocal? That's a mild understatement.

REVIEW: Did that surprise you?

NORMAN: A number of my students had graduated and were in places like Bell Laboratories, and one student working for John Mashey reported that he had to disguise himself, and would go around saying, "Don Norman, who? I haven't heard of Don Norman. Is he a psychologist?" Amazing.

REVIEW: The article had a very interested audience at Bell Labs.

NORMAN: Yes. In fact, I started receiving computer mail. A few messages at first, and then a lot of messages. When I printed it all out, it was 35 single-spaced pages of messages.

REVIEW: That included the response from Doug McIlroy?

NORMAN: No. McIlroy never sent his response to me. I have never

seen the entire response. I managed to see a little section here and there. From what I can gather, it says, "Who is this Don Norman, and why is he saying those nasty things about me?"

REVIEW: Or at least, "Why is he saying those nasty things about my system?"

NORMAN: When I wrote the article, I tried to say, "Look. Just try to understand what the issues



One weekend when I should have been doing something better, I made yet one more error with UNIX.

are." If you look at the history of UNIX, you can understand what happened. First of all, nobody designed UNIX to have a lousy interface; it just happened. Second of all, it was designed as a personal operating system for a few people, and as a result, the computer science and the philosophy of design is truly elegant. Last, it was designed on teletypes, 10 character-per-second teletypes. and a lot of the terseness of the interaction is a result of that. You sure didn't want to wait any more than you had to. One extra character was a pain. In fact, in my recent work on the design of interfaces, I have developed a quantitative system for examining design tradeoffs. These are principles of design that show how a system will degrade or improve from the user's point of view, as you change the technology.

One clear tradeoff is information versus speed. As the baud rate goes down, it's essential to cut the message size — to zero if you can. That's basically UNIX. It started at 110 baud, but by the time UNIX was circulated, we had 9600 baud screens, screens that had character addressing and bitmapping. UNIX couldn't support this.

One more point: the power of the UNIX operating system is a weakness. The power is that it's extensible. Anybody can add a program. Anybody can change the shell. Anybody can add anything they like. But the user cannot distinguish something that some arbitrary person added from something that's fundamental to the kernel. There is no way the user can tell the difference. As a result, hundreds, maybe thousands of people have added new things to UNIX, each person having their own idea about how to do it, which makes the system completely inconsistent.

The naming structure, the abbreviation, the flag structure, the special characters, the editing commands — everything is unique for each separate program; That's one of the things that makes it so difficult. The famous **rm** * error occurs in part because, one part of the system, the shell, expands the star, but another part of the system, the command, uses it. And since the thing that does the expansion is separate from the thing that uses it, there is no way to say, "Hey, did you realize you're zapping every file?"

Although I applaud the designers, they made at least one serious error - a lack of thought about the interface. They failed to provide tools for the interface. They provided tools for lots of things, but not for the interface. When you write a program, you have to write all these calls to handle the arguments and to handle the escapes. First of all, you hate it. That's not creative programming. It's one of these things you have to do. It's like writing documentation. Who wants to do it? Second, because there's no tool that enforces a standard, each time you do it, you make up something that seems sensible at the moment, but may be completely incompatible with everything else. With proper tools, you can get standardization. Bell Labs and AT&T (and all of the other organizations that have spun off, with new names every week) recognizes this problem and tries to standardize. But they can't. You want a standard to be upward compatible, and that means that you're stuck with most of what's already there. It's too late to impose standards. So I think we're stuck forever with horrible UNIX.

REVIEW: With no hope of any improvement or with hope for only modest improvement?

NORMAN: Let me quote a standard policy of writing software. If you write software, you figure out where the problems are and you figure out what expansions you'd like, but you don't patch and patch and patch. If you do, you end up with a program that nobody can understand, not to mention one that doesn't really work very well. The proper thing to do is to throw away what you had before and start over. Redo it. Because then you can do it elegantly and cleanly. I think that's what has to happen with UNIX. It has been a very valuable learning lesson. But now we should throw it away and do it right.

REVIEW: Or front-end it, perhaps? **NORMAN**: Front-ending is just another patch. You can make patches look alright as long as you want a small environment. If

Nobody designed UNIX to have a lousy interface; it just happened.

you'd like a big environment, an environment as rich as UNIX, with all the rich possibilities, no single front end will suffice.

UNIX suffers from at least two serious flaws. In any sensible world, these would kill it. Of course, the world isn't sensible. What flaws, you ask? Well, to simplify: 1) UNIX is a kludge — it's time to start over; and 2) UNIX is based around the technology of the 1960s — it is now the 1980s. Let me explain.

First, although UNIX had an elegant start, it has been worked on by so many people, over such a long period of time, with so many discrepant views, that today it is a mess. As Bill Joy said in his interview in the August UNIX REVIEW, "You start out with a clean concept and then sort of accrete guano. It lands on you and sticks and you can't do anything about it, really." He was talking about the **vi** text editor, but the same principle applies to UNIX.

Second, UNIX was designed for an earlier era, with an earlier technology, the technology of the 1960s. Termcap and a few other enhancements got it up to the 1970s. But, in case you haven't noticed, it is now the 1980s. The technology of today is large, bitmapped screens, with windows, pop-up menus and pointing devices (note I didn't say this is the correct or best way, just today's way - but it is certainly better than your old 24-line by 80-character terminal). Again, to quote Bill Joy, "The fundamental tension in UNIX that I think AT&T doesn't understand is that everyone is going to have a bitmap." I agree.

UNIX has aged gracefully, but it is now time to retire. It was a valuable tool and a worthwhile experiment. Now it is time to launch a worthy successor. Alas, there are only pretenders, no real contenders. There is nothing to take its place. Not yet. UNIX, with all its warts and surface flaws is still the best general purpose operating system around. Too bad. And worse, everyone wants UNIX today, especially those who don't know what it is.

REVIEW: Let me get back, if I might, to the response to your article. Would you be able to characterize the response?

NORMAN: I think I would divide it into three parts. First of all, there are those who derided me, who said I had no business using machines if I was that incompetent —that if anybody ever made a mistake, it was their own fault, etc. Second, there were the defensive responses. Those came from people who were intimately involved with the development of UNIX, and in many ways I don't blame them.

Third, there was a group of

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people who applauded me. I made a lot of money out of that piece, in the sense that it brought me a fair amount of consulting. I was flown here and there to look at systems so that I could nod wisely and say, "It's a disgrace."

I got invited by Bell Labs to make a grand lecture tour of about four labs. They all came to boo, but actually it was interesting. The response was very good from the audience. On the whole, they appreciated what I said, and there was always a question period afterwards in some private room, where people would get together and talk to me. I thought I was going to be mobbed. But in fact, all they did was tell me about their problems and the difficulties of the system. So it turned out there was a tremendous amount of support, even at Bell Labs.

REVIEW: In addition to raising hackles, it sounds as though you're saying a certain awareness was raised. What are the manifestations of that heightened awareness? What have you seen resulting from the article?

NORMAN: The real point I want to get across is that you need to worry about the person using the system, not about the system programmers. Although, you know, for that matter, systems programmers make the same kinds of errors, too - or make their own special kinds of errors. You need to worry about the users, from the users' point of view, and provide them with proper tools. My message has been said before. I was certainly not the first person to say it. But perhaps the way in which I said it brought it to people's attention.

I don't want to take credit for any new movement, but a new spirit has certainly arisen that acknowledges that the user interface is a very important part of the system.

The interface is the most impor-

tant part of the system. It's the part the user sees and interacts with, and as far as the user is concerned, that's all there is — the interface. I think the design of a system ought to start with the interface. You need a specialist for the interface who is halfpsychologist, half computer scientist. The interface should be the first thing to be designed. This philosophy hasn't completely caught on yet, but it is surprising



Everyone wants UNIX today, especially those who don't know what it is.

how sympathetic the world is becoming. We have groups at Bell Laboratories, at Xerox Parc, at Apple and at other major companies thinking about how to design from the interface down into the system.

So, what is the result of that article? My name has become

known, yes. That isn't very critical or important. I was already a well-known psychologist. There have been very few changes made. I think the world is going to change. I think we're going to see computer systems that are really usable, that are good tools for all levels of users, from the novice to the wizard.

Today the very best tools are available on the LISP machines, machines that have working environments. It's not the windows, it's not the high resolution bitmap screen, and it's not the mouse that makes for a livable system. What's important is that on one system you have the interpreter, the compiler, the debugger, the editor, the operating system, the mail system, the utilities - all using a common language, a common mode of interaction, and all available at any instant. A large amount of the credit for that goes to Warren Teitelman for making Interlisp a real environment where you want to live and do everything.

REVIEW: Given that you feel that the design of the system should start with the interface and that UNIX doesn't pass muster, I find it surprising that you continue to use UNIX. Two questions stem from that. One is, why? Secondly, you obviously have some affinity for UNIX. In fact, you spoke about it in the article itself, saying that you would think of using no other system. Does that mean that the language you used in the article was largely rhetorical?

NORMAN: I will admit to a certain amount of rhetorical overkill. But what are the alternatives to UNIX? There aren't any. Much as I dislike many of the features, and much as I think a much superior job can be done, there aren't any alternatives. I'm a scientist. I use VAXen and Suns. UNIX is clearly the preferred operating system.

I think one of the best operating systems of the early era

was TENEX and Tops Twenty, which was derived from TENEX. It had a very effective command completion and help system. It allowed you to control how much information the system would give you. But the Tops Twenty system and the TENEX system were available only on the DEC 10 and 20 computers. We didn't have that. We went the DEC 11 route. And there, the best operating system clearly was UNIX. Now with our Sun workstations, we again have the choice of UNIX or nothing. There really isn't an alternative.

REVIEW: In McIlroy's response to your article, he charges that your points "apply only to the hoary old 1975 edition of UNIX." Do you think most if not all of your points would have applied equally well to Version 7?

NORMAN: I don't agree with that part of his response. Yes, the details of what I said may indeed differ from system to system, but the basic philosophy and the incompatibilities and the difficulties with UNIX remain to this day. I'm not familiar with Version 7. We use 4.2 BSD, but from all I know, the difficulties are there in Version 7 as well, and I think they are fundamental.

REVIEW: Despite your feelings about the interface of UNIX, you must make do with it. Does that suggest that you have made a number of modifications to improve the interface for yourself and your comrades? If so, what sorts of modifications have you made?

NORMAN: I hang my head in shame. I must admit we have done precious little to improve this fundamental aspect of UNIX despite the fact that we have a crew of talented people, and moreover a fairly large research project whose entire function is to understand how to build better human computer interfaces. But I guess we just felt it wasn't appropriate for us to spend all of our time redoing the UNIX system. We'd rather try to understand what interfaces are about, understand the principles, so that in the future these principles might guide design.

One of our students, Gary Perlman, did devise a very interesting interface for UNIX, *menunix*, a menu-driven UNIX with some clever characteristics. It allows you to explore the space

> Worry about the person using the system, not about the system programmers.

fairly quickly. There were always two menus simultaneously available, one serving as a guide to the set of commands in the workbench, and the other allowing you to move up and down the file structure. But it was really a demonstration program. Although most of us used it for an hour or two, nobody really used it seriously. Gary moved on to Bell Labs, where he worked on developing UNIX tools, and produced a number of things useful for standardization, such as a standard argument handler. But again, these are patches on top of the system. Gary is now at the Wang Institute, where I hope he can teach the next generation of software designers about the principles of interfaces.

We have done a large number of small things, like inventing a fair number of things to aid the user, such as standard basic login shells, standardized **alias**es and something we call a cliché generator that reminds you how to open your file, how to check the flags, how to do a check for signals. You ask for a cliché, and into the editor will pop a standard way of doing things with some comments, so that you can either accept it or modify the existing code. In a sense, we're programming by example. That's just one of a fairly large number of very small tools.

REVIEW: When I walked into your office you were playing with a program generated by your group called "Notepad." Can you say something about that?

NORMAN: It's part of our general philosophy to understand how people are really using things, and then to try to build systems that support that. We're not out to improve UNIX, but to try to improve people's interactions in general. One thing we observed was that people don't stick to a task for long: they get interrupted, or they go work on something else for awhile. We are trying to build systems that allow you to be interrupted, to find the new information you need, and then remind you of where you were. By the way, reminding you of where you were isn't just about to, say, open the editor to exactly the same spot. The system also has to show the person what he was thinking, what was present, what the whole state was. The vi editor, for example, hides lots of critical variables. like all of its buffers, and this means you're really missing a lot of the context unless the system makes this visible as actual reminders of what you were doing. No past system was designed to allow you to interrupt what you were doing instantly and quickly get what was essentially a new notepad on which you could write your thoughts. Don't worry about what the name of your thoughts should be or where they should be



filed, or how they should be structured. Just get them down. Then, when you're finished, you can take the time to organize them properly. In the meantime, you have a list of the things you had been doing, which you could easily resume. This, too, is a demonstration project, developed by Allen Cypher.

REVIEW: Is it a demonstration project of how machines can emulate the human mind, or of how they can facilitate the mind?

NORMAN: We don't need machines to emulate the human mind. We don't need more human minds. We have enough human minds. What we need are machines that can *complement* the mind.

REVIEW: Nevertheless, your system very much depends on

how the human mind works.

NORMAN: Of course. Absolutely. But what I want to build is tools. We had a debate in our laboratory for a while: tools versus intelligence. Some groups feel that tools should build an interface that is artificially intelligent, that read your mind, read your intentions. This simply won't work. Ever. It's not that we don't know enough, it's that we will never know enough. Half the time, I don't know myself what I'm trying to accomplish. How is a computer supposed to know? Take Bill Budge's pinball construction set. That's the way I think programs ought to be - tools for constructing whatever you wish to do. With that construction set my four-year-old son and I have constructed a variety of games. Some

of them have nothing to do with pinball. We were provided with a powerful tool for constructing things that, note, never griped about syntax errors. It is impossible to make a syntax error with a construction tool. Can you imagine using a UNIX operating system where syntax errors were not possible?

REVIEW: Or where the system told you what the syntax error was?

NORMAN: No! Better yet, a system shouldn't have to explain what you did wrong. The system should be so natural to use that you couldn't possibly provide a syntax error. Let's say, for instance, that you're sitting in a restaurant, eating with a knife and fork. Can you make a syntax error with a knife? No. I want the





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operating system to be my tool, and indeed I'm engaged in a project to develop a system of programming through direct manipulation that will allow me to move objects around on the screen and interconnect them by drawing lines. That is how I will program. There will be no syntax errors.

REVIEW: You indicate in the Datamation article that the user interface must be improved if UNIX is to appeal to the casual user and become a general system. Much of what you said earlier in this interview, though, suggests that you're not sure that that's possible without trashing the system and starting from scratch.

NORMAN: Or restricting its use. You can clearly do a really superior interface for a limited domain of operations. I'm not certain you can for a large one.

REVIEW: In any event, how might user interface improvements service experienced users, who don't really care about whether or not the system gains general acceptance?

NORMAN: Experienced users do care deeply about whether or not they can use the system efficiently. I want to make one point perfectly clear. I am for systems that are usable by everybody. I want the experts to be comfortable since most users of systems are experts in the sense that they use the system frequently. But I also want to support the inexperienced user, the casual user and the experienced user who goes away for a month and comes back and has trouble. I am sometimes accused of trying to make systems really easy for beginners at the expense of the expert, and that's just not true. UNIX is also a problem for the experts. Take a look at any UNIX wizard. What do they have beside them? A stack of manuals a foot high. And it isn't because they have to use some unknown program here and there, and have to understand its workings. It's because they have to remind themselves of the arguments and the syntax. That should not be necessary.

People claim that I'm trying to build user friendly systems. I would love to say yes. Unfortunately, the term "user friendly" has been taken away from us. What is meant by user friendly today is a perversion of that term.

REVIEW: What does user friendly mean to you? Or what is a better term?

NORMAN: Today, user friendly seems to mean excessive handholding. We built a system once, a message system, that tried to give you help and advice. One of my colleagues once sent me a note that said, "Once upon a time I had a girlfriend, and this girlfriend used to follow me around all the time, all day, all night, and she used to tell me how much she loved me, and she would call me up to tell me she loved me, and she would come by at all hours of the day or night to tell me how much she loved me. I couldn't take it anymore. I finally got rid of this girlfriend. Well, when I use this message system, I am reminded of the girlfriend. If you don't change the message system, I will get rid of the message system just as I got rid of the girlfriend." Yes, that's the problem of the user friendly system. It's sometimes useful to have heavy prompting the first time through a process. But that's it, and I don't call that user friendly. I call it perverse. It violates all my principles.

I don't have a good term to substitute for "user friendly." We call our research project at UCSD "UCSD," for User Centered System Design. We want the focus to be the user and the usability at all levels. That's probably going to mean a system, by the way, that is modular, expandable, extensible, and available in several versions. I don't think you can make a single system that's unchangeable, that everybody can use with equal efficiency.

We have a bunch of slogans. I like slogans. One of the slogans is, "There are no correct answers. There are only tradeoffs." When I'm asked what the proper design of an interface is, I trot out that slogan. There is no proper design. Each particular design, each feature you put in, is a tradeoff. It'll have some virtues, it'll have some deficits, and what the designer has to do is be aware of those, and then make choices. Ideally, you provide alternatives so that users aren't stuck with a single way of doing things. You make the system extensible. You try to make it easy to go from one alternative to another and for people to remind themselves of what is available. If you want a pointing system with menus, well, remember that pointing is sometimes awkward — whether you use a light pen, touch the screen, or use a mouse. It's not always a desirable solution. You should also provide a command language. Many systems do this.

REVIEW: When you say flexibility, is that synonymous with lots of alternatives? One of the complaints Bill Joy has about **vi**, for instance, is that...

NORMAN: Aah! The **vi** editor? No, that's not flexibility. That's the kitchen sink.

Vi and emacs are editors that have the kitchen sink thrown in. Everything you can imagine is there, in some archaic command or another, such that it's impossible to learn them all or use them all. I don't know of any expert who uses all of the command set; you establish your own private command set.

The problem with vi, though, is not so much that it has so many commands as that they are unsystematic and have no good underlying model. Here's my point about design. The user is going to make up a mental model of what he thinks is going on in the system. That mental model is used to predict what will happen. Just like when you pour cream from a creamer, you can see what's going on - you can see the level, you can see the angle. Can you imagine how it would be to use a creamer that wasn't visible? Let's say you simply pushed a button that caused the creamer to rotate. You would have no idea of how far it was rotating, or of how much cream had been poured. It would all be invisible to you. You

can't use devices like that. There has to be some visible operation taking place. Most of today's computers are invisible. You don't know what's going on. You can't see anything happening.

REVIEW: Sometimes it doesn't even have the courtesy of telling you what's happening.

NORMAN: Right. And that makes it very hard to develop a good mental model of the system. If you can develop a good mental model, not only can you understand what is happening, but you can predict new things that you can do, and you can understand problems when they crop up because you can derive what must have happened. That's all well and good; but where does the user get this model? You get it from the system

I BAININ

because you interact with the system. And that system, therefore, must make visible what's present. I call what's visible in the system the "physical image," or the "system image."

How does the system get built? Well, you have a designer. The designer starts off with what I call a conceptual model. Sometimes it's a neat, clean, systematic model. The whole goal is to get the user to use that same model. But how does the user get it? The designer doesn't personally instruct the user. The user interacts with the system and tries to figure out what is going on so the designer had better always have the user in mind. The system should make visible what it is doing in a way that is consistent with Continued to Page 94

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Illustration by Heda Majlessi

WRITING A NEW SCRIPT

UNIX and computer-assisted instruction

by Vanessa Schnatmeier

t's no simple task to write training programs, with or without the power of UNIX. For one thing, trainers must always consider their audience, which may be comprised of people who are less than enchanted by the topic at hand. Consider, for example, the plight of business hostages forced by their supervisors to probe into the dark secrets of a new word processing system. And what if the trainer isn't producing a highpowered course for mass distribution, but is "merely" trying with limited time and funds to develop a simple tutorial for the shop or office?

Enter the concept of computer-aided instruction (CAI), also known as computer-managed training (CMT) or computer-based training (CBT). This plethora of acronyms is a clue that this area means different things to different people.

Educational software, or courseware, often suffers in translation from the teacher's mind to the programmer's code. We've all seen tutorials that have proved to be glorified pageturners, electronic "books" we could have bought more cheaply in hardcover. No one wants to be responsible for writing such dull material. The ideal solution would be a system for generating software that is easy for educators to write in and equally easy for students to use.

The idea of authoring languages and systems is to help CAI authors avoid drudgery and reinventing the wheel. Authoring languages are really sets of instructions that deal with programming at an easy-to-learn level. Authoring systems are packages of tools that aid instructors in preparing, scheduling, administering and grading a computer-supported course, an editor to maintain files, an interpreter to present the course material to the student, and information management tools that record student performance and help the instructor manipulate background information and records.

Not everyone agrees with this approach. For instance, Paul F. Merrill stated in the July 1982 issue of Creative Computing that CAI languages and authoring systems were oversimplified and too restrictive. Not only that, he said, but you have to call in routines from an underlying language, such as BASIC, Pascal or C, to do some of the tricky work. Why not write using C and eliminate the middleman?



Well and good, but believe it or not, not every educator or trainer is eager to learn a complicated computer language in order to construct a training program. The advantage of the CAI languages and authoring systems to be discussed here is that they sharply reduce the number of commands and concepts a computer novice must grasp initially, yet leave a window open for educators to fully utilize UNIX capabilities.

BASIC PREMISES

Barry Moritz, president of Measurement Concept Corporation, recently explained to a panel at UNIX Systems Expo '84 his concept of the basic requirements for a good CAI system. His first requirement is that the system be able to take advantage of various teaching models. Any good system will have a flexible interface, so that everyone involved, from courseware author to teacher and student, can participate.

The second requirement is adherence to standards, currently a major concern among people working in the UNIX environment. Standards mean commonality among distant and disparate groups: a person in Japan should be able to talk to a person in Europe, or a West Coaster to an East Coaster. Standards also loom large from an economic perspective, since courseware can be very costly to produce. "If it's possible to produce courseware within a welldefined standard that is generally acceptable, then it will find much wider use, no matter whose box it's being run on," Moritz explained.

From standards, portability naturally develops. This means not only portability with respect to software, but portability of systems across audiences. Moritz gave the example of a set of tools appropriate not only for a foreignborn person trying to learn English, but also for an Anglophone who wishes to learn French as a second language.

A good CAI system must permit dynamic interaction. Readers are familiar with looking at a page or frame, absorbing its contents, and moving on by turning the page or performing a programmed learning task. "Is this the right way, or is this a leftover from the printed word?" asked Moritz. We may have all grown up in that environment and therefore know best how to produce material for that environment, he emphasized, but it should not necessarily be the only way. CAI specialists are

It's no simple task to write training programs.

closely examining how to use computer tools to produce more interactive and dynamic information displays and keyboard input.

A crucial question for an authoring language or CAI system is how many levels of language complexity it can encompass. When most languages get complex, they also become very difficult to use. The standard solution, of course, is to point at the special group of people called programmers and let them handle the complex logical languages. However, walking that path removes from the loop some of the most important people in the process: educational the knowledge area expert and the actual teacher. "How do we get these people into the loop? And to what extent can they use the facilities

of the language?" Moritz asked. It's clear that that level of user can operate a frame system and produce some type of courseware, but a much better goal would be to develop a growth-oriented and open-ended language that would permit inexperienced users to grow along with the system and expand their abilities as courseware writers.

PILOT

Authoring languages aren't exactly new on the CAI scene. Pilot, one of the ancestral CAI languages, was developed in the 1960s by Dr. John late Starkweather, who was working at the time at the University of California at San Francisco. Thos Sumner, author of a Pilot derivative called C-Pilot, told about his first reaction to Pilot years ago: "When I first saw it, I said, 'Gee, how can you do anything with that?' It was so limited and so simple. And then he started showing me some of the things he could do with it. It was amazing how powerful it was the careful selection of what it could do with only eight primitive commands accomplished a great deal."

Pilot and its derivatives typically provide about a dozen commands for instructors to prepare dialogues with students. Some Pilot commands include: TEXT, to write text at the student's terminal; ACCEPT, to read the response the student types; MATCH, to compare the student's response with a list of incorrect (or correct) responses and set a flag if there is a match; and JUMP, to transfer control to the statement following a specified program label. Commands like these are all an instructor will need to produce a lesson — to put text on the screen, offer a response, hint or prompt if the student requires it, and branch on to another part of the lesson when necessary.

Starkweather first brought Pilot up on an IBM 360 Model 50, and Pilot's first micro implementation was on a Datapoint computer. Pilot spread quickly, and by 1973, enough versions of Pilot had cropped up on systems around the country that a standards meeting was convened. The meeting produced a set of standards called Core Pilot, the touchstone for determining whether a CAI language could be considered a true child of Pilot.

In 1978, two Western Washington University researchers, Larry Kheriaty and George Gerhold, expanded Pilot's range so that it could handle floating-point arithmetic and standard mathematical functions, as well as the original integers and text. This version became known as Common Pilot. "They did a lot of work in moving Pilot in the direction of generality," said Sumner.

Some installations now use Pilot in place of shell scripts, according to Sumner, because its control structures are easier for some things, and large, complicated dialogues take less time to write with Pilot than with shell scripts. Another advantage of Pilot is that it's two to three times as fast for things requiring a lot of shell processing.

"One of the things it's used for where I'm located at UCSF is producing the help system for providing quick online aid for use of the system," Sumner said. "The Pilot program administers a hierarchy of textfiles, other Pilot programs and so forth, to respond to help requests."

Sumner's own version of Pilot, C-Pilot, is a strict superset of Common Pilot. It runs only on UNIX systems, ranging from the IBM PC/XT running PC/IX to the AT&T 3B2, and others using AT&T and Berkeley versions of UNIX.

C-Pilot comes in handy for more than just CAI applications, he said — it's used for anything relying on "dialogue programor interaction programming'' ming. C-Pilot front-ends programs that are too complicated to instruct, presenting menus and gathering data. Questionnaires are another area where C-Pilot has shown its worth, Sumner said. "Just as you customize the path of a student through lesson material, you can customize the questionnaire being administered for research purposes to avoid saying, 'Well now, don't look at the next six questions, go to page five.' He's at page five.''

CAST

CAST, Computer Assisted Self Training, is also a superscript of Pilot. CAST is a product of Measurement Concept Corporation, which decided to use UNIX as the environment for developing courseware in the late 1970s though the company only recently brought its work to the commercial marketplace.

CAST is billed as a language, but it's actually an authoring system. CAST is implemented in C and, like C-Pilot, runs under several versions of UNIX on microcomputers and minicomputers. Therefore CAST can use any UNIX facilities by calling the operating system and using it in specialized areas - computation, statistics, text editing, graphics or file management. A chemistry instructor, for instance, could write a basic course in CAST and dive into special graphics software to draw a picture of a complex molecule.

Dr. John M. Morris, a senior associate at Measurement Concept, noted in an article on CAST for /usr/group that the language is designed to be terminal independent. This somewhat limits the use of features unique to specific

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terminals, but still permits the user to take advantage of general features such as a split screen capability. Version 2.0 of CAST allows the author/instructor to define up to 10 individually addressable screen areas; each area can contain either graphics or text.

CAST, like C-Pilot, can use other programs (called "scripts" in the CAST lexicon) as coprocessors to accomplish a specific extension, such as controlling a special device. CAST lets authors define function keys, produce animation and lineprinter graphics, and mark a place in a lesson to return to later.

INSTRUCTIONAL WORKBENCH

As one might have expected, AT&T hasn't neglected this section of the UNIX market. There are economic reasons for this, of course, but CAI also fits remarkably well into AT&T's need for training in hundreds of highly specialized jobs.

Bob Frontini, who works in training for AT&T Technologies, in describing one virtue of AT&T's CAI product, Instructional Workbench, said, "The term that people at Bell Labs like to use is that Instructional Workbench is 'isomorphic' to C and shell, which basically means that I can hand a listing from Insructional Workbench to one of our C programmers and he can read it without having to read any documentation."

Like CAST, Instructional Workbench is an interpreter, a delivery system, an administration system and an authoring system. Under the authoring system, users create not programs but "topics." There are two ways to prepare courseware with this system. The hard way, said Frontini, is to call on executable UNIX commands, C commands, BASIC, Fortran or shell commands. The easy way is to use CAST's authoring system, called Compose. Compose has two levels of prompts, either terse screen prompts for experienced users, or more verbose prompts for the less experienced.

Compose also lets the author select among the available UNIX text editors to create topics and offers building blocks for courseware construction called templates. "A template is a prompting script that helps you construct something that can be reduced to a particular formula," such as a multiple choice question, a menu or a random question, said Frontini.

Authors can create Compose topics or free topics, which are UNIX files that contain topic language code; the two types of topic can be freely intermixed. As a result, he said, AT&T can develop a good 95 percent of its

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training courses using Compose.

AT&T Technologies is currently using Instructional Workbench to support UNIXbased office automation products released by AT&T, as well as on its own network products, where the switching machines run software based on UNIX.

A VISION OF CAI

The goal of all these authoring languages and systems is to make individualized computer-assisted instruction easy for the teacher and the student. Students or trainees should be able to work with a lesson when they need it, going at their own pace, with access to patient, appropriate feedback. The teacher or instructor should be able to keep tabs on each individual, examining test scores and comparing them with other class members' work. The instructor should also be able to compare the class record with that of other classes. Another ideal situation would be one in which students could learn from a CAI language and eventually write their own programs/scripts/topics in the same language, in effect pulling themselves up into by their own knowledge bootstraps.

UNIX, already well suited to providing the programming environment, can provide these same online facilities to distant researchers, or to students studying on terminals at home, because of the operating system's communications resources. UNIX could thus be the key to putting courseware on its best course.

Vanessa Schnatmeier is an Oaklandbased writer specializing in women's issues and computer marketing/ education. She serves as a contributing editor for A + magazine and is frequently published in PC and Computers & Electronics.

THE TRUTH ABOUT THE 3B2

Revised benchmark results

by Gene Dronek

In the August issue of *UNIX REVIEW*, we concluded an in-depth, two-part analysis of the AT&T 3B2 computer with a compendium of benchmark results. Almost immediately, two unrelated readers wrote us saying the benchmark figures were incorrect. They pointed out the 3B2 machine uses a 100 Hz clock rather than the 60 Hz clock we previously assumed.

Dave Krunnfusz, a member of technical staff at AT&T Bell Laboratories, confirmed the 100 Hz clock rate, and helped us rerun the Aim Benchmarks with Hz = 100. In Figure 1, we supply the correct performance numbers.

As expected, all performance readings came up 67 percent (100/60 = 50/30 = 1.67) from the August runs.

Also mentioned in the article were comparative point scores for several systems, comparing overall performance. Based on the new results, the 3B2 earns 2099 points, versus the Convergent Technologies Megaframe's 2323 points, the DEC VAX 11/730's 1710 points and the Plexus 3000's 1621 points.

We thank the two alert readers for responding so quickly, and also wish to credit Ram Chelluri, Senior Engineer at AT&T for assisting with this errata.

Gene Dronek is the author of the standard benchmarking package for UNIX systems, the Aim Benchmark suite. He formerly served as the lead UNIX consultant at UC Berkeley.

ARITHM	IETIC IN (microsec	STRUCT onds per	ION TIM op)	IES	ARRAY	SUBSCRIPT (microseco	REFERENCES nds)
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DISK PIPE RAM 1-byte	39K	36K	36K 155K 154K		getpid() calls: sbrk(0) calls: create/close calls:	3 Kcalls/sec o 2 Kcalls/sec o 158 pairs/sec o	or 345 microseconds/call or 507 microseconds/call r 6329 microseconds/pair
KAM 4-byte			517K		umask(0) calls:	2 Kcalls/sec	or 422 microseconds/call

Figure 1 - Corrected benchmarks for the 3B2.

UNIX REVIEW NOVEMBER 1984 57

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INDUSTRY INSIDER

The end user's dilemma

by Mark G. Sobell

End users are being torn between the friendliness of MS-DOS software and the power of UNIX and its associated hardware. Until recently, you could only have one or the other. But now, with the advent of several LANs (local area networks - see below), you can run friendly DOS programs on a PC at your desk while communicating with a host UNIX system down the hall. You can use the host system for its printer, hard disk and backup facilities while the DOS system remains dedicated to running your programs. If you need more power, you can always rely on the serious, albeit less friendly, computing power of the host system.

THE MICROSOFT VISUAL SHELL

Now Microsoft, the company that worked with IBM to produce MS-DOS for the original IBM PC and XENIX (Microsoft's UNIX port) for the IBM PC/AT, is adding another way of dealing with the UNIX/DOS power/friendly dilemma. It designed a user interface, the Visual Shell, based on the design of several of Microsoft's MS-DOS products, including Multiplan and Words.

The Visual Shell presents a naive user with a more intuitive interface to XENIX without sacrificing any of the functionality of XENIX. To better help the naive



user, the Visual Shell includes context sensitive help at all levels.

As a bonus for the system integrator or someone who simply needs a specific set of menus, a sophisticated user can customize the Visual Shell interface by modifying, adding or removing menus.

THE IBM PC/AT AND C-MERGE

By next spring, the IBM PC/AT will be able to run both MS-DOS and XENIX on the same machine. Although it won't run both concurrently, you will be able to store DOS and UNIX programs on the same hard disk and reboot the machine to run either operating system at will. Thus, XENIX with the Visual Shell will co-reside with DOS and its friendly programs on a single machine: what could be better? One improvement would be the ability to run friendly DOS programs under UNIX in a multiuser, multitasking environment, sideby-side with powerful UNIX programs. Enter C-Merge — Microsoft's C compiler that IBM is offering with the PC/AT.

As the second source for Microsoft, the Santa Cruz Operation (SCO) has been working extensively with the new compiler. I spoke with SCO Vice President Doug Michels about C-Merge and what it means to both the software developer and the end user.

"C-Merge runs under XENIX and, using an MS-DOS library, cross-compiles code that runs under MS-DOS," Michels explained. "You get code that runs under both XENIX and DOS with the same amount of work that it takes to produce code for XENIX alone. C-Merge provides a set of libraries that are functionally equivalent to most of stdio and libc so that many sophisticated UNIX applications can easily be ported to DOS. In addition, by developing DOS code in a XENIX environment, you can take advantage of all the **XENIX** utilities.

"What this means is that the software developer can use the UNIX Source Code Control System (SCCS) to keep track of code as it is being developed, UNIX make to speed up compiling, as well as UNIX Lex and yacc to speed and enhance program development. All this while developing MS-DOS software.''

What this means to the end user is that he or she can have the same program running under MS-DOS and UNIX. This is the ideal migration — the same program on personal computers and larger microprocessors.

In fact, this is the kind of migration path that major software suppliers, such as Relational Database Systems (INFORMIX) have been promoting for years. This setup allows you to develop programs and enter data on larger or smaller machines, whichever is practical, and run the programs with the data, again on either machine.

You can see the power in a network of DOS machines attached to a host UNIX system, all able to run the same programs.

Locus Computing, the company that manufactures the AT&T PC interface that connects to AT&T's 3B series, announced the first of what Jerry Popek, president of Locus, called, "an impressive series of agreements with major manufacturers." The latest notch on Locus' belt is the Sequent Balance 8000, a National 16032-based UNIX system. The Locus PC-Interface allows AT&T PC 6300s, IBM PCs and PC lookalikes to run local MS-DOS programs that access UNIX files stored on the host Sequent machine. It also enables PC users to execute programs on the host UNIX system, even while running an MS-DOS program locally. These are the same functions that PC-Interface performs for the AT&T 3B series.

WHO'S ON FIRST

AT&T is touting its low-end PC 6300 as IBM PC compatible to capture some of the IBM market. IBM is running AT&T's UNIX on several PC models to go after the UNIX market. And now Unisource reports that AT&T is buying its own UNIX back from Unisource

IBM and AT&T are dancing with each other, but they're holding each other at arm's length.

(as VENIX) for in-house use on the AT&T PC 6300 IBM-compatible machine.

A solution to the end user's dilemma appears to be unfolding. IBM and AT&T are dancing with each other, but they're holding each other at arm's length. Both are offering each other's software, and IBM, through C-Merge, has brought compatibility between UNIX and MS-DOS one step closer.

Mark G. Sobell is the author of "A Practical Guide to the UNIX System" (Benjamin/Cummings, 1984). His 10 years in the computer industry include programming, technical writing and management experience. Mr. Sobell has been working with UNIX for four years. In addition to consulting in the San Francisco Bay Area, he teaches, lectures and writes.



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C ADVISOR

Conjuring curses

by Bill Tuthill

The C library package curses performs cursor motion and screen updating on fixedcharacter video display terminals. Unfortunately, the package provides no support for bitmap display workstations. The curses library should be considered a medium-level interface to the terminal. It is not as low-level as the termlib routines discussed in the August issue – curses provides windowing and an improved refresh. But it is not as high-level as something like IBM's Display Management System (DMS),

which allows you to edit panels that control display and input regions on the screen. In general, you must be a C programmer to use **curses**, and manipulating the screen is much harder than editing a DMS description.

One nice design feature of **curses** is that the algorithms for updating the screen are very efficient at low baud rates. Rather than redrawing the whole display, they redraw only what's necessary. At 1200 baud, this is extremely helpful, but on large screens at 9600 baud or higher, waiting for CPU cycles to perform optimization often takes longer than would waiting for the entire screen to be redrawn, particularly on loaded machines.

In the past, **curses** has been used mostly to write games. The infamous **rogue** program on Berkeley VAX/UNIX (now available on the IBM PC) is based on **curses**, and was the first major program to exercise it. Some programmers have written serious applications based on **curses**, but often encountered more trouble than they expected. The features required for screen editors (such as forward and reverse scrolling, insert-line and delete-line) do not exist.



There are other problems as well. As a matter of fact, I have never seen a bug-free version of **curses**. But this is probably true of all complicated pieces of software.

There are two major strands of **curses**: one by Ken Arnold, distributed on 4.1 and 4.2 BSD, and one now maintained by Mark Horton, distributed on System V Release 2. Arnold's version is in the public domain, but Horton's version is superior — if you can get it. It makes use of hardware support for insert-line and delete-line, and has improved display algo-

rithms. Mark Horton has done an excellent job of maintaining vi for many years now, so his participation ensures that curses will receive the kind of support it deserves. Of course, Mark Horton is being paid for this work — Ken Arnold never was.

There is probably a market right now for a UNIXbased display management system. Many database packages provide forms editors, but they are often not general enough for many applications. Difficult for even casual C programmers, **curses** is nearly impossible for someone who doesn't know C. Unfortunately, any program based on **termcap** or **curses** is solving a problem of the seventies, not the eighties. Display terminals with fixed character sets will gradually give way to bitmap display terminals with interfaces like Apple's Macintosh.

In all the years **termcap** has been around, making it possible to write screen-oriented software, only three major UNIX programs have appeared that actually use cursor movement: **vi**, **rogue** and **emacs**. This indicates two things about UNIX. First, teletype mentality persisted for a long time, particularly within AT&T; only market pressure forced it to in-



Figure 1-Standard screen and current screen.

clude **vi** on System V. Second, although many screen-oriented programs appeared (such as a visual shell), their user interfaces were so bad that they never caught on. Full screen software is not necessarily user friendly, but is more likely to be so than line-oriented software.

THEORY OF CURSES

The theory behind **curses** is that there is an image of the screen in memory, called the standard screen (**stdscr**), and the physical screen of your terminal, called the current screen (**curscr**). The most common routines perform output to **stdscr**, which acts as a buffer to **curscr**. When the programmer wants the physical screen to correspond exactly with the standard screen, the **refresh()** routine is called. The **curses** package will determine an efficient algorithm for updating the terminal screen. Changes will be made using the quickest operations (such as linefeeds and character output), not in the order in which the standard screen was updated. (See Figure 1.)

To initialize the package, the routine **initscr(**) must be called before any of the other routines that deal with windows and screens are used. The **initscr(**) routine reads the appropriate **termcap** description, initializes the terminal, and allocates memory for a new window the size of the screen. The routine **endwin(**) should be called before exiting, in order to reset the terminal to its normal state.

Character output is done with the **addch()** routine, string output is accomplished with **addstr()**, and formatted output is done by means of **printw()**.

Cursor motion is done with the **move()** routine, which should be handed the y and x coordinates of the desired position on the screen. After any output or movement occurs, the **refresh()** routine must be called before anything will change on the terminal screen.

Programs that use **curses** must include the file <**curses.h**>, which in turn includes the file <**stdio.h**>. Programs then should be compiled as follows:

cc prog.c -o prog -lcurses -ltermlib

where **prog** is the name of your program. The **curses** library should be loaded before **termlib**, because **curses** requires certain **termlib** routines.

A SAMPLE PROGRAM

The C program shown in Figure 2 on pp. 66-67 is an example of an unsophisticated editor implemented with **curses**. The program, called **med**, paints the screen with two musical staffs and allows the user to move around the screen, placing notes on the staffs, and words beneath. The CTRL-W command will write what is currently on the screen to a file, while CTRL-X will write and then quit. The interrupt character (whether DEL or CTRL-C) will quit without writing.

Note that we need not include *<stdio.h>*, because *<curses.h>* does this for us. We also include *<signal.h>* because we need to trap interrupts. For the sake of clarity in this program, functions we define, other than **main()**, have an underscore in their name, while library functions do not.

The **initscr()** function reads the terminal description and allocates memory for a window data structure the size of the screen. The next call to **refresh()** will clear the screen. Because they operate in full-screen mode, most **curses** programs call **noecho()**, **nonl()** and **crmode()**, which is equivalent to this command line (based on Version 7 UNIX):

stty -echo -nl cbreak

This is because full-screen software must perform some action for certain command characters, rather than simply echoing these characters on the screen. Also, we don't want newline mapping. Finally, the system must read and respond to each character as it is typed, rather than only after each line is sent.

We trap the interrupt signal to execute the **go_away()** function, so that DEL or CTRL-C (whichever your system uses) will cause the program to exit gracefully. Then we place the musical staffs on the screen with the **paint_scr()** routine, and position the cursor at the bottom left of the top staff.



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UC ADVISOR

```
/*
        compile with: cc med.c -o med -lcurses -ltermlib
*/
#include <curses.h>
                         /* which includes <stdio.h> */
#include <signal.h>
main(argc, argv)
                         /* med - a full-screen music editor */
int argc;
char **argv;
{
        register x, y;
        int c, go_away();
        if (argc != 2)
                fprintf(stderr, "Usage: %s outfile\n", argv[0]), exit(1);
        initscr();
        crmode(); noecho(); nonl();
        signal(SIGINT, go_away);
        paint_scr();
        while (TRUE) {
                getyx(stdscr, y, x);
                switch((char)(c = getchar())) {
                case '\r':
                                                     /* CRLF */
                         move(y + 1, 0); break;
                      '\t ':
                                                     /* TAB */
                case
                         move(y, x + 8); break;
                case
                     '\b':
                                                     /* ^H left */
                         move(y, x - 1); break;
                     '\012':
                                                     /* ^J down */
                case
                         move(y + 1, x); break;
                                                     /* ^K up */
                     '\013':
                case
                         move(y - 1, x); break;
                case '\014':
                                                     /* ^L right */
                         move(y, x + 1); break;
                case '!':
                                                      /* ! staff */
                         add_n_ch(c, 3, y, x); break;
                case '|':
                                                      /* | bar */
                         add_n_ch(c, 8, y, x); break;
                case '\022':
                                                     /* ^R redraw */
                         clearok(curscr, TRUE); break;
                case '\027':
                                                     /* ^W write */
                         write_scr(argv[1]);
                case '\025':
                                                     /* ^U undo */
                         paint_scr(); break;
                case '\030':
                                                     /* ^X exit */
                         write_scr(argv[1]);
                         go_away(); break;
                default:
                         if (c < ' ')
                                                     /* control char */
                                 fputc('\007', stderr);
                         else
                                 addch(c);
                         break;
                }
                refresh();
        }
}
```

Figure 2-An example of an editor implemented with curses.

The heart of the program is an infinite loop containing a giant **switch** statement. Note that we control the infinite loop with the Boolean value **TRUE**, defined in <**curses.h**>. The **switch** statement processes RETURN, TAB, and what we use as the four directional keys, CTRL-H (left), CTRL-J (down), CTRL-K (up) and CTRL-L (right). CTRL-L sometimes is used to redraw the screen, but this program uses CTRL-R for this instead. We would have to extend the program to support labeled arrow keys on ANSI standard terminals, which usually transmit multicharacter escape sequences.

After every iteration of the loop, we call getyx() to obtain the current y and x coordinates, which is easier than manually resetting the coordinates. This routine fills in its second and third parameters with the current y and x positions. Why don't we have to pass these parameters by address? The reason is that gettyx() is a macro, defined in <curses.h> as follows:

```
/* place treble and bass staffs on screen */
paint_scr()
{
         register int co, ln;
         int staff = 0;
        move(0, 0);
        while (staff++ < 2) {
                 addch('\n'); addch('\n');
                 for (ln = 1; ln <= 5; ln++) {
                         for (co = 0; co < COLS-1; co++)
                                 addch('_');
                         addch('\n');
                         for (co = 0; co < COLS-1; co++)
                                 addch(' ');
                         addch('\n');
                 }
        }
        move(10, 0);
         refresh();
)
int status:
write_scr(name)
                           /* write screen image to named file */
char *name;
{
        FILE *fp, *fopen();
        register x, y;
        if ((fp = fopen(name, "a")) == NULL)
                perror(name), status = 1, go_away();
        for (y = 0; y < LINES && y < 25; y++) {
                 for (x = 0; x < COLS-1; x++)
                         putc(stdscr->_y[y][x], fp);
                putc('\n', fp);
        7
        fclose(fp);
}
add_n_ch(c, n, y, x)
                        /* make vertical line of n chars on screen */
char c;
int n, y, x;
{
        while (n--) {
               move(y-n, x);
                addch(c);
        }
}
                           /* restore terminal in case of interrupt */
go_away()
{
        signal(SIGINT, SIG_IGN);
                                         /* ignore 2nd interrupt */
        mvcur(0, COLS-1, LINES-1, 0);
                                         /* no refresh required */
        endwin();
                                         /* uninitialize curses */
        exit(status);
}
```

#define getyx(win,y,x) y = win->_cury, x = win->_curx

Since the C preprocessor substitutes the assignment statement above directly into our code, we wouldn't want to employ &y or &x, which would constitute an illegal left side of an assignment statement.

The vertical bar will draw an eight-characterhigh bar to separate measures, while the exclamation mark will draw a three-character-high stroke to represent musical notes. CTRL-R redraws the screen in its current state (in case it gets messed up by system messages). CTRL-W writes the screen to a file, and then paints a new set of staffs on the screen. CTRL-U returns the screen to its original state, as if nothing had happened. CTRL-X writes the screen out to a file (as would CTRL-W), and then exits. All printing characters besides | and ! appear on the screen, so you can place directions and lyrics alongside the music.

The paint_scr() routine is fairly straightfor-

<pre>struct _win_st { short short short short bool bool char short short short short short short short short struct _win_st };</pre>	<pre>_cury, _curx; _maxy, _maxx; _begy, _begx; _flags; _clear; _leave; _scroll; **_y; *_firstch; *_lastch; *_nextp, *_orig;</pre>
--	---

Figure 3—The window data structure, declared in <curses.b>.

ward. Two staffs are painted, separated from each other by two blank lines. Each staff is composed of alternating lines of underscores and blanks, out to the number of columns on the terminal. If you compile and run the program, you will notice that only underscores are sent to the screen — the blanks are optimized out, because the screen has already been cleared.

The **write_scr(**) routine is a bit trickier. Opening the output file for appending, using **fopen(**), is



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easy. But what about the screen data structure? The window data structure is declared in **<curses.h>** as shown in Figure 3.

Since **stdscr** is a pointer to a window data structure, the characters making up the standard window are accessible through **stdscr**->_y, which is a pointer to a pointer to a character. If we want the 10th character on the first line, we could get it by specifying **stdscr**->_y[0][9]. So we can proceed through the entire screen by having a loop traverse the columns, inside a loop traversing the lines. Since newlines are not part of the window, we have to add them explicitly at the end of each line. It would also be possible to use the **inch()** routine to retrieve characters at particular (y,x) coordinates, rather than traversing the data structure. However, this would involve a **wmove()** for each position on the screen, which would incur much function call overhead.

The go_away() routine is typical of curses cleanup functions. We use mvcur() instead of plain move(), which would require an additional call to refresh(). The parameters passed to mvcur() are the origin and destination coordinates. The origin should be the upper right corner of the screen, and the destination should be the lower left corner, so that mvcur() will always move far enough. Finally, we call endwin() to reset terminal modes. Then we exit with a status of 0 if everything went fine, a status of 1 if the output file couldn't be opened for appending, and a status of 2 if the program was interrupted (2 is the signal number for interrupt).

The main virtue of this program is that it fits on two pages. It is not of production quality, since it was intended to be only a demonstration of **curses**. It needs to be more robust before it can be a useful program. Bounds checking should be done before all calls to **move()**, since **curses** does not do this for you. Arrow keys need to be added, which would take about a page of code. Most importantly, there must be a **help** facility to make it simple to learn and use.

Of course, **curses** has many features not demonstrated here. For example, it can allocate multiple windows of varying sizes, and overlay them as required. Our sample program uses only the two default windows, **stdscr** and **curscr**. There are **curses** routines to draw boxes, erase portions of the screen and put text in reverse video. The new V.2 version of **curses** has many wonderful new features, particularly ones to support the writing of editors and to make use of arrow and function keys. One incompatibility to watch out for, however, is that the **crmode()** function has been renamed **cbreak()**.

Bill Tuthill is a member of the technical staff at Sun Microsystems. He was formerly a leading UNIX and C consultant at UC Berkeley, where he contributed software to BSD 4.2.

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RULES OF THE GAME

Stalking the derivative copyright

by Glenn Groenewold

A creation of the mind is an elusive thing. To enforce the rights and obligations stemming from such a creation, the thing to be protected must first be defined. One of the approaches the law has taken to simplify this task is to require that intellectual property be reduced to tangible form before it can be accorded legal protection.

This is the condition explicitly laid down in copyright law and is also essentially the approach taken in patent law. However, there are other concepts of intellectual property protection that don't require this; the law of trade secrets, discussed a few columns back, is an example.

But where the "tangible form" prerequisite is a requirement of law, confusion can reign since the same intellectual creation may often exist in a number of different forms.

This can occur when the creator has revised his or her own work. If the process of revision is more or less continuous, it may be impossible to say which version of a work is the definitive one, as is the case with Mozart's *Don Giovanni*.

Or it can occur when other people have altered the work, as when a stage director changes dialogue in a play because the original lines don't sound right. Taking this one step further, a work may change to the point



where it becomes an essentially new one. This happens when a motion picture script is made from a play, or, as occurred with the Berkeley enhancement to UNIX, when a work has had modifications and additions grafted onto it.

When new copyrightable material emerges from changes or additions to a copyrighted work, the result is a *derivative work* in the eyes of the law.

CHANGES IN A WORK YOU OWN

The owner of a copyrighted work has the right to use it as he or she pleases. This includes the right to change it. Revisions and additions to either a computer program or documentation that is *unpublished* and not registered with the Copyright Office present no copyright problem; protection for the changes will automatically arise.

If the work has been published by being released to others, or if it is unpublished but has been registered, changes can become significant. When the new version has strayed very far from the original, it may be advisable to assert a new copyright for the new material.

HOW FAR IS VERY FAR

Frustratingly, illustrations that the law provides for our guidance come almost entirely from the traditional field of literature. These tell us that minor changes such as the addition or deletion of punctuation or the substitution of one word for another will not necessitate a new copyright. On the other hand, an adaptation of a play into a motion picture comprises a new work, which must be protected by its own copyright.

The test is simple to state but often hard to apply: if the revision or addition results in copyrightable material, which is to say if it in itself constitutes an original expression of a idea, it can be separately copyrighted — and in most instances should be.

As with so many of the legal concepts that have been hauled in to fill the void that originally constituted the field of computer law,


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this is an imprecise fit. For instance, there was initial uncertainty whether the same program in the form of source code and the form of object code represented two independent expressions of an underlying idea, or were merely two copies of the same expression. Though this confusion has since been resolved in favor of the latter interpretation, it can still be difficult to use the applicable standards to determine when a change in a computer program con-



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While theoretically it should make no difference whether a program takes the form of binary code or high-level language, the literary paternity of copyright law dictates that the more closely the representation resembles traditional language the easier it will be to apply the legal principles.

A conservative approach might be to regard any substantial quantitative change in Assembly language as a new copyrightable expression. If the program is in the form of C or some other higherlevel language, a workable rule of thumb might be to view the substitution of a series of statements — or of even one crucial statement — as copyrightable, while ignoring minor alterations in language or format.

Documentation is much easier to deal with because it resembles traditional literature. An entirely new section added to existing documentation should be treated as new copyrightable material. Otherwise, only a revision that amounts to a rewriting, or that alters the substantive content of the document, need normally be the subject of a new copyright. When in doubt, there's no harm in asserting and registering a new copyright, aside from the 10 bucks you'll be out.

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In the UNIX community, it's

commonplace to work with systems and programs owned by someone else who has granted a license for their use. As is true of any contract, the provisions of a licensing agreement generally define lawful use of the licensed material.

Once again, however, we come up against the fact that in the area of traditional literary works there's virtually no counterpart to the functions of computer software. The user of a computer system ordinarily is expected to create applications programs tailored to his or her own needs. After all, that's why the user got a computer in the first place. This generally causes no problem so long as such programs are limited to in-house applications.

But what if the user wishes to exchange programs and databases with another licensee, or with someone who is not a licensee, or has a different category of license? Or what if the user wishes to market them for use by the general public? And what will be the copyright status of this new material?

Clearly, not all licenses — or licensees — are equal. It's crucial that you know what type of license you're operating under and what the agreement says about your use of the copyrighted systems and programs licensed to you.

Ordinarily the copyright owner can impose restrictions on who may use copyrighted material, the extent to which it can be used, and the ability to create derivative works. Moreover, even when alterations and additions are permitted, the copyright owner can specify the terms under which any new versions can be licensed, and can even require that the copyright on any derivative work be assigned to the owner.

Some license agreements

cover these points specifically, permitting both modification and sublicensing. Others can be quite user hostile, requiring inferences to be drawn from their general

A creation of the mind is an elusive thing.

provisions. Typically, source licensees have greater latitude than others, but in interpreting a licensing agreement it should never be assumed that actual or apparent silence indicates consent. If you're in doubt as to what the agreement permits, check with a lawyer.

Copyright law is quite clear in at least one regard: you can obtain no copyright on a revision or addition to someone else's material if your use of that material was unlawful. This doesn't necessarily mean that the Copyright Office won't register a copyright if you apply. In accepting a copyright for registration, the Copyright Office goes no further than to determine that the type of material involved appears to be copyrightable.

So if you intend to adapt *The Magic Mountain* as a musical for the Broadway stage, you had certainly better talk to the owner of the rights to Thomas Mann's works before you begin, because

Even Holmes Couldn't Find His Listings



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if you produce a derivative work (which this clearly would be) without first obtaining the consent of the owner of the original copyrighted work, any purported copyright is invalid, whether registered or not. But once again the analogy to traditional literary works fails to provide definitive answers for situations in the computer world. It becomes difficult to say when a computer program is a derivative work and when it isn't. There's no



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equivalent in the literary world to the transportable program that can be used in conjunction with various operating systems. It's difficult to conceive of anyone bothering to write a "Chapter 14A" that can be plugged into the novel of a reader's choosing, but in a sense, this is what is done when computer programs are written.

Of course an applications program is *dependent* on an operating system in the sense that it can accomplish nothing without it. And ordinarily such programs are written within the context of a specific operating system. But does this make the program a derivative work?

Unfortunately we do not yet have a definitive answer to this question. Certainly the legal argument can be made that if a program actually is independent of the system for which it was created, in that it can run equally well on competing systems protected under different copyrights, it should not be considered a derivative work. And as we know, look-alike systems intended to be compatible with UNIX software *do* exist.

What it comes down to is that if you wish to market a program you've created for a system such as UNIX, you'd be well advised to first review the situation with your lawyer. Keep in mind that in addition to copyright considerations, as a licensee you'll be under various contractual obligations with respect to your use of such things as trade secrets. You'll want to make sure that you don't unintentionally violate these.

Glenn Groenewold is a California attorney who devotes his time to computer law. He has served as an administrative law judge, has been active in trial and appellate work and has argued cases before the state Supreme Court.

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System V Unveiled

by Jim Joyce

EXPLORING THE UNIX SYSTEM

Stephen Kochan, author of *Programming in C* (see the June column for a review) has teamed up with Patrick H. Wood to produce a most impressive introduction to Unix System V (Hayden, 1984, 371pp, \$18.95). Where most books start out with logging in granted a reasonable enough place—these authors start in Chapter 2 with what an operating system is, with UNIX as the example.

They actually discuss process

swapping, and manage to do so in a way that is accessible to any intelligent reader. Their clear diagrams nicely illustrate swapping and how user processes are time-sliced.

Humor abounds, some of it being quite broad. Some of it, though, borders on being in-jokes. For example, the use of **xyzzy** as an encryption key may puzzle readers who have not played adventure and do not know it is the secret word that transports an adventurer between the underground cave and the well house.

A more readily accessible form of humor discusses system startup as it used to be: "In the dark ages (of UNIX), [startup] entailed a lot of switch setting, button pushing, typing, and praying." (p. 272).

In fact, the chapter on system administration is packed with real goodies for anyone with System V. Too bad AT&T's administration documentation isn't as clear or as helpful.

There is a somewhat misleading suggestion under the discussion of **fsck** that "you can respond to



any message that ends in ? with a **y** with confidence." (p. 291) The cautionary "if you find that /**etc/fsck** has lost too much data when you mount the file system and look at it, you may have to restore the file system from a backup" simply is understating the risk one takes in blindly replying **y** to **fsck**.

Another minor quibble is that the **echoe** option to **stty** is used in examples but is not explained. The option is not really that difficult to explain, and its omission seems strange.

I like the way Kochan and Wood make use of *before* and *after* parallel screens in their presentation of **vi** commands. It is a neat solution to a difficult problem.

Overall, this is a WONDERFUL book, and comes right when manufacturers are busily converting to System V. Oh, yes. There was one typo I found, on page 94, line 2: the word *you*, when it should be *your*. That was the only typo, though, that I saw. The book was written and typeset on a UNIX system, and demonstrates that, in the proper hands, UNIX typesetting can be pleasing to the eye.

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Jim Joyce is President of International Technical Seminars, Inc., a firm committed to UNIX training, and founder of the Independent UNIX Bookstore. For answers to your questions about books, call 415/621-1593.

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PROBLEM _SOLVER_

Unraveling the mysteries of System V's terminal interface

by Bob Toxen

As anyone who has dealt with System V (or System III) knows. the System V terminal interface has a completely different means for getting and setting terminal modes than does Version 7 or BSD 4.2. The names of the system calls, the content of the manual page entries — even the sections the manual entries are in - have been changed. While the new system calls take some time to learn, they offer more power and versatility than Version 7's I/O control and are more systematic and easier to use than Berkeley's

4.2 distribution because they were built from scratch rather than on top of Version 7, which in turn was built on top of Version 6.

The system call for getting and setting terminal modes is called **ioctl**() and takes three arguments. The first is an integer specifying the *file descriptor*; the second is the *request*, an integer that specifies what operation is to be performed; the third argument is either a pointer to a **termio** structure or an integer, depending on the request.

The first group of requests, called *primary* **ioctls**, expect the third argument to be a pointer to the **termio** structure. This structure and some useful constants are defined in < **termio.h**>, which should be included in your program. (This structure will be discussed later.) There are basically two requests. The first, **TCGETA**, reads the current parameters into the user-supplied structure pointed to by the third argument, which I will call *arg*. The second, **TCSETA**, immediately sets the terminal parameters according to the values stored in the user-supplied structure specified by *arg*. These are similar to **getty**() and **setty**() in functionality.



Additionally, the **TCSETAW** request is identical to **TCSETA** except that it waits for the output buffer to drain before taking effect. Typically, it should be used if output parameters are being changed. Lastly, there is the **TCSETAF** request that waits for the output to drain before flushing the input queue (throwing away typed characters that have not been read by any program yet) and changing the parameters.

There are three secondary ioctls that are useful for communications; they all take an in-

teger *arg*. The first, **TCSBRK**, waits for the output to drain (be sent to the terminal). Then, if *arg* is 0, it will send a break signal to the device (zero bits for 0.25 seconds). This feature is used by **cu** and **uucp** to cause remote computers to change baud rates. In **cu**, one generates a break by entering the sequence:

~%b

or:

~%break

at the beginning of a line, followed by a newline. This is not documented in CU(1).

This second secondary request is **TCXONC**, which is used to start and stop (suspend) output or input transmission. This is useful for implementing protocols other than **X-on/X-off**. If *arg* is 0, then output is suspended; if it is 1, then suspended output is restarted. If *arg* is 2, suspend input (send a **XOFF** to device); if 3, restart suspended input (send a **XON** to device). The fact that *arg* may be 2 or 3 is also undocumented.

The last secondary request, **TCFLSH**, may be used to flush the input or output queues. If *arg* is 0, the



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input queue is flushed; if it is 1, the output queue is flushed; and if it is 2, both queues are flushed. In this regard, *arg* is similar to the second argument to **open()**.

The **termio** structure is the user-supplied structure whose address is passed to the primary **ioctls** as *arg*. It is defined in <**termio.h**> and an example appears in Figure 1.

The first four members are each 16-bit unsigned integers. Each is logically split up into bit-fields, most of which are a single bit long. If the bit is on, the feature is enabled; otherwise it is disabled. Some of these features interact with others. These fields may be easily accessed with defined constants supplied in the **<termio.h>** include file.

INPUT MODES

The **c__iflag** member is for input modes. The first of these is **IGNBRK**. When this field is set, the **BREAK** condition is ignored. A **BREAK** may be generated by pressing the **BREAK** key on the terminal. It is commonly used to switch the baud rate when initially connecting to a computer since **BREAK** is not affected by differing baud rates. **BREAK**s are also generated when Alpha Centauri preempts your data with cosmic rays. The latter method is the reason for the **IGNBRK** feature.

On the other hand, you may want use the **BREAK** key to interrupt programs instead of the more popular CTRL-C or **INT**errupt keys. The **BRKINT** feature does this. It causes **BREAK** to generate an interrupt signal and flush the input and output queues (throw away all unread typed characters and output characters that have not yet been printed) — just as CTRL-C or **INT**errupt can.

IGNPAR causes the system to throw away characters with parity errors. It will also cause characters with framing errors other than NULs to be ignored. A NUL with a framing error is interpreted as a BREAK. A character with a framing error is one without a stop bit and is generated either by the BREAK key or those nice folks from Alpha Centauri.

The **PARMRK** is not usually used. It will cause any characters with framing errors to be preceded by both a byte with all eight bits on and a **NUL** byte. This is only useful if you are using advanced error

<pre>#define NCC 8 struct termio { unsigned short unsigned short unsigned short unsigned short char unsigned char };</pre>	<pre>c_iflag; c_oflag; c_cflag; c_lflag; c_line; c_cc[NCC];</pre>	<pre>/* input modes */ /* output modes */ /* control modes */ /* line discipline modes */ /* line discipline */ /* control chars */</pre>
--	---	---



recovery techniques. If **PARMRK** is on, a legitimate byte made up of 8 ones on will be entered in the input queue twice. Thus when such a byte is read and the following byte is the same, you can be assured you have received legitimate data. But, if the second character is **NUL**, a character with a parity or framing error follows.

INPCK will cause all the parity handling discussed for **IGNPAR** and **PARMRK**. If **INPCK** is off, a **NUL** byte is returned for characters with parity or framing errors. The **ISTRIP** flag will strip the eighth bit (zero it).

The **INLCR** flag causes typed newlines (linefeeds) to be changed to carriage returns. **IGNCR** causes carriage returns to be ignored and is useful for terminals that generate both a carriage return *and* a newline when the **RETURN** key is pressed. The **ICRNL** flag causes typed carriage returns to be converted to newlines and is usually enabled since most terminals have a big **RETURN** key and a small **linefeed** key.

Readers with TTY33s can contact the author about the meaning of IUCLC. (Hint: UC means Upper Case.)

The **IXON** flag allows you to use a CTRL-S (**Xoff**) or CTRL-Q (**X-on**). CTRL-S allows you to stop output so you can read it before it flies off the screen; CTRL-Q allows you to continue output. In case you can't remember that CTRL-Q allows you to continue output, you can use the **IXANY** flag to allow any character (except a CTRL-S) to continue output. Whatever character is entered to continue output is supplied to the program reading the input queue — unless it is a CTRL-Q.

Lastly, there is the **IXOFF** flag which causes the *system* to send a CTRL-S to the input device if the system's input queue is getting full, and a CTRL-Q after space has been made free in the input queue. The **IXOFF** flag is primarily used when the input device is not a terminal but another computer.

That's it for the input modes! The rest of it is not so bad.

OUTPUT MODES

The **c_oflag** member is for output modes. The first flag, **OPOST**, determines whether or not output processing is done. That is, whether output characters destined for the device should be diddled with, delayed to allow for carriage movement, or manipulated in any other way. The **OLCUC** and **OCRNL** flags are the counterparts of the input flags with similar names. **ONLCR** maps newlines to return newline pairs on output. This flag is on for most CRTs and printers. The **ONOCR** flag prevents a carriage return from being sent if the cursor (or printer mechanism) is already at column 0. This is useful for some terminals and printers and can help speed transmission at low speeds.

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The **ONLRET** flag means that the terminal will perform a carriage return as well as a linefeed upon receipt of a newline (linefeed). This affects delays and future expansion of tabs. OFILL causes fill characters to be transmitted to cause delays for carriage movement such as returns, linefeeds, tabs, et al. This feature is not often used since most terminal drivers actually delay transmission of further characters for the prescribed time. OFILL is useful if output is filtered through another computer whose buffering would destroy delay timings but not fill characters. It may also be useful to simplify drivers that use DMA or other techniques. The OFDEL causes DELete characters (all seven bits ones) to be used as fill characters (if OFILL is on) instead of NULs. Some terminal devices or printers will immediately throw away NULs but buffer DELs.

All of the rest of the fields in the c_oflag member select the amount of delay to be used for various operations. These operations are: newline (NL), carriage return (CR), TAB (TAB), backspace (BS), vertical tab (VT), and form feed (FF). The defines ending DLY are used for masking delays for the respective functions. The defines ending in digits select a style of delay; those ending in zero mean no delay. The manual entry documents the actual timings for the different styles of delays.

The c_cflag member is for control modes, mainly baud rate and parity. The first define, CBAUD, is a mask for the bits that select the baud rate. The entries starting with B are for the various baud rates. Selecting a baud rate of B0 will break most connections and drop DTR, hanging up modems. EXTA is commonly used for a baud rate of 19200. EXTB is usually not defined. CSIZE is a mask for the number of bits transmitted per character. It is usually CS8. If CSTOPB is on, two stop bits will be sent (to support good old TTY33 at 110 baud). This flag is rarely used otherwise.

If **PARENB** is set, parity will be generated on output characters and detected on input (though the **IG-NPAR**, **PARMRK** and **INPCK** flags can also determine how input parity errors are handled). If **PARENB** is set then if **PARODD** is set, then odd parity will be used; otherwise parity will be even.

When **HUPCL** is set and all the processes (programs) that have a terminal device open have closed their respective file descriptors for it (perhaps by exiting), the data terminal ready (**DTR**) signal will be dropped (made false). This will cause most correct-



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ly cabled modems to hang up the line (hang up the phone). This feature is usually used if there is actually a modem connected. Many microcomputers do not support this feature, however.

If CLOCAL is on, the terminal driver won't require the terminal to assert the DTR signal before allowing the open() system call to complete. Otherwise open() will hang until the DTR signal is present (DTR is sometimes referred to as *the carrier*). Of course, before you can do an ioctl() system call to set CLOCAL, you must do an open() to open the device file — which will hang until DTR is present, in which case CLOCAL is unneeded. The O_NDELAY flag to open() may be used to open the device without waiting for the carrier.

The **c_lflag** member is for the last set of features. The first feature, **ISIG**, will enable the typing of certain characters to generate the interrupt or quit signals.

The ICANON flag enables erase and kill processing and accumulation of input data into lines. This means that input characters are accumulated in an internal buffer. When a newline (linefeed character) is received, this accumulated data, including the newline, is made available to any program doing a read() system call. If ICRNL is on, a RETURN entered at the keyboard has the same effect as a linefeed.

It is immaterial whether the **read()** is invoked before or after the newline (or any of the other characters) is received. The user may specify that another character may also be used to terminate lines in the same way that the newline does. This will be discussed later. If one types the **EOF** character, usually a CTRL-D, then the characters are also available for reading but the **EOF** character itself (the CTRL-D) is thrown away.

If there are no accumulated characters when the CTRL-D is entered (because it immediately follows a previous CTRL-D or newline character), the **read**() returns a count of zero, i.e. no characters to be read. This is usually interpreted as *end of file*. If the program does another **read**() instead of exiting, this subsequent **read**() will be treated as any other read of a terminal device. That is, it will not return until the accumulation of characters is completed with a newline or CTRL-D. This implementation is used by **vi** for scrolling and by **csh's ignoreeof** feature.

If ICANON is on and the erase character is entered, the last character accumulated is sent off to Alpha Centauri. The erase character is also thrown away. Likewise, if the line kill character is entered, all characters accumulated are thrown away. The kill character is also thrown away. The **XCASE** feature supports upper case-only terminals and is of interest only to museums that have TTY33s.

If **ECHO** is set, characters will be echoed in the output as they are received. If **ECHOE** (echo erase

character, cleverly) is set as well as **ECHO** and **ICANON**, the erase character will be echoed as a backspace followed by a space followed by a second backspace. On CRTs this has the effect of making the mistyped character disappear — a major departure from Version 7, where the same action would merely position the cursor over the character.

Guess what ECHOK does? WRONG! The UNIX Support Group implemented it to echo a newline after echoing the kill character itself, which is frequently set to be a control character. Maybe in V.3... If ECHONL is set then when a kill character is typed, the newline following it is echoed even if ECHO is turned off.

If ICANON is *not* set, then we play by completely different rules. Specifically, no erase or kill processing is done and a newline (or **EOF**) character does not have to be entered in order for a program to read the received characters. The program does, however, have to wait for a set number of characters to be received or for a set number of tenths of seconds after the **read()** call for it to return. These two thresholds may be set by the user. If the count character threshold is set to one, the **read()** will return after every character. If the count threshold



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is set to seven and the time threshold is set to 13, the **read()** will return when seven characters are received or after 13/10 (or 1.3) seconds has elapsed. Even if 1.3 seconds has elapsed, the **read()** will not return until at least one character has been received unless the **O_NDELAY** flag was supplied when the device file was opened, in which case the **read()** will return with a count of zero.

Lastly, if **NOFLSH** is set, the accumulated input and output characters will not be thrown away when an interrupt or quit signal is sent from the terminal.

The line discipline may be specified with **c_line**. A line discipline of zero, the only one supported, supplies **ISIG**, **ICANON**, **ECHO**, etc. if these bits are set.

The c__cc[] member of the termio structure is a character array that specifies the characters that are used for the erase character, kill character, EOF character, etc. A "character" of -1 disables the respective feature. There is a defined symbol, NCC, that specifies the number of elements in the c__cc[] member, currently eight.

The interrupt character **c_cc[VINTR]** defaults to **DEL**ete. The character **c_cc[VQUIT]**, which terminates a program with a core dump, defaults to CTRL-\. For programs in development, any character will suffice. The erase character **c_cc[VERASE]** defaults to "#' or **backspace**. depending on the port. The character **c_cc[VEOF]** specifies the end of file and defaults to **EOT** (CTRL-D). The character **c_cc[VEOL]** may be used to terminate lines and will default to newline. The character **c_cc[VEOL2]** may be used to terminate lines instead of newlines and will default to **NUL**.

The last two bytes are reserved, which is unfortunate since they should be used for specifying the count and time thresholds if **ICANON** is off. Instead, **c_cc[VMIN]** is used as the count threshold and **c_cc[VTIME]** is used as the time threshold. These are treated as 8-bit unsigned integers for this purpose. Unfortunately, **VMIN = VEOF** and **VTIME = VEOL**.

This means that whenever **ICANON** is turned on, you will want to set **c__cc[VEOF]** and **c__cc[VEOL]** to be the **EOF** and **EOL** characters. When **ICANON** is turned off, you will want to set **c__cc[VMIN]** and **c__cc[VTIME]** for the thresholds. If you want to set the threshold counts with the **stty** program in a standard implementation, you must specify the character whose ASCII value is that of the number you want. In other words, specify CTRL-E for a count of 5. Specifying "5' will give you a count of 53. I learned this the hard way and subsequently fixed the **stty** program and documentation for that port.

Figures 2-7 summarize which mode bits and bytes are stored in each structure member.

So now that you know about all these modes, what do you do with them? When you are logged in-

	Input modes c_iflag	
IGNBRK	BRKINT	IGNPAR
PARMRK	INPCK	ISTRIP
INLCR	IGNCR	ICRNL
IUCLC	IXON IXOFF	IXANY

Figure 2-Input modes.

-X-

	Output modes	
	c_oflag	
OPOST	OLCUC	ONLCR
OCRNL	ONOCR	ONLRET
OFILL	OFDEL	NLDLY
NLO	NL1	CRDLY
CRO	CR1	CR2
CR3	TABDLY	TABO
TAB1	TAB2	TAB3
BSDLY	BSO	BS1
VTDLY	VTO	VT1
FFDLY	FFO	FF1

Figure 3-Output modes.

	*	
	Control modes c_cflag	
CBAUD	вО	B50
B75	B110	B134
B150	B200	B300
B600	B1200	B1800
B2400	B4800	B9600
EXTA	EXTB	CSIZE
CS5	CS6	CS7
CS8	CSTOPB	CREAD
PARENB	PARODD	HUPCL
	CLOCAL	

Figure 4-Control modes.

	*	
	Line modes c_lflag	
ISIG	ICANON	XCASE
ECHO	ECHOE	ECHOK
ECHONL		NOFLSH
Figure 5–Lin	e modes. *	
	Control characters	
VINTO	C_CC	
VINIR	VQUIT	VERASE
VEOLO	VEOF	VEOL
VEULZ	VMIN	VIIME
Figure 6-Con	itrol characters.	

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Alphabetized modes				
Mode	Туре	Mode	Туре	
вО	control	FFDLY	output	
B110	control	HUPCL	control	
B1200	control	ICANON	line	
B134	control	ICRNL	input	
B150	control	IGNBRK	input	
B1800	control	IGNCR	input	
в200	control	IGNPAR	input	
B2400	control	INLCR	input	
B300	control	INPCK	input	
B4800	control	ISIG	line	
B50	control	ISTRIP	input	
B600	control	IUCLC	input	
B75	control	IXANY	input	
B9600	control	IXOFF	input	
BRKINT	input	IXON	input	
BSO	output	NLO	output	
BS1	output	NL1	output	
BSDLY	output	NLDLY	output	
CBAUD	control	NOFLSH	line	
CLOCAL	control	OCRNL	output	
CRO	output	OFDEL	output	
CR1	output	OFILL	output	
CR2	output	OLCUC	output	
CR3	output	ONLCR	output	
CRDLY	output	ONLRET	output	
CREAD	control	ONOCR	output	
CS5	control	OPOST	output	
CS6	control	PARENB	control	
CS7	control	PARMRK	input	
CS8	control	PARODD	control	
CSIZE	control	TABO	output	
CSTOPB	control	TAB1	output	
ECHO	line	TAB2	output	
ECHOE	line	TAB3	output	
ECHOK	line	TABDLY	output	
ECHONL	line	VTU	output	
EXTA	control	VT1	output	
EXTB	control	VTDLY	output	
FFO	output	XCASE	line	
FF1	output			

Figure 7—An alphabetic listing of the mode bits along with the structure members they appear in. $\stackrel{\times}{\star}$

speed 9600 baud; line = 0; intr = ^c; quit = ^|; erase = ^h; kill = DEL; eof = ^d; eol = ^` -parenb -parodd cs8 -cstopb -hupcl cread -clocal -ignbrk brkint ignpar -parmrk -inpck istrip -inlcr -igncr icrnl -iuclc ixon -ixany -ixoff isig icanon -xcase echo echoe echok -echonl -noflsh opost -olcuc onlcr -ocrnl -onocr -onlret -ofill -ofdel

Figure 8-Typical System V modes.

*

speed 9600 baud; line = 0; intr = ^c; quit = ^|; erase = ^h; kill = DEL; eof = ^a; eol = ^a -parenb -parodd cs8 -cstopb -hupcl cread -clocal -ignbrk brkint ignpar -parmrk -inpck istrip -inlcr -igncr -icrnl -iuclc ixon -ixany -ixoff isig -icanon -xcase echo echoe echok -echonl -noflsh opost -olcuc -onlcr -ocrnl -onocr -onlret -ofill -ofdel

Figure 9-An example of Cbreak mode.

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```
speed 9600 baud; line = 0; intr = ^c; quit = ^|;
erase = ^h; kill = DEL; eof = ^a; eol = ^a
-parenb -parodd cs8 -cstopb -hupcl cread -clocal
-ignbrk -brkint -ignpar -parmrk -inpck -istrip
-inlcr -igncr -icrnl -iuclc -ixon -ixany -ixoff
-isig -icanon -xcase echo echoe echok -echonl -noflsh
-opost -olcuc onlcr -ocrnl -onocr -onlret -ofill -ofdel
```

Figure 10-An example of raw mode.

Feature	Cooked	Cbreak	Rav
eof	^d	^ a	^ a
eol	^ `	^ a	^ a
brkint	on	on	of
ignpar	on	on	of
istrip	on	on	of
icrnl	on	off	of
ixon	on	on	of
isig	on	on	of
icanon	on	off	of
opost	on	on	of
onlcr	on	off	on

Figure 11—Some of the differences between the three "standard" terminal modes of operation.

to a System V computer, your modes will typically be like those shown in Figure 8.

This is known as "cooked" mode. Note that some features such as the kill character, speed and parity can vary. A dash indicates that a feature is turned off. A caret is shorthand for CTRL, as in [°]C or CTRL-C. A[°] means CTRL-\and [°] means CTRL-@ (NUL). The latter two are, technically, caused by a bug in stty's algorithm that expands control characters to printable representations. Speaking of stty bugs, if stty is invoked without the -a flag, it will list those modes that are different from "standard" cooked mode. If CREAD is turned off, stty will list it as cread when it should say -cread. When CREAD is turned off, all read requests will return 0 - giving the appearance of end of file (lots of CTRL-Ds). This is usually the result of a programming error.

Cbreak mode looks like the example in Figure 9. Raw mode looks like the example in Figure 10.

Since I do not like to torture people, Figure 11 details some of the differences between the three "standard" terminal modes of operation.

It would be worthwhile to pause at this time and study the lists and table of **ioctl** modes for cooked, Cbreak and raw modes. Some modes are not operational when certain other modes have particular values. For example, the value of **onlcr** is unimportant in raw mode because **opost**, which must be on in order for **onlcr** to have any effect, is off. Because of these "don't care" states, one person's official

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NORMAN INTERVIEW Continued from page 49

a single conceptual model, which allows the user to build up the proper mental model.

One of the best examples of this I have is the early Hewlett-Packard stack calculator. The documentation and the instruction manual taught that mental model, and taught every operation through it, thus giving the user a very good understanding of what was going on. That's the prototype of good design.

REVIEW: In your Datamation article, you talked not only about the need for consistency and explicit modeling in system design — but about the need for mnemonic aids. Could you explain your view of mnemonic aids?

NORMAN: Let's say you walk up to a terminal that is running almost any conventional operating system. If you're not familiar with that system, no matter how expert you are at computers, there's essentially nothing you can do. You can try entering a question mark or help, and just pray that something will come out. Walk up to a Macintosh, though, and as long as you know how to use the mouse, you can experiment with the icons and as you touch each one, they will give you a list of possibilities that you can explore. Rapidly, you can discover what the alternatives are. It's amazing how quickly you can learn a program that way. The Macintosh difference comes in the mnemonic aids it offers.

REVIEW: But there is a great difference in functionality between a Macintosh and a typical UNIXbased system. Should – or could — the two look alike to the user?

NORMAN: What I was trying to do there was simply contrast the blank screen philosophy that offers no assistance with one that makes it possible quickly to get some notion of the alternatives. Obviously the Macintosh is not as rich or powerful an environment as is needed with a generalpurpose system. UNIX offers almost no assistance. Macintosh offers a complete range of assistance, and clearly it must be possible to do similar things on larger systems. Certainly the LISP machine is a good example: a fairly powerful system that offers a lot more assistance to the user. Although it too has its flaws - and I think the people who designed the LISP machine are actually quite deficient in their understanding of the user — the machine goes a long way in the right direction because of its window technology and pop-up menu capability.

You asked about the need for mnemonic aids. I think there are at least two essential things that we should provide in that vein: 1) some physical reminder of the operations that are possible; and 2) spatial layout. We really remember things well if we can put them in a physical place. If I could put my files in a place, I could find them. Not the hierarchy of files as I have in UNIX: that is too abstract. But suppose the hierarchy was real in some sense, such that I could place them on the screen physically, and when I called up a file directory, the same file always came to the same place on the screen. I might not even have to name the file. I might just have to put it in a certain place. I might, say, be working with the file in the bottom left hand corner of the screen while making reference to the file in the upper right hand corner where I keep my notes. That way, I can physically manipulate the location. That's something we haven't exploited well thusfar. Mnemonics, after all, are not just words, but pictures

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and shapes and locations as well.

I went on a tour of Austria recently on an extremely fancy tour bus. I have never seen a bus that was so elaborate. I sat behind the driver and counted the controls. When I reached about 80 switches and controls, I gave up. There was clearly a lot more than that. That's a large number of things to learn. So I had my companion ask the driver (in German) how hard it was to learn the controls. The driver thought it was one of the strangest questions he had ever heard. "Why should it be hard to learn?" he asked. "They're just there, and you use them. It's obvious what everything does. Hard to remember? What is there to remember? All the switches are where they ought to be, and all the ones that are related to one another are near one another.'

What is the difference between these normal kinds of environments, which are really very crowded and very rich, and the computer environment? The difference is space. Space and physical existence. These things are located in a certain spot in space, and they're always there they're physically present. That's a dimension I think should be explored in computer systems.

What we see all too often is another sort of phenomenon altogether. Take, for example, a modern aircraft, like a 747 or a 767. There are thousands of controls throughout. People are worried about that. They take too much space, making it too hard to see everything at once. In commercial aircraft, the windows are awfully small because the plane's designers have to make enough room for the controls. You can barely see out. Do you realize that when you land in a commercial aircraft, the pilot cannot see the ground where the airplane is hitting? So what are they going to do about it? It's likely that they'll replace the instruments with

video displays. Do you know what's going to happen? The pilots are going to lose all the benefits of spatial layout. Now, if you want to know what the oil pressure is, you look to the left. You want to know how much fuel is left, you look to the right. You want to see the altimeter reading. so you look in front of you. For air speed, you look here. To verify flight path, you look there. With these video displays, this won't be true anymore. Everything will be on top of each other. You change the display and get fresh information, displayed physically right where the old information screen was. That's why I think we're going to be in real trouble. The tremendous power of spatial information is about to be lost. That isn't the answer.

REVIEW: So how do we apply these lessons to computer design?

NORMAN: Part of the problem is that cognitive science — understanding the mind, understanding how people work — is truly a new science. I can't give you any real answers. It's going to be a while. The best thing we can do now is at least have sensitivity, and be patient with a lot of experimentation and false starts.

REVIEW: In McIlroy's response to your article, he states that a coherent user interface is neither desirable nor possible in a universe as broad as that of UNIX. I expect you probably have a response to that.

NORMAN: I think that he and I must be talking at cross purposes. I have too much respect for McIlroy's ability as a designer to think that he means what I think he means. The UNIX system philosophy is consistent across all systems. There is essentially the notion of filters and pipes for interconnecting programs and inputoutput redirection mechanisms for manipulating them. There's a notion of basic signals. There are also the common motions of the control mechanisms among programs and among data structures and the notion of a standard I/O package called by everybody. There's a lot of consistency in UNIX. There just isn't consistency at the surface level. I see no reason why that same kind of consistency couldn't be expanded to the surface. There is no reason why we have to have different characters meaning the same things, where each program has to have a different syntax, or where the flags are arbitrary and different from program to program. UNIX runs into a real problem, as I've said, because it's so large, covers such a broad area, and is so expandable by anybody. Surely McIlroy and I must be thinking of different things when I say that consistency is important and essential and can be done, while he says it can't.

REVIEW: Another point McIlroy makes is that the features, options, hooks, and tailorability associated with friendly interfaces are just so much "building material for a tower of Babel." Do you feel user interface features are necessarily tantamount to creeping featurism?

NORMAN: Blech! The tower of Babel is what we have now. That's what I'm trying to avoid. What I want is an Esperanto. What I want is a common language, a common style for doing anything. The current system is a tower of Babel. Creeping featurism! I just told you about vi and emacs, about what happens when every time you get a new idea you add a new feature. That leads to a tower of Babel. What I want is a consistent conceptual model on the part of the designer, a consistent physical image that the system is built to convey, and as a result a consistent mental model on the part of the user. I would actually prefer to forego features, if necessary, to keep that consistent, to keep it clean and keep it usable.

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The Santa Cruz Operation (SCO) has launched "XENIX NOW!" The program is designed to promote and heighten awareness of the XENIX Operating System for IBM Personal Computers among dealers, vendors, OEMs and end users. SCO's XENIX packaged product for the IBM PC-XT and compatibles — including AT&T's new PC — is available. IBM PC XENIX for the AT and SCO PC XENIX for the XT are fully compatible.

Although the IBM PC is based upon the 8088 microprocessor while the PC-AT is designed around the new 80286, the portability of XENIX ensures that the user interface of the operating system and its compatible applications on each machine is identical. The line of SCO application software for the 8088-based PC has been ported to the 80286, so that each SCO-supported program is now available for the PC-AT and other 80286-based systems as well. These include the Lyrix Word Processing System, the Multiplan Electronic Worksheet, the Informix Relational Database Management System, Level II COBOL and its powerful development tools, Animator and Forms-2.

SCO offers XENIX for the IBM PC at \$595, the XENIX Development System at \$595 and the XENIX Text Processing System at \$495. All three systems are available as the Complete XENIX System at \$1350 list. (XENIX and all applications are also available for the Apple Lisa II.)

For more information call the Santa Cruz Operation at 408/ 425-7222.

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Gould's Firebreathers are scorching the UNIX market.

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GOU

Electronics

LANTECH DEVELOPS UNIX LOOK-ALIKE

uNETix, a multitasking operating systen compatible with UNIX software and designed for networking of microcomputers, has been developed by Lantech Systems, Inc.

Lantech will offer three versions of uNETix — a standalone and two networking products. uNETix already operates on many 8086/8088-based microcomputers, including the IBM PC lookalikes. Projects are under way to port it to systems based on the Motorola 68000 and NSC 10632 microprocessors.

Available now to OEMs and end users, standalone uNETix features an MS-DOS emulator to support both MS-DOS and UNIX applications software. Offering the personal computer user a versatile, multitasking operating system, uNETix allows display of up to 10 active windows on the screen, processing a different task in each window simultaneously. Data or text can be transferred directly from one window to another.

Lantech also offers uNETix-DFS, the first networking version of uNETix, which utilizes a distributed file system to allow transparent remote file and device access. uNETix-DFS is the result of joint development efforts between Lantech and Plexus Computers.

uNETix transfers data from one window to another directly through the system's internal memory, without requiring that the user have Winchester disk storage.

As an option to standalone uNETix, uNETix-DFS permits the user to interconnect uNETixbased computers with а distributed file system. In addition, since uNETix-DFS is fully compatible with the UNIX System **III Network Operating System** developed by Plexus, the Lantech product gives the user the capability to build a transparent micro/minicomputer network. Computers in the network also can tap the processing capabilities of other systems through a virtual terminal capability.

With uNETix-DFS, portions of file systems on remote computers can be attached to the local file hierarchry. Once a path to the remote file is established, using a



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```
1 bubble(a) int a[100]; {int tmp, last, i;
2 for (last=100; last >= 2; last--)
3 {for (i=1; i <= (last-1; i++)
4 if (a[i] > a[i+1])
5 {tmp=a[i] a[i]=a[i+1];
6 a[i+1]=tmp; };
7 }
8 }
```

Portable C Compiler Error Messages:

```
"bubble.c", line 3: syntax error
"bubble.c", line 3: syntax error
"bubble.c", line 3: syntax error
```

TARTAN C accurately pinpoints errors and recovers:

```
2| for (last=100; last >= 2; last--)

3| {for (i=1; i <= (last-1; i++)

^1

**** 1 Error 101: Parse error; token ")" inserted.

4| if (a[i] > a[i+1])

5| {tmp=a[i] a[i]=a[i+1];

^1

**** 1 Error 101: Parse error; token ";" inserted.

6| a[i+1]=tmp;};
```

*A complete report on these and other benchmarks is available on request UNIX is a trademark of AT&T Boll Laboratories VAX is a trademark of Digital Equipment Corporation. ©1984 Tantxu Laboratories Incorporated

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remote **mount** command, the file is accessed exactly as if it were part of the local file system hierarchy. The user does not have to learn new commands to access remote files.

uNETix-DFS operates through a higher-level communications protocol, independent of the physical network medium. For local area networks, it supports Ethernet, with other popular interfaces to be added soon.

All three versions of uNETix will be marketed through OEMs and systems integrators, as well as be available to end users. All versions of uNETix, which Lantech can customize to run on any hardware system, are compatible with standard UNIX software, including the UNIX shell, file system and interface for disk drives, displays and keyboards.

More information on Lantech

and its products is available by contacting the company at 9635 Wendell Road, Dallas, TX 75243; 214/340-4932.

Circle No. 49 on inquiry Card

SYDIS ENHANCEMENTS

With the introduction and shipment of Release 2.0, Sydis Inc., has added six major enhancements to its VoiceStation System. The system can now communicate with IBM mainframes and other computers that support asynchronous dial-up terminals. Applications development tools, relational database management software, laser printer capability and an electronic calculator have also been added.

The rollout of these enhancements follows Sydis' move to volume production after signing OEM pacts with GTE, Italtel Telematic and Compath National.

Sydis added IBM 3270-type terminal capabilities to the system by using the Micom Micro 7400 Protocol Converter as a low-cost replacement for the IBM control unit. Four, eight and 12-port options are available that allow the VoiceStation System to perform IBM 3274 BSC or SNA emulation. A dual-host option that supports two independent mainframes is available as well.

The VoiceStation 1's keyboard and softkeys are "mapped" to perform 3270 functions and to control the size of the 3270 window on the VoiceStation screen.

In addition, the VoiceStation 1 can emulate a VT-100 or ANSI X3.64-compatible terminal, and gain access to corporate databases.

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rrent processes and or to find out how to get a trial copy, call Gwendolyn Whittaker at (617) 492-8860.



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Only Microware's OS-9 Operating System Covers the Entire 68000 Spectrum



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OS-9 is versatile, inexpensive, and delivers outstanding performance on any size system. The OS-9 executive is

much smaller and far more efficient than Unix because it's written in fast, compact assembly language, making it ideal for critical real-time applications. OS-9 can run on a broad range of 8 to 32 bit systems based on the 68000 or 6809 family MPUs from ROM-based industrial controllers up to large multiuser systems.

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been ported to over a hundred 6809 and 68000

ware easily and quickly.

Key OS-9 Features At A Glance

- Compact (16K) ROMable executive written in assembly language
- User "shell" and complete utility set written in C
- C-source code level compatibility with Unix
- Full Multitasking/multiuser capabilities
- Modular design extremely easy to adapt, modify, or expand
- Unix-type tree structured file system
- Rugged "crash-proof" file structure with record locking
- Works well with floppy disk or ROM-based systems
- Uses hardware or software memory management
- High performance C, Pascal, Basic and Cobol compilers

Microware's C compiler technology is another OS-9 advantage. The compiler produces extremely fast, compact, and ROMable code. You can easily develop and port system or application software back and forth to standard Unix systems. Cross-compiler versions for

systems under license to some of the biggest names in the business. OS-9 has been imbedded in numerous consumer, industrial, and OEM products, and is supported by many independent software suppliers.



MICROWARE SYSTEMS CORPORATION 1866 NW 114th Street Des Moines, Iowa 50322 Phone 515-224-1929 OS-9 is a trademark of Microware and Motorola. Unix is a trademark of Bell Labs. Telex 910-520-2535

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CAPE (see November 7-9).

December 10-14 Bunker Ramo Information Systems, Trumbull, CT: "Advanced C." Contact: Bunker Ramo (see November 5-9).

December 10-14 Computer Technology Group, Los Angeles, CA: "UNIX Internals." Contact: CTG (see November 6).

December 10-14 Structured Methods Seminar, New York, NY: "UNIX System Workshop." Contact: Structured Methods (see November 5-9).

December 11-14 Integrated Computer Systems Seminar, Palo Alto, CA: "Programming in C: A Hands-On Workshop." Contact: ICS (see November 6-9).

December 12-13 Intelligent Solution Seminar, Boston, MA: "Programming in C." Contact: Intelligent Solution (see November 2).

December 12-14 Computer Technology Group, Boston, MA & Washington, DC: "Using Advanced UNIX Commands." Contact: CTG (see November 6).

December 12-14 Digital Seminar Program, Los Angeles, CA: "The C Programming Language." Contact: Digital Educational Services (see November 14-16).

December 14 Intelligent Solution Seminar, Boston, MA: "UNIX Overview." Contact: Intelligent Solution (see November 2). December 17 AT&T Technologies, Dublin, OH: "UNIX System V Administration." Contact: AT&T (see November 5).

December 17 AT&T Technologies, Sunnyvale, CA: "Internal UNIX System Calls and Libraries Using C Programming." Contact: AT&T (see November 5).

December 17 NCR Education Seminar, Dayton, OH: "UNIX

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225 West 30th Street, National City, California 92050 Circle No. 54 on Inquiry Card System Administration." Contact: NCR (see November 5). December 17-19 Structured Methods Seminar, New York, NY:

"UNIX System Internals." Contact: Structured Methods (see November 5-9).

December 17-21 Bunker Ramo Information Systems, Trumbull, CT: "C Programming." Contact: Bunker Ramo (see November 5-9).

December 17-21 Computer Technology Group, Boston, MA & Washington, DC: "UNIX Internals." Contact: CTG (see November 6).

December 18-20 Computer Technology Group, Los Angeles, CA: "UNIX Administration." Contact: CTG (see November 6). December 19-21 CAPE Seminar, Tampa, FL: "A User-Oriented Evaluation Three-Day Seminar: UNIX." Contact: CAPE (see November 7-9).

December 20 AT&T Technologies, Lisle, IL: "Overview of the UNIX System." Contact: AT&T (see November 5).

December 20-21 Structured Methods Seminar, New York, NY: "Using Lex and yacc." Contact: Structured Methods (see November 5-9).

Please send announcements about training or events of interest to: UNIX Review Calendar 520 Waller Street

San Francisco, CA 94117.

Please include sponsor, date and location of event, address of contact and relevant background information.

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